

Kamala D Harris

Candidate Report

SEC.report (https://sec.report) > / Senate Stock Trade Feed (https://sec.report/Senate-Stock-Disclosures) >
/ Harris (https://sec.report/Senate-Stock-Disclosures/Harris) > / Kamala D Filings (https://sec.report/Senate-Stock-Disclosures/Harris/Kamala++D) >
/ Candidate Report (https://sec.report/Senate-Stock-Disclosures/Harris/Kamala++D/e9b844a7-0458-4dd7-9f43-addd10857866)

Disclosure filing by Kamala D Harris from the office of Candidate. This *annual* filing was made on 2016-07-30.

Filing

Candidate Report

Ms. Kamala D Harris

State of Candidacy: CA | Candidacy Commenced:

Filed 07/30/2016 @ 12:55 PM

Print Report

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of California (amount reported is total amount paid in 2015 and 2016 to date) Sacramento, California	\$257,566.17
2	Spouse	Salary	Venable LLP Los Angeles, California	> \$1,000
3	Spouse	Other (Referral Fee)	Taylor Ring LLP Santa Monica, California	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	San Francisco	Retirement Plans	Self	\$250,001 - \$500,000	Excepted Investment	None (or less than \$200)

	Employees Retirement Asset System (SFERS) Plan	Deferred Compensation Asset Type	Owner	Value	Fund, Income Type	\$201 Income
2	Citizens Lanier Investors, LLC <i>Company:</i> Citizens Lanier Investors, LLC (Wilmington, DE) <i>Description:</i> Parking lot management <i>Filer comment:</i> Asset sold in 2015.	Business Entity Limited Liability Company (LLC)	Spouse	None (or less than \$1,001)	Other, (Distribution)	Other \$7,500.00
3	Wells Fargo (Los Angeles, California) <i>Type:</i> Checking,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
4	Wells Fargo (Los Angeles, California) <i>Type:</i> Savings,	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	None (or less than \$201)
5	First Republic Bank (San Francisco, California) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
6	Wells Fargo (Los Angeles, California) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
7	Savings Plus 457 Plan	Retirement Plans Deferred Compensation	Self			
7.1	Target Date Fund 2025	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
8	City & County of San Francisco 457(b) Deferred Compensation Plan	Retirement Plans Deferred Compensation	Self			
8.1	SFDCP Target Date 2025	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
8.2	SFDCP Large Cap Growth Eq	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
8.3	SFDCP Lg Cap Eq - S&P 500	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
8.4	SFDCP Mid Cap Core	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
8.5	SFDCP International Equity	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
8.6	SFDCP Real Estate	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9	Venable LLP Capital Account <i>Description:</i> Partner Capital Account (Los Angeles, California)	Other Securities	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
10	Venable LLP Retirement Plan	Retirement Plans Defined Contribution Pension Plan	Spouse			
10.1	DODGX (https://sec.report/Ticker/DODGX) - Dodge & Cox Stock (NASDAQ)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.2	TPLGX (https://sec.report/Ticker/TPLGX) - T. Rowe Price Instl Large Cap Core Gr (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
10.3	VINIX	Mutual Funds	Spouse	\$100,001 - \$250,000	Excepted Investment	None (or less than \$201)

	(https://sec.report/IssuerAssets/CRISX) - Vanguard Institutional Index I (NASDAQ)	Mutual Fund Asset Type	Owner	Value	Fund, Income Type	\$201 Income
10.4	CRISX (https://sec.report/IssuerAssets/CRISX) - CRM Small Cap Value Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
10.5	VEXAX (https://sec.report/IssuerAssets/VEXAX) - Vanguard Extended Market Idx Adm (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.6	VMGRX (https://sec.report/IssuerAssets/VMGRX) - Vanguard Mid Cap Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.7	WEMIX (https://sec.report/IssuerAssets/WEMIX) - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11	Merrill Lynch - Emhoff Family Trust	Brokerage/Managed Account	Spouse			
11.1	ASVIX (https://sec.report/IssuerAssets/ASVIX) - American Century Small Cap Value Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
11.2	ANOIX (https://sec.report/IssuerAssets/ANOIX) - American Century Small Cap Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.3	AEPFX-American Funds Europacific Growth F2 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11.4	DUSIX (https://sec.report/IssuerAssets/DUSIX) - Delaware Tax-Free USA Intermediate Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
11.5	DGAGX (https://sec.report/IssuerAssets/DGAGX) - Dreyfus Appreciation Investor (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
11.6	EILVX (https://sec.report/IssuerAssets/EILVX) - Eaton Vance Large-Cap Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
11.7	HHDTX (https://sec.report/IssuerAssets/HHDTX) - Hancock Horizon Diversified Intl Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11.8	HGOIX (https://sec.report/IssuerAssets/HGOIX) - Hancock Horizon Growth Intl Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000

	(https://sec.report/Issuer/HGOIX) - Hartford Growth Opportunities I (NASDAQ)	Mutual Fund Asset Type	Owner	Value	Fund, Income Type	Income
11.9	IWD (https://sec.report/Issuer/IWD) - iShares Russell 1000 Value (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11.10	UBVSX (https://sec.report/Issuer/UBVSX) - Undiscovered Mgrs Behavioral Value Sel (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
11.11	MTLIX (https://sec.report/Issuer/MTLIX) - MFS Municipal Limited Maturity I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
11.12	MEIIX (https://sec.report/Issuer/MEIIX) - MFS Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
11.13	ML Bank Deposit Program (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
11.14	TWWIX (https://sec.report/Issuer/TWWIX) - Thomas White International I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11.15	WEMIX (https://sec.report/Issuer/WEMIX) - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
11.16	IWF (https://sec.report/Issuer/IWF) - iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
12	Merrill Lynch - IRRA	Retirement Plans IRA	Spouse			
12.1	AVEDX (https://sec.report/Issuer/AVEDX) - Ave Maria Rising Dividend (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12.2	CIEYX (https://sec.report/Issuer/CIEYX) - Calvert Equity Y (NASDAQ) <i>Filer comment:</i> This is a class exchange, symbol changed from CMIFX to CLYCX on 2/8/16. CLYCX was exchanged for CIEYX on 6/29/16.	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12.3	CSDYX	Mutual Funds	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	(https://sec.report/Issuer/CSDYX) - Calvert Short Duration Income Y (NASDAQ)	Mutual Fund Asset Type	Owner	Value	Fund, Income Type	\$201 Income
12.4	DOMIX (https://sec.report/Issuer/DOMIX) - Domini International Social Equity Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12.5	Merrill Lynch-Bank of America N/A (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
12.6	PARMX (https://sec.report/Issuer/PARMX) - Parnassus Mid-Cap (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.7	PARNX (https://sec.report/Issuer/PARNX) - Parnassus (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.8	PARWX (https://sec.report/Issuer/PARWX) - Parnassus Endeavor Fund (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.9	PRILX (https://sec.report/Issuer/PRILX) - Parnassus Core Equity Institutional (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.10	PXSIX (https://sec.report/Issuer/PXSIX) - Pax World Small Cap Institutional (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.11	CBAYX (https://sec.report/Issuer/CBAYX) - Calvert Balanced Y <i>Filer comment: This is a class exchange, symbol changed from CSIFX to CBAYX.</i>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
13	Whitwell Jacoby Emhoff LLP SEP	Retirement Plans Defined Contribution Pension Plan	Spouse			
13.1	BIV (https://sec.report/Issuer/BIV) - Vanguard Intermediate-Term Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.2	HEDJ (https://sec.report/Issuer/HEDJ) - WisdomTree Europe Hedged Equity ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.3	IBB	Mutual Funds	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	(https://sec.report/Issuer/iShares) - iShares	Exchange Traded Fund/Note	Owner	Value	Fund, Income Type	\$201 Income
	Nasdaq Biotechnology (NASDAQ)					
13.4	IEI (https://sec.report/Issuer/IEI) - iShares 3-7 Year Treasury Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.5	IEMG (https://sec.report/Issuer/IEMG) - iShares Core MSCI Emerging Markets (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.6	Merrill Lynch-Bank of America N/A (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
13.7	LQD (https://sec.report/Issuer/LQD) - iShares iBoxx \$ Invst Grade Crp Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.8	MBB (https://sec.report/Issuer/MBB) - iShares MBS (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.9	VAW (https://sec.report/Issuer/VAW) - Vanguard Materials ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.10	VDC (https://sec.report/Issuer/VDC) - Vanguard Consumer Staples ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.11	VGT (https://sec.report/Issuer/VGT) - Vanguard Information Technology ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.12	VIS (https://sec.report/Issuer/VIS) - Vanguard Industrials ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.13	XLF (https://sec.report/Issuer/XLF) - Financial Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.14	XLV (https://sec.report/Issuer/XLV) - Health Care Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.15	XLY (https://sec.report/Issuer/XLY) - Consumer Discret Sel Sect SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.16	EWU	Mutual Funds	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	(https://sec.report/Issuer/Asset/AssetType) - iShares	Exchange Traded Fund/Note	Owner	Value	Fund, Income Type	\$201 Income
	MSCI United Kingdom (NYSEArca)					
13.17	EWJ (https://sec.report/Issuer/Asset/AssetType) - iShares, Inc. - iShares MSCI Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.18	PCY (https://sec.report/Issuer/Asset/AssetType) - PowerShares Exchange-Traded Fund Trust II - PowerS	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.19	TIP (https://sec.report/Issuer/Asset/AssetType) - iShares Trust - iShares TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.20	XLE (https://sec.report/Issuer/Asset/AssetType) - The Select Sector SPDR Trust - The Energy Select S	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comment
1	2014	Spouse	Other (Unsecured loan)	-	3.5% (5 years)	\$100,001 - \$250,000	City National Bank Los Angeles, California	-
2	2014	Spouse	Line of Credit	-	3.5% (1 year)	\$100,001 - \$250,000	City National Bank Los Angeles, California	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2011 to present	Other (Attorney General (California))	State of California Sacramento, California	Other (State government)	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Oct 2008	Chronicle Books LLC	Royalty Agreement	Royalty for book, "Sm...

#

Date

San Francisco, California
Parties Involved

Type

Crime: A Prosecutor's
Status and Terms
Solution for Making th
Streets Safer™ (no roy
received in 2014, 2015
2016 to date).

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **No**

Attachments & Comments

No attachments added.

No comments added.