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## Stability Regimes in a Rotating Quadrupole Focusing Accelerator

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# STABILITY REGIMES IN A ROTATING QUADRUPOLE FOCUSING ACCELERATOR

## I. INTRODUCTION

A number of recent high current accelerator configurations utilize strong focusing fields. These fields, consisting of a stellarator field (or rotating quadrupole field) and an axial guide field, increase considerably the energy mismatch tolerance of the device and provide confining forces against the beam space charge forces.<sup>1,2</sup> Two such devices are the modified betatron accelerator<sup>3</sup> and the spiral line induction accelerator (SLIA).<sup>4-6</sup> The recent addition of strong focusing to the modified betatron at the Naval Research Laboratory has allowed that accelerator to successfully accelerate a 0.5 kA beam to 12 MeV for  $\approx 35,000$  turns in a vacuum chamber with resistive walls.<sup>7</sup> The SLIA, to be constructed by Pulse Sciences, Inc., will utilize the strong focusing for transport along the curved sections of the beam line between the accelerating cavities.

The use of strong focusing fields has a potential difficulty in that they can lead to various types of beam instabilities.<sup>8,9</sup> It has been suggested, for example, that the rotating quadrupole field may act like a wiggler field as in the free electron laser.<sup>8,10</sup> Of interest in this study, however, is the three-wave instability in which the externally imposed rotating quadrupole field interacts with the transverse motion of the beam centroid to excite a transverse-electric (TE) waveguide mode.<sup>9</sup> Approximate stability conditions for such strong focusing fields have recently been found.<sup>11</sup>

The purpose of the present study is to obtain detailed analytical conditions for stabilizing the three-wave instability. Here we analyze the dynamics of electromagnetic waveguide modes and the beam modes associated with a relativistic electron beam propagating under the influence of external strong focusing fields. The dispersion relation for such a system is generated in Section II below. In Section III, we show that this dispersion relation, in the limit of zero beam current, gives analytical conditions for the various stability regimes. We find a) two physically distinct three-wave unstable regimes, b) two three-wave stable regimes and c) a regime in which the particle orbits themselves are unstable, irrespective of the electromagnetic waves. The orbit unstable regime is a recovery of an earlier result.<sup>1,2</sup> In addition, we obtain algebraic expressions for the growth rates in each of the three-wave unstable regimes. This is done with the simplified dispersion relation where the right-hand (RH) and left-hand (LH) circularly polarized waves are decoupled in the

low current regime. These are found to be in general agreement with the approximate expressions given in Ref. 12. Numerical solutions of the dispersion relation are presented in section IV. The analytic expressions of the growth rates and stability boundaries are in good agreement with the numerical results of the dispersion relation.

## II. MODEL

In our model the external fields consist of a periodic rotating quadrupole field and a longitudinal magnetic field (see Fig. 1). The electron beam radius is assumed to be small compared to the waveguide radius. In the equilibrium position, the beam is centered along the axis of a circular waveguide and the beam electrons are assumed to be monoenergetic with zero transverse velocity. Perturbing electromagnetic waveguide fields cause the beam centroid to develop a transverse velocity and become displaced off the z-axis. This displacement of the beam centroid amounts to a transverse macroscopic current which, under certain conditions, further excites the electromagnetic field. The displacement of the beam centroid also induces image electric and magnetic fields on the wall of the waveguide. The beam centroid motion, in our model, is governed by the following fields: i) rotating quadrupole field, ii) longitudinal magnetic field, iii) electromagnetic fields, and iv) induced image fields.

### Wave equation

Before developing the orbit equations for the beam centroid we first derive the wave equation for the electromagnetic fields. The electromagnetic fields are represented by a vector potential given by,

$$\mathbf{A} = \mathbf{A}_+(x, y, z)e^{-i\omega t} + \mathbf{A}_-(x, y, z)e^{-i\omega t} + c.c., \quad (1)$$

where  $\mathbf{A}_+$  and  $\mathbf{A}_-$  are complex amplitudes associated with the right-hand (RH) and left-hand (LH) circularly polarized waves in a cylindrical waveguide. In Eq. (1),  $\omega$  is the radian frequency and c.c. denotes the complex conjugate.

The wave equation for  $\mathbf{A}$  is given by

$$\left( \nabla_{\perp}^2 + \frac{\partial^2}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \mathbf{A} = -\frac{4\pi}{c} \mathbf{J}, \quad (2)$$

where  $\nabla_{\perp}^2$  is the transverse Laplacian, and  $\mathbf{J}$  is the macroscopic transverse current associated with the beam centroid. Substituting (1) into (2) and operating on the result with  $(\omega/2\pi) \int_0^{2\pi/\omega} dt \exp(i\omega t)$ , in order to select the correct frequency dependence, yields

$$L(\mathbf{A}_+ + \mathbf{A}_-) = -\frac{4\pi}{c} \int_0^{2\pi/\omega} \frac{dt}{2\pi/\omega} e^{i\omega t} \mathbf{J}, \quad (3)$$

where  $L = \nabla_{\perp}^2 + \partial^2/\partial z^2 + \omega^2/c^2$ . To obtain the RH and LH polarized components of the current, we equate the  $\hat{e}_+$  and  $\hat{e}_-$  components of both sides of (3), and find the following wave equation,

$$L(\mathbf{A}_+ + \mathbf{A}_-) \cdot (2\hat{e}_{\mp}) = -\frac{4\pi}{c} \int_0^{2\pi/\omega} \frac{dt}{2\pi/\omega} (J_{cx} \mp iJ_{cy}) e^{i\omega t}, \quad (4)$$

where  $\hat{e}_{\pm} = (\hat{e}_x \pm i\hat{e}_y)/2$  and  $\hat{e}_x$  and  $\hat{e}_y$  denote unit vectors in the x- and y-directions.

The current density associated with the beam centroid motion is

$$\mathbf{J}(x, y, z, t) = -|e|\lambda_b \int_{-\infty}^{\infty} dz_o \left( \frac{\partial x_c(z_o, t)}{\partial t} \hat{e}_x + \frac{\partial y_c(z_o, t)}{\partial t} \hat{e}_y \right) \delta(x - x_c(z_o, t)) \delta(y - y_c(z_o, t)) \delta(z - z_c(z_o, t)), \quad (5)$$

where  $\lambda_b = n_b \pi r_b^2$  is the number of electrons per unit length,  $n_b$  is the beam density,  $r_b$  is the beam radius,  $x_c$  and  $y_c$  denote the transverse coordinates of the beam centroid,  $z_c$  denotes the axial position of a cross sectional slice of the beam and  $z_o$  is the initial position of the slice:  $z_c(z_o, t=0) = z_o$ . In the small-signal or linear regime  $x_c$  and  $y_c$  are proportional to the electromagnetic fields and (5) can be written as

$$\mathbf{J}_c(x, y, z, t) \simeq -|e|\lambda_b \delta(x) \delta(y) \int_{-\infty}^{\infty} dz_o \left( \frac{\partial x_c(z_o, t)}{\partial t} \hat{e}_x + \frac{\partial y_c(z_o, t)}{\partial t} \hat{e}_y \right) \delta(z - z_c(z_o, t)), \quad (6)$$

where  $v_o$  is the axial beam velocity. Substituting (6) into (4), we obtain

$$L(\mathbf{A}_+ + \mathbf{A}_-) \cdot (2\hat{e}_{\mp}) = \frac{4\pi}{c} \nu \delta(x) \delta(y) \int_0^{2\pi/\omega} \frac{dt}{2\pi/\omega} \int_{-\infty}^{\infty} dz_o \left( \frac{\partial x_c(z_o, t)}{\partial t} \mp i \frac{\partial y_c(z_o, t)}{\partial t} \right) \delta(z - z_o - v_o t) e^{i\omega t}, \quad (7)$$

where  $\nu = (|e|/m_0c^2)I_b/\beta_0 \simeq I_b[kA]/17\beta_0$  is Budker's parameter,  $I_b$  is the beam current and  $\beta_0 = v_0/c$ . Upon carrying out the time integration in (7) we obtain,

$$L(\mathbf{A}_+ + \mathbf{A}_-) \cdot (2\hat{\mathbf{e}}_{\mp}) = \frac{4\pi}{c} \nu \delta(x)\delta(y) e^{i(\omega/v_0)z} \int_0^{2\pi v_0/\omega} \frac{dz_0}{2\pi v_0/\omega} \frac{\partial}{\partial t} (x_c \mp iy_c) e^{-i\omega z_0/v_0}, \quad (8)$$

where  $x_c(z_0, z) = x_c(z_0, t = (z - z_0)/v_0)$  and  $y_c(z_0, z) = y_c(z_0, t = (z - z_0)/v_0)$ . Since our model is spatially periodic with period  $\omega/2\pi$ , the limits on the  $z_0$  integral have been changed to 0 to  $2\pi/\omega$ .

### Beam Centroid Orbit

The configuration of the rotating quadrupole and the axial magnetic fields are shown in Fig. 1. The total external magnetic field,  $\mathbf{B}_{ext}$ , consists of the rotating quadrupole field and the uniform longitudinal field and is given by  $\mathbf{B}_{ext} = (B_{qx}, B_{qy}, B_{zo})$  where

$$\begin{aligned} B_{qx} &= -B_q k_q (x \sin k_q z - y \cos k_q z), \\ B_{qy} &= B_q k_q (x \cos k_q z + y \sin k_q z), \\ B_{zo} &= B_0. \end{aligned} \quad (9a-c)$$

In Eqs. (9a-c),  $B_0$  is the axial magnetic field,  $B_q$  is the magnetic field of the quadrupole,  $k_q = 2\pi/\lambda_q$  and  $\lambda_q$  is the period of the quadrupole field. The representation for the quadrupole field in Eqs. (9a,b) is valid near the z-axis, i.e.,  $(x^2 + y^2)^{1/2} \ll \lambda_q/2\pi$ .

In addition to the electromagnetic fields given by (1) and external fields given by (9), we have induced fields due to the displaced beam. These fields are produced by the image charges and currents on the waveguide wall when the beam is displaced off the z-axis. For a circular, perfectly conducting waveguide the induced electric and magnetic fields near the z-axis are

$$\mathbf{E}_{ind} = -2 \frac{m_0 c^2}{|e|} \frac{\nu}{r_g^2} (x_c \hat{\mathbf{e}}_x + y_c \hat{\mathbf{e}}_y), \quad (10a)$$

$$\mathbf{B}_{ind} = 2 \frac{m_0 c^2}{|e|} \frac{\nu}{r_g^2} \beta_0 (y_c \hat{\mathbf{e}}_x - x_c \hat{\mathbf{e}}_y), \quad (10b)$$

where  $r_g$  is the waveguide radius and we have assumed  $(x_c^2 + y_c^2)^{1/2} \ll r_g$ . The motion of the beam's centroid under the influence of the fields in Eqs. (1), (9) and (10) is in the linear approximation governed by

$$\begin{aligned} \frac{d^2 x_c}{dt^2} + \Omega_o \frac{dy_c}{dt} - v_o k_q \Omega_q (x_c \cos k_q z + y_c \sin k_q z) - v_o^2 k_s^2 x_c \\ = \frac{|e|}{\gamma_o m_o c} \left( \frac{\partial}{\partial t} + v_o \frac{\partial}{\partial z} \right) A_x, \end{aligned} \quad (11a)$$

$$\begin{aligned} \frac{d^2 y_c}{dt^2} - \Omega_o \frac{dx_c}{dt} + v_o k_q \Omega_q (y_c \cos k_q z - x_c \sin k_q z) - v_o^2 k_s^2 y_c \\ = \frac{|e|}{\gamma_o m_o c} \left( \frac{\partial}{\partial t} + v_o \frac{\partial}{\partial z} \right) A_y, \end{aligned} \quad (11b)$$

where  $\Omega_o = |e|B_o/\gamma_o m_o c$  is the relativistic cyclotron frequency associated with the axial field,  $\Omega_q = |e|B_q/\gamma_o m_o c$  is the relativistic cyclotron frequency associated with the rotating quadrupole field,  $\gamma_o = (1 - \beta_o^2)^{-1/2}$  is the relativistic mass factor,  $k_s = (2\nu/(\beta_o^2 \gamma_o^3 r_g^2))^{1/2}$ ,  $z = z_o + v_o t$ , and  $A_x, A_y$  are the x and y components of the vector potential given in (1). For the purpose here, we will approximate the exact expressions of (1) by the fields on axis,

$$\frac{|e|}{m_o c^2} \mathbf{A}_{\pm}(x=0, y=0, z) = a_{\pm} e^{ik_{\pm} z} \hat{e}_{\pm},$$

where  $k_{\pm}$  are the axial wave numbers.

The orbit equations for the beam's centroid can be written in a more convenient form. Setting  $\xi = x_c + iy_c$ , Eqs. (11a,b) become

$$\left( \frac{\partial^2}{\partial z^2} - iK_o \frac{\partial}{\partial z} - k_s^2 \right) \xi - K_q k_q e^{ik_q z} \xi^* = F \quad (12)$$

where  $K_o = \Omega_o/v_o$ ,  $K_q = \Omega_q/v_o$ , and

$$\begin{aligned} F = \frac{1}{\beta_o \gamma_o} \left[ -i(k_+^* - \omega/v_o) a_+^* e^{-i(k_+^* - \omega/v_o)z} e^{-i\omega z_o/v_o} \right. \\ \left. + i(k_- - \omega/v_o) a_- e^{i(k_- - \omega/v_o)z} e^{i\omega z_o/v_o} \right]. \end{aligned} \quad (13)$$

It is convenient at this point to introduce a transformation from the quantity  $\xi$  to  $\hat{\xi}$

$$\xi = \hat{\xi} e^{+ik_q z/2}. \quad (14)$$



Substituting (14) into (13) transforms the beam's centroid equation into

$$\left[ \frac{\partial^2}{\partial z^2} - iK_1 \frac{\partial}{\partial z} + K_2^2 \right] \hat{\xi} - K_3^2 \hat{\xi}^* = G, \quad (15)$$

where  $K_1 = K_o - k_q$ ,  $K_2^2 = (K_o - k_q/2)k_q/2 - k_s^2$ ,  $K_3^2 = K_q k_q$  and  $G = F \exp(-ik_q z/2)$ .

Equation(15) can be written in the form

$$\left[ \frac{\partial^4}{\partial z^4} + (2K_2^2 + K_1^2) \frac{\partial^2}{\partial z^2} + (K_2^4 - K_3^4) \right] \hat{\xi} = H, \quad (16a)$$

where

$$\begin{aligned} H &= \left[ \frac{\partial^4}{\partial z^4} + iK_1 \frac{\partial^2}{\partial z^2} + K_2^2 \right] G + K_3^2 G^* \\ &= e^{-ik_q z/2} \left[ \frac{\partial^2}{\partial z^2} + i(K_1 - k_q) \frac{\partial}{\partial z} + ((K_1 - k_q/2)k_q/2 + K_2^2) \right] F \\ &\quad + K_3^2 F^* e^{ik_q z/2}. \end{aligned} \quad (16b)$$

Substituting (13) into (16b) yields

$$\begin{aligned} H &= \frac{i}{\beta_o \gamma_o} \left[ (K_+^* - k_q/2) D_+^* a_+^* e^{-iK_+^* z} - (K_-^* + k_q/2) K_3^2 a_-^* e^{-iK_-^* z} \right] e^{-i\omega z_n/v_o} \\ &\quad - \frac{i}{\beta_o \gamma_o} \left[ (K_- - k_q/2) D_- a_- e^{iK_- z} - (K_+ + k_q/2) K_3^2 a_+ e^{iK_+ z} \right] e^{i\omega z_n/v_o}, \end{aligned} \quad (17)$$

where  $K_{\pm} = k_{\pm} - \omega/v_o \pm k_q/2$  and  $D_{\pm} = K_{\pm}^2 \mp K_1 K_{\pm} - K_2^2$ . The particular solution to (16a) is

$$\begin{aligned} \hat{\xi} &= \left( \alpha_+^* a_+^* e^{-iK_+^* z} + \beta_-^* a_-^* e^{-iK_-^* z} \right) e^{-i\omega z_n/v_o} \\ &\quad + \left( \alpha_- a_- e^{iK_- z} + \beta_+ a_+ e^{iK_+ z} \right) e^{i\omega z_n/v_o}, \end{aligned} \quad (18a)$$

where

$$\begin{aligned} \alpha_{\pm} &= -\frac{i}{\beta_o \gamma_o} D_{\pm} (k_{\pm} - \omega/v_o) / R_{\pm}, \\ \beta_{\pm} &= -K_3^2 \alpha_{\pm} / D_{\pm}, \\ R_{\pm} &= K_{\pm}^4 - (2K_2^2 + K_1^2) K_{\pm}^2 + K_2^4 - K_3^4 \\ &= (K_{\pm}^2 - (d_1^2 + d_2^2)) (K_{\pm}^2 - (d_1^2 - d_2^2)), \\ d_1^2 &= K_2^2 + K_1^2/2, \\ d_2^2 &= ((K_2^2 + K_1^2/2)^2 - (K_2^4 - K_3^4))^{1/2}. \end{aligned} \quad (18b-f)$$

Substituting Eqs. (18) together with (14) into Eq. (8), the right-hand side of the wave equation becomes

$$-\frac{4\pi}{c} \int_0^{2\pi/\omega} \frac{dt}{2\pi/\omega} (J_{cx} \mp iJ_{cy}) e^{i\omega t} = 4\pi i \nu \beta_0 \frac{m_0 c^2}{|e|} \delta(x) \delta(y) e^{i\omega z/v_0} \left[ (K_{\pm} \mp k_q/2) \alpha_{\pm} a_{\pm} e^{i(K_{\pm} \mp k_q/2)z} + (K_{\mp} \mp k_q/2) \beta_{\mp} a_{\mp} e^{i(K_{\mp} \mp k_q/2)z} \right]. \quad (19)$$

### Dispersion Relation

To obtain the dispersion relation the coupled differential equation must be solved, subject to the boundary conditions on the waveguide. To this end, we assume that the electron beam propagates within a perfectly conducting cylindrical waveguide of radius  $r_g$ . In general, the complex amplitude for the right- and left-hand circularly polarized TE waves are written as,

$$\mathbf{A}_{\pm}(r, \theta, z) e^{-i\omega t} = \sum_{n,m} b_{\pm nm} [J_{n-1}(\mu_{nm} r) \hat{e}_{\pm} + J_{n+1}(\mu_{nm} r) e^{\pm i2\theta} \hat{e}_{\mp}] \exp[i(k_{\pm nm} z \pm (n-1)\theta - \omega t)], \quad (20)$$

where  $J_n$  is the  $n$ th order Bessel function,  $b_{\pm nm}$  are complex constants,  $\mu_{nm}$  are real constants determined by the boundary conditions and  $n = 1, 2, 3, \dots$  and  $m = 1, 2, 3, \dots$  are waveguide mode indices.

The boundary condition is such that the tangential component of the electric field vanishes on the waveguide surface,  $r = r_g$ . At  $r = r_g$  we have  $\mathbf{E} \cdot \hat{e}_{\theta} = 0$ , where  $\mathbf{E}$  is the total electric field and  $\hat{e}_{\theta} = -i \exp(-i\theta) \hat{e}_{+} + i \exp(-i\theta) \hat{e}_{-}$  is the unit vector in the azimuthal direction. Applying the boundary condition at  $r = r_g$ , we find the condition  $J'_n(\mu_{nm} r_g) = 0$ , so that  $\mu_{nm} r_g$  equals the  $m$ th positive zero of  $J'_n$ .

Since  $\mathbf{A}$  is driven by an effective transverse line current, we expect  $TE_{1m}$  modes will be excited. Substituting the  $TE_{1m}$  mode representation into the left-hand side of Eq. (4), we obtain two sets of coupled equations.

$$\begin{aligned}
& \sum_m e^{ik_{\pm 1m}z} \left( \frac{\omega^2}{c^2} - k_{\pm 1m}^2 - \mu_{1m}^2 \right) J_0(\mu_{1m}r) b_{\pm 1m} \\
& \sum_m e^{ik_{\mp 1m}z} \left( \frac{\omega^2}{c^2} - k_{\mp 1m}^2 - \mu_{1m}^2 \right) J_2(\mu_{1m}r) e^{\mp i2\theta} b_{\mp 1m} \\
& = -\frac{4\pi}{c} \frac{|e|}{m_0 c^2} \int_0^{2\pi/\omega} \frac{dt}{2\pi/\omega} e^{i\omega t} (J_x \mp iJ_y) \Big|_{r=0}. \quad (21)
\end{aligned}$$

Operating on Eq. (21) by both  $\int_0^{2\pi} d\theta \int_0^{r_g} J_0(\mu_{1m}r) r dr$  and  $\int_0^{2\pi} d\theta e^{\mp i2\theta} \int_0^{r_g} J_2(\mu_{1m}r) r dr$  and solving for  $b_{\pm 1,m}$ , we find that

$$\begin{aligned}
\left( \frac{\omega^2}{c^2} - k_{\pm 1m}^2 - \mu_{1m}^2 \right) b_{\pm 1m} e^{ik_{\pm 1m}z} &= -\frac{2}{c} \frac{1}{I_{1m}} \frac{|e|}{m_0 c^2} \int_0^{2\pi} d\theta \int_0^{r_g} r dr \int_0^{2\pi/\omega} \frac{dt}{2\pi} e^{i\omega t} \\
& [J_0(\mu_{1m}r)(J_x \mp iJ_y)|_{r=0} + J_2(\mu_{1m}r)(J_x \pm iJ_y)|_{r=0} e^{\mp i2\theta}]. \quad (22)
\end{aligned}$$

where  $I_{1m} = \int_0^{r_g} [J_0^2(\mu_{1m}r) + J_2^2(\mu_{1m}r)] r dr = (\mu_{1m}^2 r_g^2 - 1) \mu_{1m}^{-2} J_1^2(\mu_{1m} r_g)$ .

The dispersion relation greatly simplifies when only one waveguide mode, say the  $TE_{11}$ , takes part in the interaction, i.e.,  $n = 1$ ,  $m = 1$ ,  $a_{\pm} = b_{\pm 11}$ ,  $k_{\pm} = k_{\pm 11}$ .

Substituting (19) into the right-hand-side of (22), we obtain

$$\begin{aligned}
\left[ \left( \frac{\omega^2}{c^2} - k_{\pm 11}^2 - \mu_{11}^2 \right) R_{\pm} - k_b^2 \left( k_{\pm} - \frac{\omega}{v_0} \right)^2 D_{\pm} \right] b_{\pm 11} e^{ik_{\pm}z} \\
= -k_b^2 K_3^2 \left( k_{\pm} - \frac{\omega}{v_0} \right) \left( k_{\mp} - \frac{\omega}{v_0} \mp k_q \right) b_{\mp 11} e^{i(k_{\mp} \mp k_q)z}, \quad (23)
\end{aligned}$$

where  $k_b^2 = 2\nu/\gamma_0 I_{11} = 2\nu\mu_{11}^2/(\gamma_0(\mu_{11}^2 r_g^2 - 1)J_1^2(\mu_{11} r_g))$ . The RH and LH circularly polarized waves are simultaneously excited only when  $k_+ = k_- - k_q$ . Eliminating  $b_{\pm 11}$ , the dispersion relation coupling the RH and LH circularly polarized waves becomes

$$\begin{aligned}
\left[ R - \frac{k_b^2 (k - \omega/v_0 + k_q)^2 D_-}{\omega^2/c^2 - (k + k_q)^2 - \mu_{11}^2} \right] \left[ R - \frac{k_b^2 (k - \omega/v_0)^2 D_+}{\omega^2/c^2 - k^2 - \mu_{11}^2} \right] \\
= k_b^4 K_3^4 \left( \frac{(k - \omega/v_0)^2}{\omega^2/c^2 - k^2 - \mu_{11}^2} \right) \left( \frac{(k - \omega/v_0 + k_q)^2}{\omega^2/c^2 - (k + k_q)^2 - \mu_{11}^2} \right). \quad (24)
\end{aligned}$$

where  $k = k_+$ ,  $k_- = k + k_q$ ,  $K = K_{\pm} = k - \omega/v_o + k_q/2$ ,  $D_{\pm} = K^2 \mp K_1 K - K_2^2$  and  $R = R_{\pm} = D_+ D_- - K_3^4 = K^4 - (2K_2^2 + K_1^2)K^2 + K_2^4 - K_3^4$ . Equation (24) can be put into the form

$$\left[ D_+ - \frac{k_b^2(k - \omega/v_o + k_q)^2}{\omega^2/c^2 - (k + k_q)^2 - \mu_{11}^2} \right] \left[ D_- - \frac{k_b^2(k - \omega/v_o)^2}{\omega^2/c^2 - k^2 - \mu_{11}^2} \right] - K_3^4 = 0, \quad (25a)$$

and/or

$$R = 0. \quad (25b)$$

Equation (25a) agrees with the dispersion relation in Ref. 9 with the vertical field set to zero. Equation(25b) is the dispersion relation of the hybrid cyclotron and quadrupole modes with image fields in a waveguide in the absence of electromagnetic fields.

The dispersion relation possesses the following symmetry:

$$(k, k_q, B_o) \iff (k - k_q, -k_q, -B_o).$$

Utilizing this symmetry condition, the discussions in Section III can also be applied to rotating quadrupoles of the opposite helicity. The polarization of the waveguide mode associated with the wave number  $k$  that the three-wave is unstable is determined by the polarization of the waveguide mode that intersects the unstable beam modes.

The modes taking part in the interaction can be conveniently classified by setting the beam current equal to zero. The dispersion relation, for zero beam current, reduces to

$$\begin{aligned} & [k^2 - \omega^2/c^2 + \mu_{11}^2] [(k + k_q)^2 - \omega^2/c^2 + \mu_{11}^2] \\ & [(k - \omega/v_o + k_q/2)^2 - (d_1^2 + d_2^2)] [(k - \omega/v_o + k_q/2)^2 - (d_1^2 - d_2^2)] = 0, \end{aligned} \quad (26)$$

where

$$\begin{aligned} d_1^2 &= \frac{1}{2}(K_o(K_o - k_q) + k_q^2/2), \\ d_2^2 &= \frac{1}{2}(K_o^2(K_o - k_q)^2 + 4K_q^2 k_q^2)^{1/2}. \end{aligned}$$

The first and second bracketed terms on the left-hand side of Eq. (26) represents the LH and RH circularly polarized transverse electric waveguide modes, while the third and fourth terms,  $R$ , are hybrid cyclotron and quadrupole modes.

A diagram of the dispersion relation in the zero beam current limit is shown in Fig. 2. The parameters for the plot are guide magnetic field  $B_o = -1 \text{ kG}$ ,  $k_q = 0.5 \text{ cm}^{-1}$ , quadrupole gradient  $B_q k_q = 200 \text{ G/cm}$ ,  $\gamma_o = 5$  and drift-tube radius  $r_g = 3 \text{ cm}$ . The curves are associated with the RH and LH polarized waveguide modes respectively. The cut-off frequency of the waveguide  $TE_{11}$  mode is  $\mu_{11}c$ . The two straight solid (—) lines correspond to the beam lines associated with the  $d_1^2 - d_2^2$  expressions, and the two dashed (- - -) lines correspond to the beam lines associated with the  $d_1^2 + d_2^2$  expression.

### III. STABILITY REGIMES AND ANALYTICAL EXPRESSIONS FOR GROWTH RATES

The dispersion relation, Eq. (25a), contains i) a region of orbital instability (in the absence of the electromagnetic waves), ii) regions of three-wave instability, and iii) regions of stability. In this section, we will obtain the conditions delineating the various regimes and find analytical expressions for the maximum growth rate in each of the three-wave unstable regions. The stability diagrams are obtained in  $(k_q, K_o)$  space for given values of  $\gamma_o$ ,  $r_g$  and  $B_q k_q$  in the limit of zero beam current.

#### Orbit Unstable Regime

The expression  $R = 0$  is the dispersion relation for the particle dynamics in the presence of stellarator windings with an axial magnetic field. This expression is in agreement with Eq. (10) of Ref. 2 in the limit of perfectly conducting walls. The electron beam in this configuration can be unstable when  $(d_1^2 - d_2^2) \leq 0$ . The unstable values of  $K_o$  are

$$K_{crit,2} = \frac{k_q}{2} - 2K_q \leq K_o \leq K_{crit,3} = \frac{k_q}{2} + 2K_q, \quad (27)$$

where  $K_q = \Omega_q/v_o$ ,  $\Omega_q = |e|B_q/\gamma_o m_o c$ ,  $K_o = \Omega_o/v_o$  and  $\Omega_o = |e|B_o/\gamma_o m_o c$ . Equation (27) is in agreement with the stability condition of Ref. 1 in the limit of straight cylindrical geometry and zero beam current. It is interesting to note that this condition is also in agreement with the condition for beam envelope stability in the limit of zero space charge.<sup>13</sup>

#### Three-Wave Unstable Regimes

The three-wave instability will occur when, for example, the RHCP waveguide mode intersects, in the  $(\omega, k)$  plane, the appropriate beam mode given by

$$(k - \omega/v_o + k_q/2)^2 - (d_1^2 - d_2^2) = 0, \quad (28)$$

and  $(d_1^2 - d_2^2) > 0$ . For  $k_q > 0$ , the instability for the RHCP waveguide mode occurs for  $\omega > 0$ . For  $K_o < K_{crit,2}$ , the three-wave is unstable (Region I) when the RHCP waveguide mode intersects the beam line  $\omega/v_o = (k + k_q/2) + \sqrt{d_1^2 - d_2^2}$ . For  $K_o > K_{crit,3}$ , the three-wave is unstable (Region II) when the RHCP waveguide mode intersects the beam line

$\omega/v_o = (k + k_q/2) - \sqrt{d_1^2 - d_2^2}$ . Identical three-wave instability growth rates occur for the LHCP waveguide mode, for  $\omega/c$  with sign opposite to the unstable modes associated with the RHCP waveguide mode.

### Three-Wave Stable Regime for $K_o < K_{crit,2}$

Stability is achieved when the waveguide cut off frequency  $\mu_{11}c$  is sufficiently large so that intersection with either of the beam lines, defined by Eq. (28) cannot be achieved. The condition in terms of the waveguide mode cutoff is

$$q\mu_{11} \geq k_q + 2(d_1^2 - d_2^2)^{1/2}, \quad (29)$$

where

$$q = \left( \frac{4}{\gamma_o^2 - 2} \right)^{1/2}.$$

Based on Eq. (29), the region of

$$k_q > q\mu_{11} \quad \text{and} \quad K_o < K_{crit,2} \quad (30)$$

is always three-wave unstable. If the inequality in (29) can be satisfied, we can solve for the explicit value of  $K_o$  for the three-wave stable regime.

For  $k_q < q\mu_{11}$  and  $K_o < K_{crit,2}$ , condition for stability in terms of the variable  $K_o$  is

$$2fK_o(K_o - k_q) + f^2 - 4K_qk_q < 0, \quad (31)$$

where

$$f = q\mu_{11}(k_q - q\mu_{11}/2). \quad (32)$$

Defining

$$\zeta = k_q^2(1 + 8K_q^2f) - 2f, \quad (33)$$

we solve for  $K_o$  with  $k_q < q\mu_{11}$ , and find four situations:

i) for  $f > 0$  and  $\zeta > 0$ , the stable range of  $K_o$  is given by

$$K_{crit,1} = \frac{k_q}{2} - \frac{\zeta^{1/2}}{2} < K_o < K_{crit,2}, \quad (34a)$$

ii) for  $f < 0$  and  $\zeta > 0$ , the stable values of  $K_o$  are

$$K_o < K_{crit,1} = \text{smaller of } \left( \frac{k_q}{2} - \frac{\zeta^{1/2}}{2}, K_{crit,2} \right), \quad (34b)$$

iii) for  $f > 0$  and  $\zeta < 0$ , all values of

$$K_o < K_{crit,2} \quad (34c)$$

are unstable,

iv) for  $f < 0$  and  $\zeta < 0$ , all values of

$$K_o < K_{crit,2} \quad (34d)$$

are stable.

### Three-Wave Stable Regime for $K_o > K_{crit,3}$

The three-wave interaction is also stable when the RHCP waveguide mode intersects only the top (the beam line with larger  $\omega$  for the same  $k$ ) but not the bottom beam mode associated with Eq. (28), and  $K_o > K_{crit,3}$ . This occurs when

$$q\mu_{11} \geq k_q - 2(d_1^2 - d_2^2)^{1/2}. \quad (35)$$

The three-wave interaction is stable for

$$k_q < q\mu_{11} \quad \text{and} \quad K_o > K_{crit,3}. \quad (36)$$

For  $k_q > q\mu_{11}$  and  $K_o > K_{crit,3}$ , the values of  $K_o$  that are three-wave stable are

$$K_o > K_{crit,4} = \frac{k_q}{2} + \frac{\zeta^{1/2}}{2}. \quad (37)$$

In this regime, one can show that  $f > 0$  and  $\zeta > 0$ . In the limit of small quadrupole gradient, large  $\gamma_o$  and  $K_o > K_{crit,3}$ , the stability condition is approximately<sup>11</sup>

$$K_o > k_q - \mu_{11}/\gamma_o. \quad (38)$$



The various operating regimes are illustrated as functions of  $k_q$  and  $K_o$  in Fig. 3, for  $\gamma_o = 5$ ,  $r_o = 3$  cm and quadrupole gradient  $B_q k_q = 200$  G/cm. This plot assumes that the quadrupole gradient is a constant, and  $(k_q, K_o)$  are allowed to vary. The horizontal separation of the boundaries for the orbital unstable region is  $4K_q$ . Since the stability boundaries are obtained in the limit of zero beam current, the area of the actual stable regions will shrink slightly as the value of the current is increased.

### Analytical Expressions for the Growth Rates

In the three-wave unstable regimes, we can obtain analytical expressions for the peak growth rates. The dispersion relation (25a) for the coupled RH and LH polarized wave can be rewritten as

$$(\omega^2 - \omega_1^2)((\omega - \omega_2)^2 - \Delta\omega_1^2)((\omega - \omega_2)^2 - \Delta\omega_2^2)(\omega^2 - \omega_3^2) = \bar{\sigma}, \quad (39)$$

where

$$\bar{\sigma} = k_b^2 v_o^2 c^2 [(\omega - v_o k)^2 (\omega^2 - \omega_3^2) D_+ + (\omega - v_o(k + k_q))^2 (\omega^2 - \omega_1^2) D_-],$$

$\omega_1 = \sqrt{k^2 + \mu_{11}^2} c$ ,  $\omega_2 = v_o(k + k_q/2)$ ,  $\omega_3 = \sqrt{(k + k_q)^2 + \mu_{11}^2} c$ ,  $\Delta\omega_1^2 = v_o^2(d_1^2 + d_2^2)$ , and  $\Delta\omega_2^2 = v_o^2(d_1^2 - d_2^2)$ . Based on the numerical results of the full dispersion relation in Eq. (25a), instability occurs at the intersection of the RHCP waveguide mode and one of the two modes of Eq. (28) for  $k_q > 0$  and  $\omega > 0$ . Defining  $\omega = \omega_1 + \delta\omega$  and  $\sigma = \bar{\sigma}|_{\omega=\omega_1}$ , the dispersion relation reduces to

$$(\omega^2 - \omega_1^2)((\omega - \omega_2)^2 - \Delta\omega_1^2)((\omega - \omega_2)^2 - \Delta\omega_2^2) = \sigma, \quad (40)$$

where  $\sigma = k_b^2 v_o^2 c^2 (\omega_1 - v_o k)^2 D_+|_{\omega=\omega_1 \pm \Delta\omega_2}$ ,  $D_+|_{\omega=\omega_1 \pm \Delta\omega_2} = (\Delta\omega_2/c)^2 \pm K_1(\Delta\omega_2/c) - K_2^2$  and the top and bottom signs in  $D_+$  refer to the three-wave unstable regions I and II respectively. Equation (40) is the dispersion relation when the RHCP waveguide mode is uncoupled to the LHCP waveguide mode.

The instability region I in Fig. 3 is the result of the waveguide mode intersecting the upper mode (the beam line with larger  $\omega$  for the same  $k$ ) given in Eq. (28). We will write

$\omega = \omega_1 + \delta\omega$  and  $\omega_1 = \omega_2 + \Delta\omega_2$ . We will assume  $\Delta\omega_1 - \Delta\omega_2 \gg \delta\omega$ . The dispersion relation is given approximately by

$$\left(\frac{\delta\omega}{c} + 2\frac{\Delta\omega_2}{c}\right)\left(\frac{\delta\omega}{c}\right)^2 = -\frac{\sigma/c^3}{2\omega_1(\Delta\omega_1^2 - \Delta\omega_2^2)}. \quad (41)$$

For simplicity, we will assume the temporal growth rate is much smaller than the separation of the beam modes, i.e.,  $\delta\omega \ll 2\Delta\omega_2$ . With this assumption, we obtain a simple expression for the dispersion relation,

$$\left(\frac{\delta\omega}{c}\right)^2 \simeq -\frac{\sigma/c^2}{4\omega_1\Delta\omega_2(\Delta\omega_1^2 - \Delta\omega_2^2)}. \quad (42)$$

For values of  $K_o$  in the unstable region I, i.e.,  $K_o < K_{crit,2}$ , the values of  $\sigma$  are positive, and Eq. (42) gives the temporal growth rate.

When the RHCP waveguide mode intersects both modes given by Eq. (28) with  $k_q > 0$ ,  $\omega > 0$  and  $K_o > K_{crit,3}$ , the instability occurs only at the intersection of the RHCP wave mode and the lower beam mode. This gives the instability regime II in Fig. 3. We will define  $\omega_1 = \omega_2 - \Delta\omega_2$ . The dispersion relation becomes

$$\left(\frac{\delta\omega}{c} - 2\frac{\Delta\omega_2}{c}\right)\left(\frac{\delta\omega}{c}\right)^2 = -\frac{\sigma/c^3}{2\omega_1(\Delta\omega_1^2 - \Delta\omega_2^2)}. \quad (43)$$

Here again, we assume  $2\Delta\omega_2 \gg \delta\omega$  and the dispersion relation reduces to

$$\left(\frac{\delta\omega}{c}\right)^2 \simeq \frac{\sigma/c^2}{4\omega_1\Delta\omega_2(\Delta\omega_1^2 - \Delta\omega_2^2)}. \quad (44)$$

For  $K_{crit,3} < K_o < k_q$ , the quantity  $\sigma$  is negative, and Eq. (44) gives the temporal growth rate in region II of Fig. 3. The analytical expressions (42) and (44) show that the temporal growth rate  $\Gamma = Im(\delta\omega)$  scales approximately as the square root of the beam current, i.e.,  $\Gamma/c \propto I_p^{1/2}$ .

#### IV. NUMERICAL RESULTS

The full dispersion relation, Eq. (25a) is solved numerically to: i) obtain the growth rates and group velocities, ii) verify the various operating regimes and the analytical expressions for the temporal growth rates and iii) show the scaling of the growth rate with respect to the various parameters. The numerical studies center around the parameters shown in Table I. With the quadrupole wave number  $k_q$  chosen to be  $0.5 \text{ cm}^{-1}$  ( $\lambda_q = 12.57 \text{ cm}$ ), we may demonstrate each of the different operating regimes by varying the axial magnetic field,  $B_o$ , except in one of the stable regimes, where we take  $k_q = 0.1 \text{ cm}^{-1}$ .

Figure 3 is a plot of the various operating regimes in the parameter space of  $k_q$  as a function of  $K_o$  for  $\gamma_o = 5$ ,  $B_q k_q = 200 \text{ G/cm}$  and  $r_g = 3 \text{ cm}$  as in Table I.

##### Numerical Results from Full Dispersion Relation

The dispersion diagram with current  $I_b = 1 \text{ kA}$  for the five different regimes are shown in Fig. 4:

- a)  $B_o = -1.0 \text{ kG}$  ( $K_o = -0.24 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$  in the three-wave unstable region I,
- b)  $B_o = 2.15 \text{ kG}$  ( $K_o = 0.26 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$  in the orbit unstable regime,
- c)  $B_o = 3.5 \text{ kG}$  ( $K_o = 0.42 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$  in the three-wave unstable region II,
- d)  $B_o = 5.0 \text{ kG}$  ( $K_o = 0.60 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$  in the three-wave stable regime,
- e)  $B_o = -5.0 \text{ kG}$  ( $K_o = -0.60 \text{ cm}^{-1}$ ) and  $k_q = 0.1 \text{ cm}^{-1}$  in the three-wave stable regime.

Plots of the temporal growth rate as a function of wave number  $k$  are given in Figs. 5-7 for each of the different regimes with current  $I_b = 1 \text{ kA}$ . Figure 5 plots the temporal growth rates in region I, for  $B_o = -1.0 \text{ kG}$  ( $K_o = -0.12 \text{ cm}^{-1}$ ),  $B_o = 0$ ,  $B_o = 1.0 \text{ kG}$  ( $K_o = 0.12 \text{ cm}^{-1}$ ), and  $B_o = 1.3 \text{ kG}$  ( $K_o = 0.156 \text{ cm}^{-1}$ ). Only the growth rates associated with  $Re(\omega) > 0$  are plotted; these are associated with RHCP waves. The growth rates associated with  $Re(\omega) < 0$  are identical and are associated with LHCP waves. The growth rate and the range of unstable values of  $k$  increase as  $K_o$  approaches the orbit unstable value of  $K_{crit,2} = 0.154 \text{ cm}^{-1}$ .

Figure 6 shows temporal growth rates in the three-wave unstable region II, for  $B_o = 2.95 \text{ kG}$  ( $K_o = 0.35 \text{ cm}^{-1}$ ),  $B_o = 3.0 \text{ kG}$  ( $K_o = 0.36 \text{ cm}^{-1}$ ),  $B_o = 3.25 \text{ kG}$  ( $K_o = 0.39 \text{ cm}^{-1}$ ) and  $B_o = 3.5 \text{ kG}$  ( $K_o = 0.41 \text{ cm}^{-1}$ ). For some values of  $B_o$ , the RHCP wave intersects the beam line two times as indicated by temporal growth rates at two separate regions of wave number  $k$  for a given axial magnetic field. The growth rate and the range of unstable values of  $k$  increases as  $K_o$  approaches  $K_{crit,3}$ . The stability boundaries predict that the three-wave instability is present for  $K_{crit,3} = 0.35 \text{ cm}^{-1} < K_o < K_{crit,4} = 0.41 \text{ cm}^{-1}$  ( $2.95 \text{ kG} < B_o < 3.5 \text{ kG}$ ). Numerical results for  $I_b = 1 \text{ kA}$  are in excellent agreement with the theory.

Figure 7 plots the temporal growth rate versus  $k$  in the orbit unstable region for  $B_o = 2.15 \text{ kG}$  ( $K_o = 0.26 \text{ cm}^{-1}$ ). The region of instability covers both positive and negative values of wave number  $k$ , even at regions where the beam lines are far from the waveguide mode. Thus, the unstable growth rate is the result of unstable beam orbits in the stellarator and guide fields, irrespective of the electromagnetic waves.

As the beam current increases, the coupling of the RHCP and LHCP waves becomes stronger and the actual stability boundaries deviate from the analytic expressions. Figures 8-10 are plots of temporal growth rate as a function of wave number  $k$  for  $I_b = 10 \text{ kA}$ . Figure 8 plots the temporal growth rates in region I, for  $B_o = -1.0 \text{ kG}$  ( $K_o = -0.12 \text{ cm}^{-1}$ ),  $B_o = 0$ ,  $B_o = 1.0 \text{ kG}$  ( $K_o = 0.12 \text{ cm}^{-1}$ ), and  $B_o = 1.3 \text{ kG}$  ( $K_o = 0.156 \text{ cm}^{-1}$ ).

Figure 9 plots temporal growth rates versus  $k$  in the three-wave unstable region II, for  $B_o = 3.0 \text{ kG}$  ( $K_o = 0.36 \text{ cm}^{-1}$ ),  $B_o = 3.25 \text{ kG}$  ( $K_o = 0.39 \text{ cm}^{-1}$ ),  $B_o = 3.5 \text{ kG}$  ( $K_o = 0.41 \text{ cm}^{-1}$ ) and  $B_o = 3.85 \text{ kG}$  ( $K_o = 0.45 \text{ cm}^{-1}$ ). The range of  $B_o$  for the three-wave unstable region II at zero beam current is  $2.95 \text{ kG} < B_o < 3.5 \text{ kG}$ . For current of  $I_p = 10 \text{ kG}$ , the RHCP and LHCP waves become coupled for  $B_o = 2.95 - 3.25 \text{ kG}$ . At the same time, the three-wave unstable regime is extended beyond  $B_o = 3.5 \text{ kG}$  to  $B_o = 3.85 \text{ kG}$ . The RHCP and LHCP waves become coupled for  $B_o = 2.95 - 3.25 \text{ kG}$  and the three-wave unstable regime is extended into a region where  $K_o > K_{crit,4} = 0.41 \text{ cm}^{-1}$ , where the analytic ( $I_b = 0$ ) expressions predict stability.

Figure 10 plots the temporal growth rate versus  $k$  in the orbit unstable region for  $B_o = 2.15 \text{ kG}$  ( $K_o = 0.26 \text{ cm}^{-1}$ ). Here the region of instability covers essentially all values

of wave number  $k$ .

A summary of peak temporal growth rates as a function of normalized guide field  $K_o$  for  $I_b = 10 \text{ kA}$  is shown in Fig. 11 for  $\gamma_o = 5$ ,  $\gamma_o = 7.5$  and  $\gamma_o = 10$ . The group of curves on the left belong to unstable region I and the group of curves on the right belong to unstable region II. The gap separating the two groups of curves corresponds to the orbit unstable region. Plots of the group velocity as a function of  $K_o$  are shown in Fig. 12. Those values plotted are associated with the growth rates of Fig. 11. The group velocity approaches the beam velocity in three-wave unstable region II.

We also examine the effect of the waveguide radius  $r_g$  on the three-wave instability. As the guide radius is increased, the waveguide cut-off frequency  $\mu_{11}c$  decreases. For the three-wave unstable region I, the intersection of the waveguide mode and one of the beam lines in Eq. (28) can occur for negative values of wave number  $k$ . When this occurs, the phase velocity is negative and group velocity is positive but reduced in value. Figure 13 plots the temporal growth rate and the group velocity as a function of  $K_o$  for  $r_g = 5 \text{ cm}$  and  $I_b = 10 \text{ kA}$ , while keeping all the other parameters the same as in Table I.

#### Comparison of Analytic and Numerical Results

The analytical expressions for the temporal growth rates for the two regions of the three-wave instability are given in Eq. (42) and (44). Figure 14 is a comparison of the maximum temporal growth rate as a function of the normalized guide field  $K_o$  for the values obtained from the numerically solved full dispersion relation (solid curves) and from the analytical expressions (dashed curves) for current  $I_b = 1 \text{ kA}$ . The agreement between the analytical and numerical results of the temporal growth rates is good as long as  $\Delta\omega_2/c > \Gamma/c$  (see Eqs. (39)-(44)). On the dispersion diagram,  $2\Delta\omega_2/c$  is the difference in frequency/ $c$  of the beam lines (28) that could go unstable. To illustrate the case  $\Delta\omega_2/c > \Gamma/c$ , we take  $K_o = -0.36 \text{ cm}^{-1}$  ( $B_o = -3 \text{ kG}$ ). The numerical result of the temporal growth rate is  $\Gamma/c = 4.3 \times 10^{-3} \text{ cm}^{-1}$ , which is much smaller than  $\Delta\omega_2/c = 0.25$ . The analytical result for the temporal growth rate is also  $\Gamma/c = 4.3 \times 10^{-3} \text{ cm}^{-1}$ . As  $\Delta\omega_2/c$  decreases and  $\Gamma/c$  increases, the analytical expressions for the growth rate becomes less accurate. At  $K_o = 0.12 \text{ cm}^{-1}$  ( $B_o = 1 \text{ kG}$ ),  $\Delta\omega_2/c = 8.2 \times 10^{-2}$ , which is comparable to

$\Gamma/c$ . The analytical expression for the growth rate,  $\Gamma/c = 2.50 \times 10^{-2} \text{ cm}^{-1}$ , is 8% larger than the numerical result of  $\Gamma/c = 2.30 \times 10^{-2} \text{ cm}^{-1}$ .

## V. CONCLUSIONS

The addition of stellarator windings to an axial guide field was proposed as a method of transporting a high current beam in a curved geometry with a high tolerance to energy mismatch.<sup>1</sup> The stability properties of such configurations have been clarified in the present study, which included the beam centroid motion, the electromagnetic waveguide modes, expressed in terms of right-hand circularly polarized (RHCP) and left-hand circularly polarized (LHCP) waves, and the induced image forces on the conducting boundaries, in addition to the external magnetic fields.

We find five operating regimes: i) two physically distinct three-wave unstable regimes, ii) an orbit unstable regime, in which the beam centroid is unstable independent of the electromagnetic waveguide modes and iii) two stable regimes. We have obtained analytical expressions for the boundaries of the various stability regimes in parameter space and have presented algebraic expressions for the growth rates in each of the two three-wave unstable regimes. These analytical results are valid in the limit of low beam current, when the RHCP and LHCP waves decouple, and are in good agreement with those obtained via numerical solutions of the full dispersion relation.

The simplified dispersion relation for uncoupled RHCP and LHCP waves, given in Eq. (40), has been shown to produce results that are in close agreement with those of the full dispersion relation. The simplified dispersion relation is not valid, however, when the coupling between the RHCP and LHCP waves is strong, as in the orbit unstable regime. In three-wave unstable region II, the coupling is strong only at high current. The growth rates in this regime, for example, from the full (coupled) dispersion relation are about 20 – 30% larger than from the uncoupled dispersion relation for  $k_w = 0.5 \text{ cm}^{-1}$  and  $I = 10 \text{ kA}$ . In three-wave unstable region I the RHCP and LHCP waves are essentially uncoupled.

These results suggest that the three-wave instability can be avoided by appropriately choosing the various parameters. Results show that as the beam energy increases, the stability conditions become more restrictive. In such cases, it may be necessary to decrease the quadrupole gradient,  $B_q k_q$ , quadrupole wave number  $k_q$  and/or increase the value of the guide field  $B_o$  in order to remain in the stable regime.

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Table I: Parameters Used in Section IV

Quadrupole gradient, $B_q k_q$	200 G/cm
Beam energy ( $\gamma_o$ )	5
Beam current, $I_b$	1,10 kA
Drift-tube radius, $r_g$	3 cm

Calculated parameters

$TE_{11}$ cut-off frequency/c, $\mu_{11}$	0.614 $cm^{-1}$
$q\mu_{11}$	0.256 $cm^{-1}$
$K_q k_q$	0.024 $cm^{-2}$
$\beta_o = v_o/c$	0.9793

For rotating quadrupole wavelength,  $\lambda_q = 12.57$  cm

Wave number, $k_q$	0.5 $cm^{-1}$
$K_q$	0.048 $cm^{-1}$
$K_{crit,2}$ ( $B_o = 1.32$ kG)	0.154 $cm^{-1}$
$K_{crit,3}$ ( $B_o = 2.95$ kG)	0.346 $cm^{-1}$
$K_{crit,4}$ ( $B_o = 3.52$ kG)	0.413 $cm^{-1}$

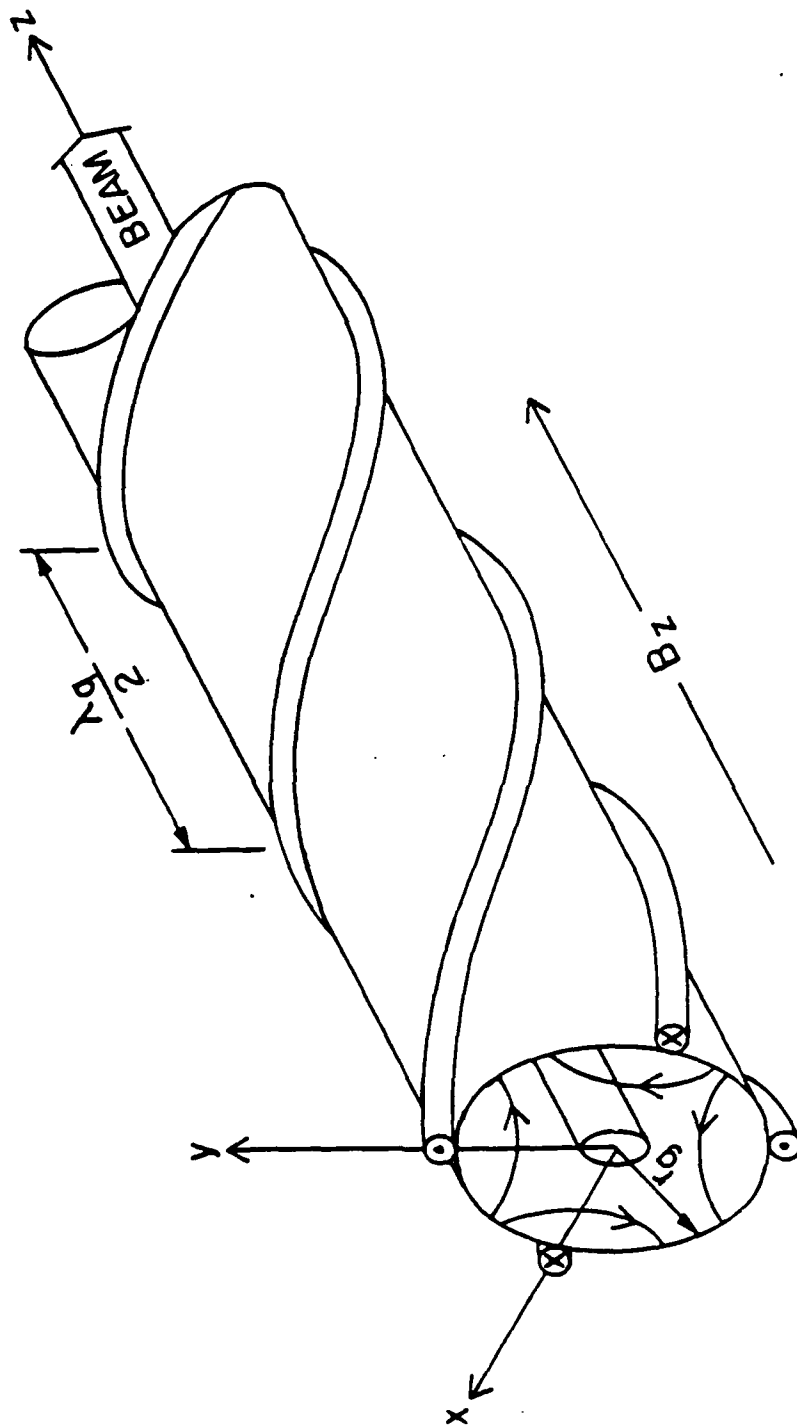


Fig. 1 The geometry showing the conducting cylindrical drift tube, the rotating quadrupole field and the axial guide field.

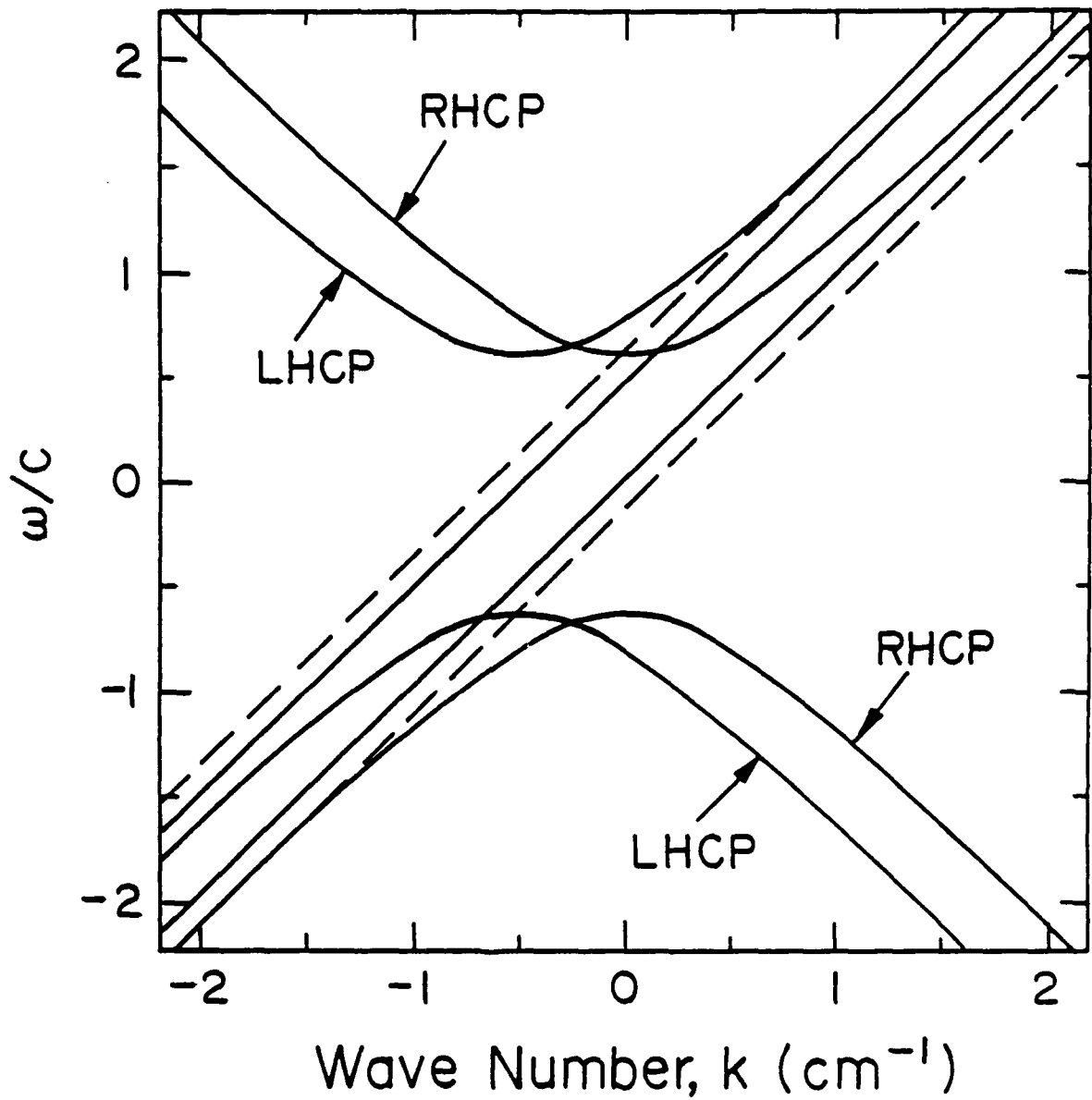


Fig. 2 The dispersion diagram in the limit of zero beam current. The curves are the LH and RH circularly polarized  $TE_{11}$  waveguide modes. The dashed (- - -) and solid (—) straight lines are the beam modes.

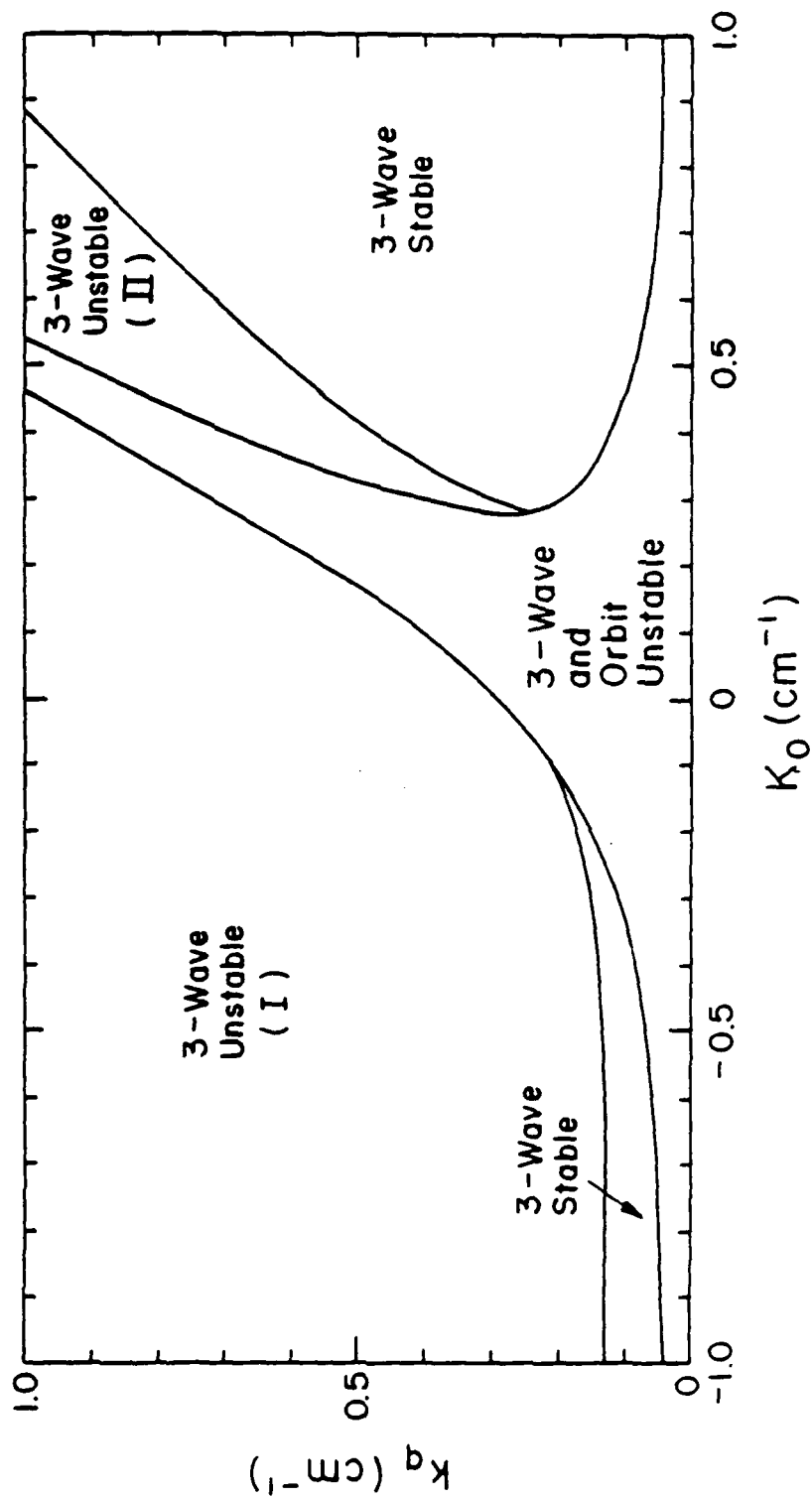


Fig. 3 Plot of  $(k_q, K_0)$  parameter space showing the various operating regimes. Stability boundaries are calculated from Eqs. (27)-(37) for parameters of Table I.

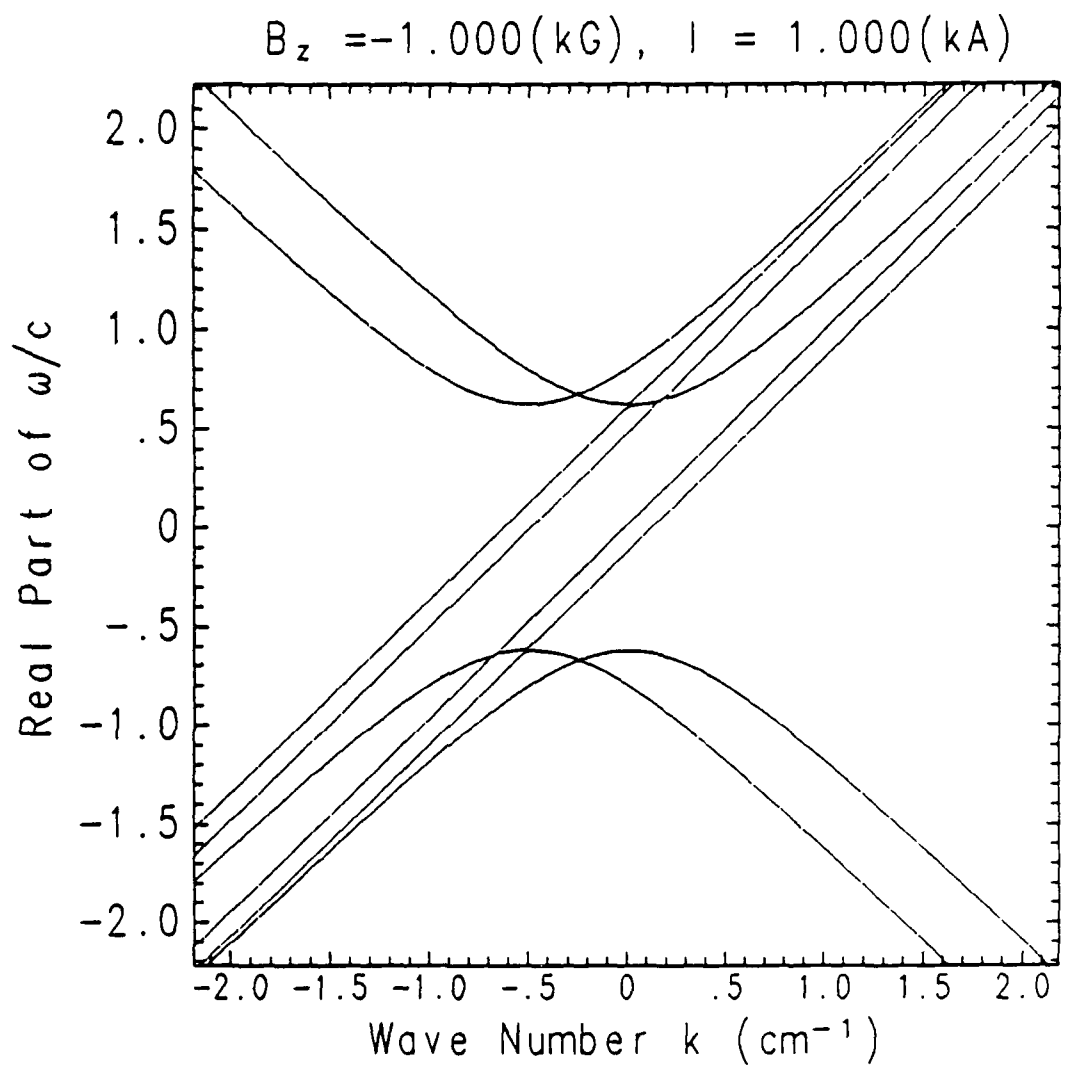


Fig. 4 Dispersion diagram for the right-hand-circularly polarized waves for parameters in Table I with:

a)  $B_o = -1.0 \text{ kG}$  ( $K_o = -0.24 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$ .

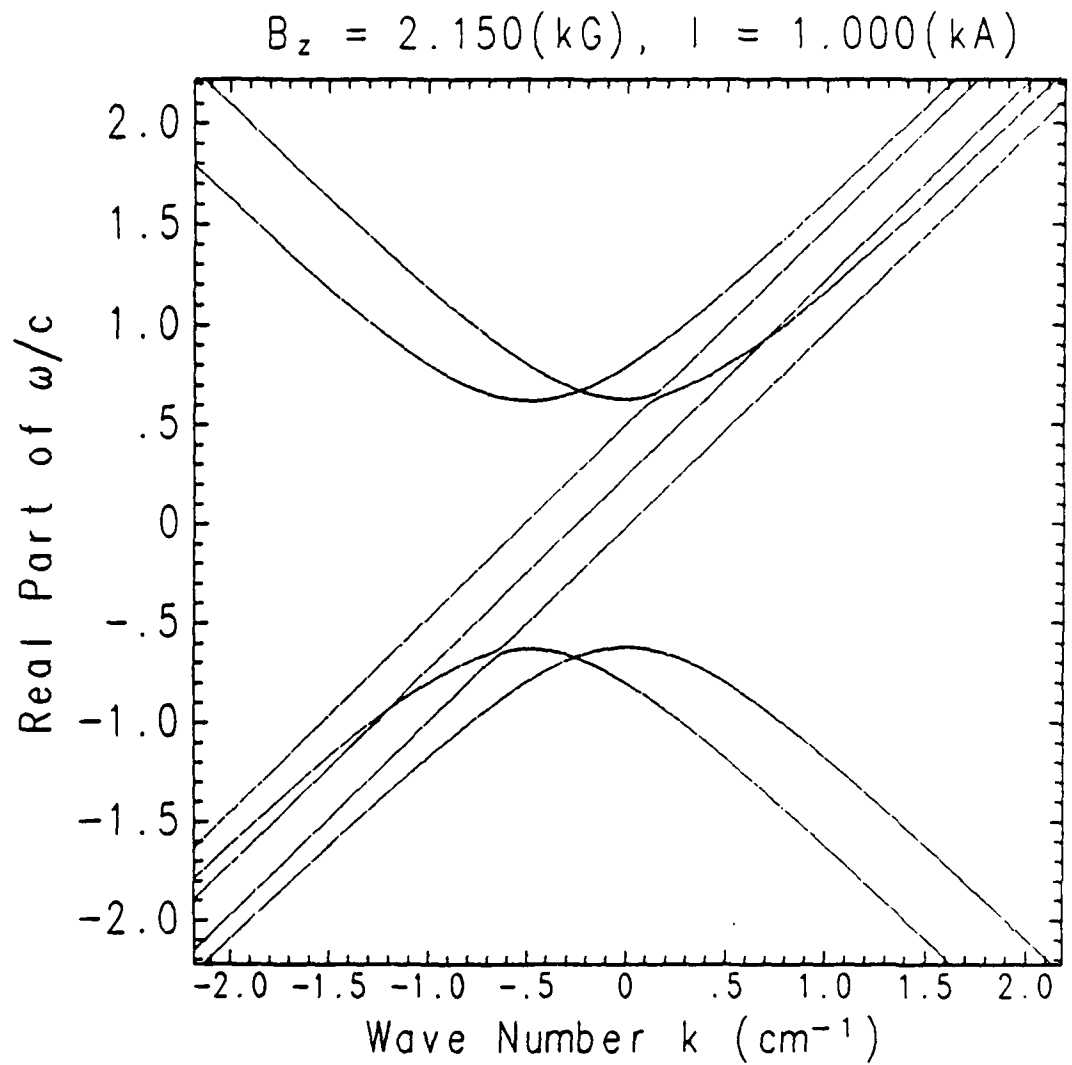


Fig. 4 (Continued) Dispersion diagram for the right-hand-circularly polarized waves for parameters in Table I with:

b)  $B_o = 2.15 \text{ kG}$  ( $K_o = 0.26 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$ .

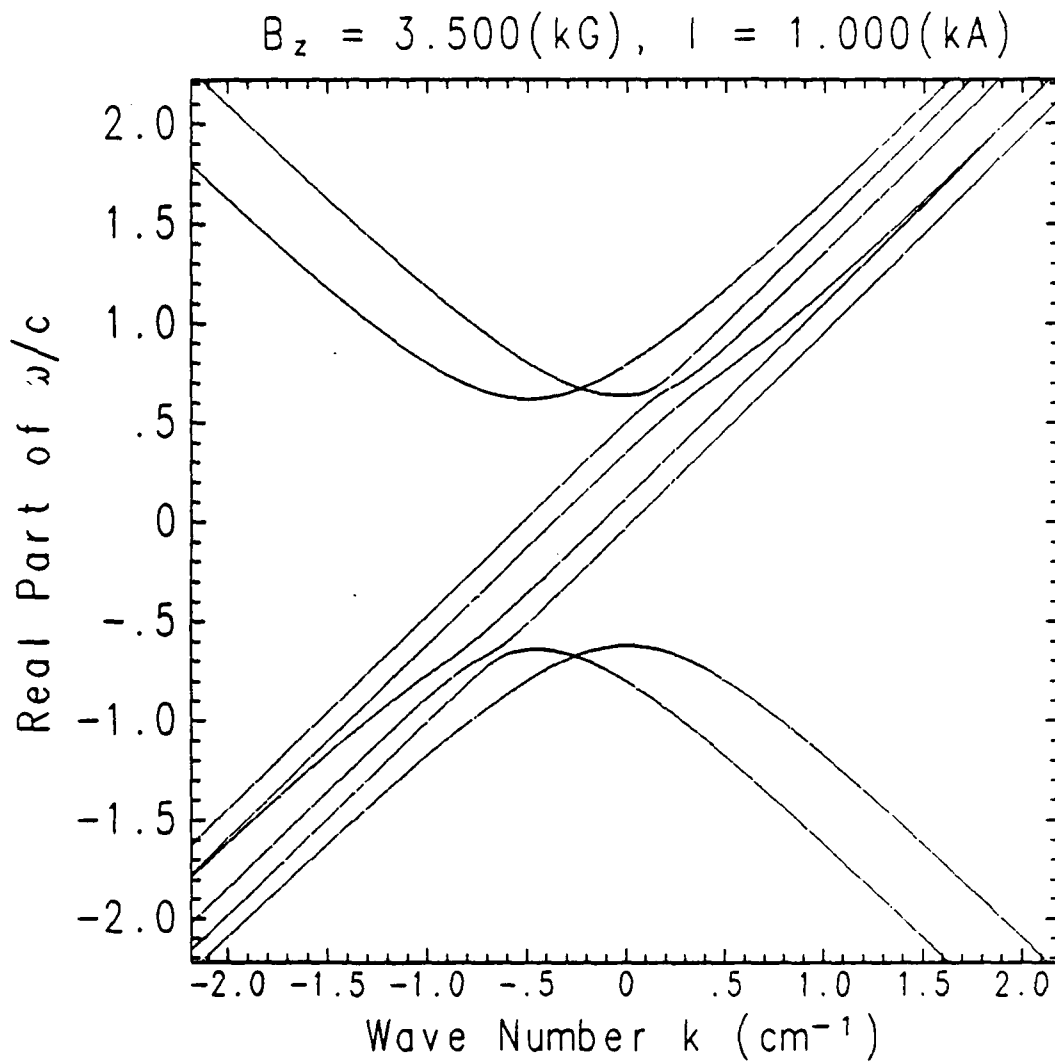


Fig. 4 (Continued) Dispersion diagram for the right-hand-circularly polarized waves for parameters in Table I with:

c)  $B_o = 3.5 \text{ kG}$  ( $K_o = 0.42 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$ .



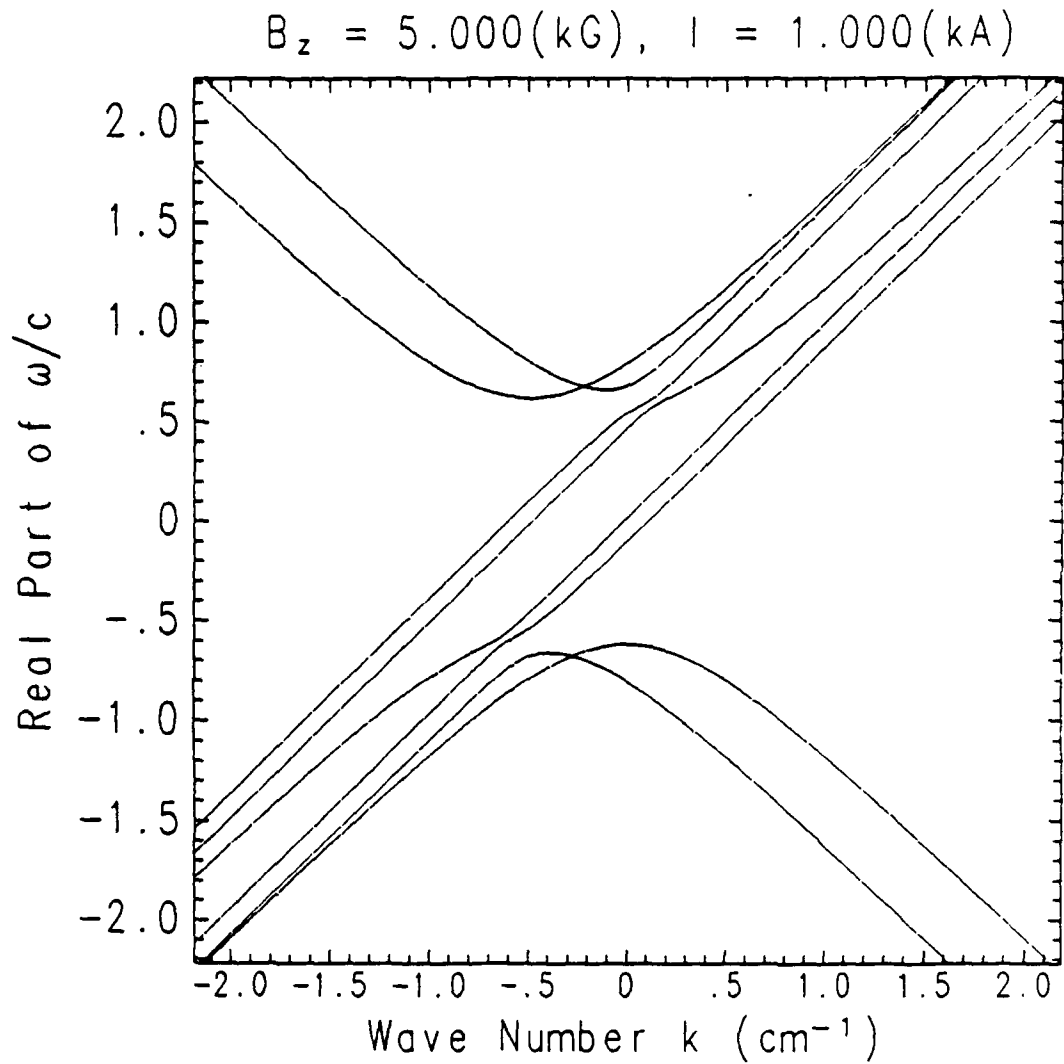


Fig. 4 (Continued) Dispersion diagram for the right-hand-circularly polarized waves for parameters in Table I with:

d)  $B_o = 5.0 \text{ kG}$  ( $K_o = 0.60 \text{ cm}^{-1}$ ) and  $k_q = 0.5 \text{ cm}^{-1}$ , and

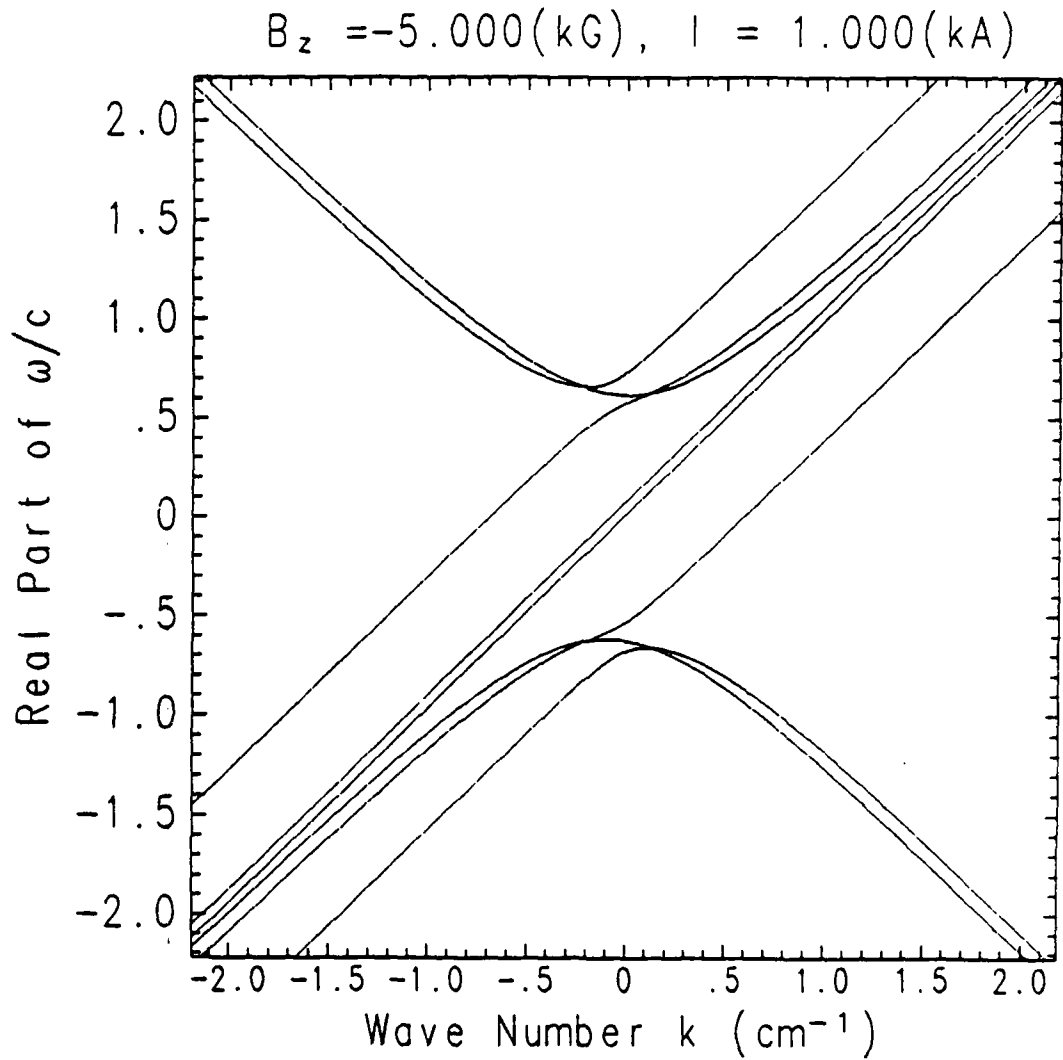


Fig. 4 (Continued) Dispersion diagram for the right-hand-circularly polarized waves for parameters in Table I with:

e)  $B_o = -5.0 \text{ kG}$  ( $K_o = -0.60 \text{ cm}^{-1}$ ) and  $k_q = 0.1 \text{ cm}^{-1}$ .

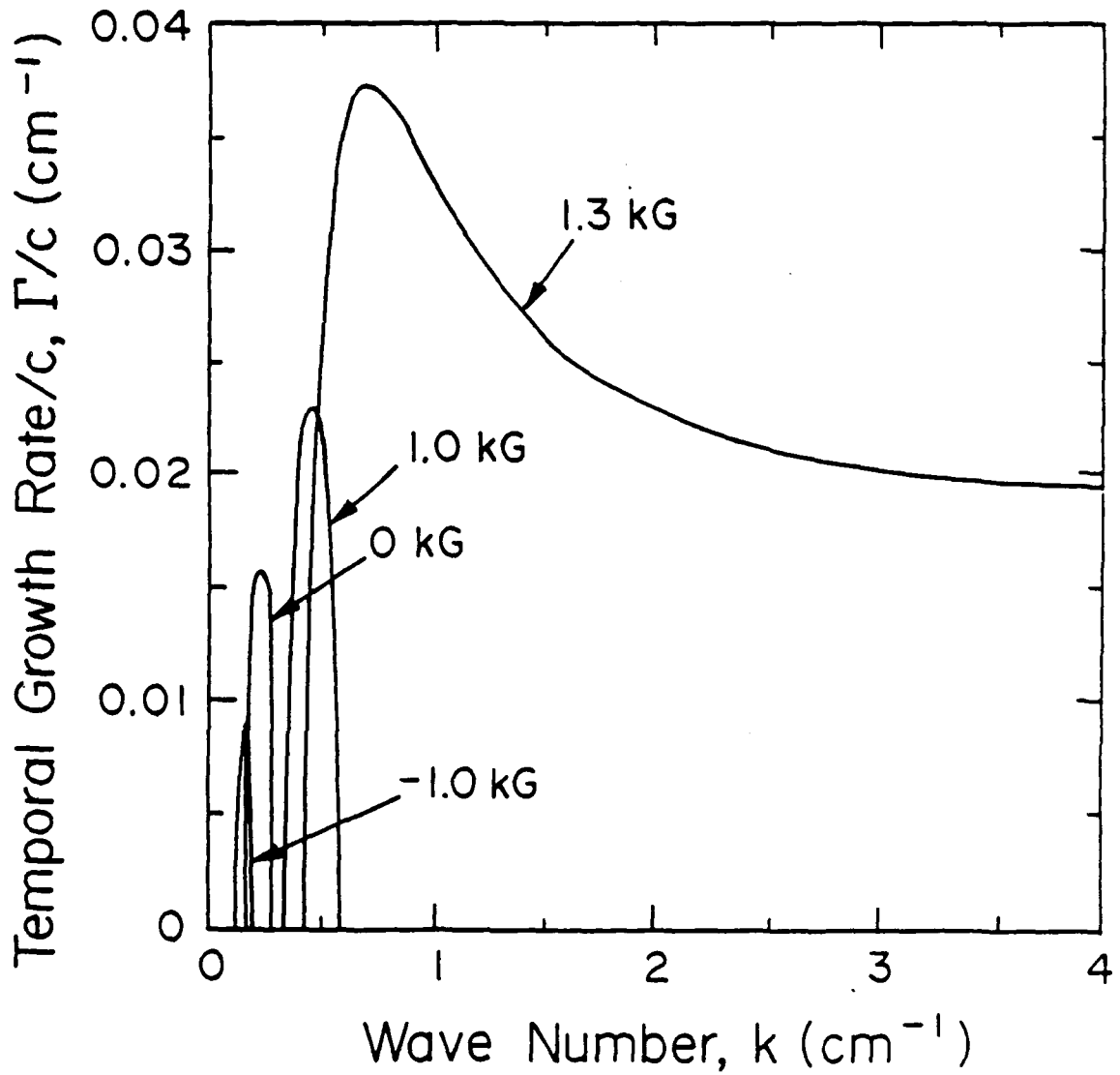


Fig. 5 Plots of the temporal growth rate versus  $k$  in three-wave unstable region I. for current

$I_b = 1 \text{ kA}$  and

- a)  $B_o = -1.0 \text{ kG}$  ( $K_o = -0.12 \text{ cm}^{-1}$ ),
- b)  $B_o = 0$ ,
- c)  $B_o = 1.0 \text{ kG}$  ( $K_o = 0.12 \text{ cm}^{-1}$ ) and
- d)  $B_o = 1.3 \text{ kG}$  ( $K_o = 0.156 \text{ cm}^{-1}$ ).

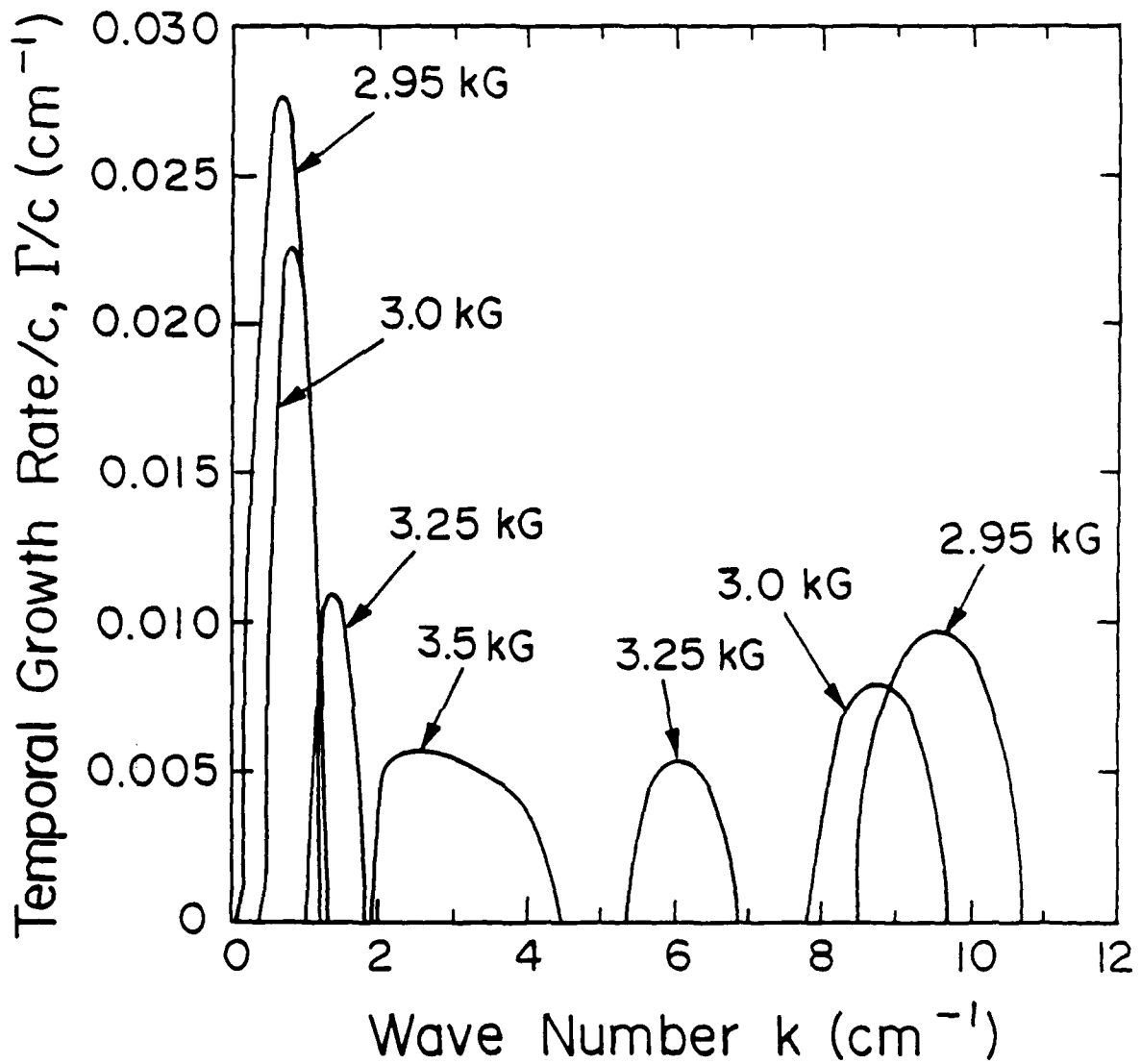


Fig. 6 Plots of the temporal growth rate versus  $k$  in the three-wave unstable region II, for current  $I_b = 1 \text{ kA}$  and

- a)  $B_o = 2.95 \text{ kG}$  ( $K_o = 0.35 \text{ cm}^{-1}$ ),
- b)  $B_o = 3.0 \text{ kG}$  ( $K_o = 0.36 \text{ cm}^{-1}$ ),
- c)  $B_o = 3.25 \text{ kG}$  ( $K_o = 0.39 \text{ cm}^{-1}$ ) and
- d)  $B_o = 3.5 \text{ kG}$  ( $K_o = 0.41 \text{ cm}^{-1}$ ).

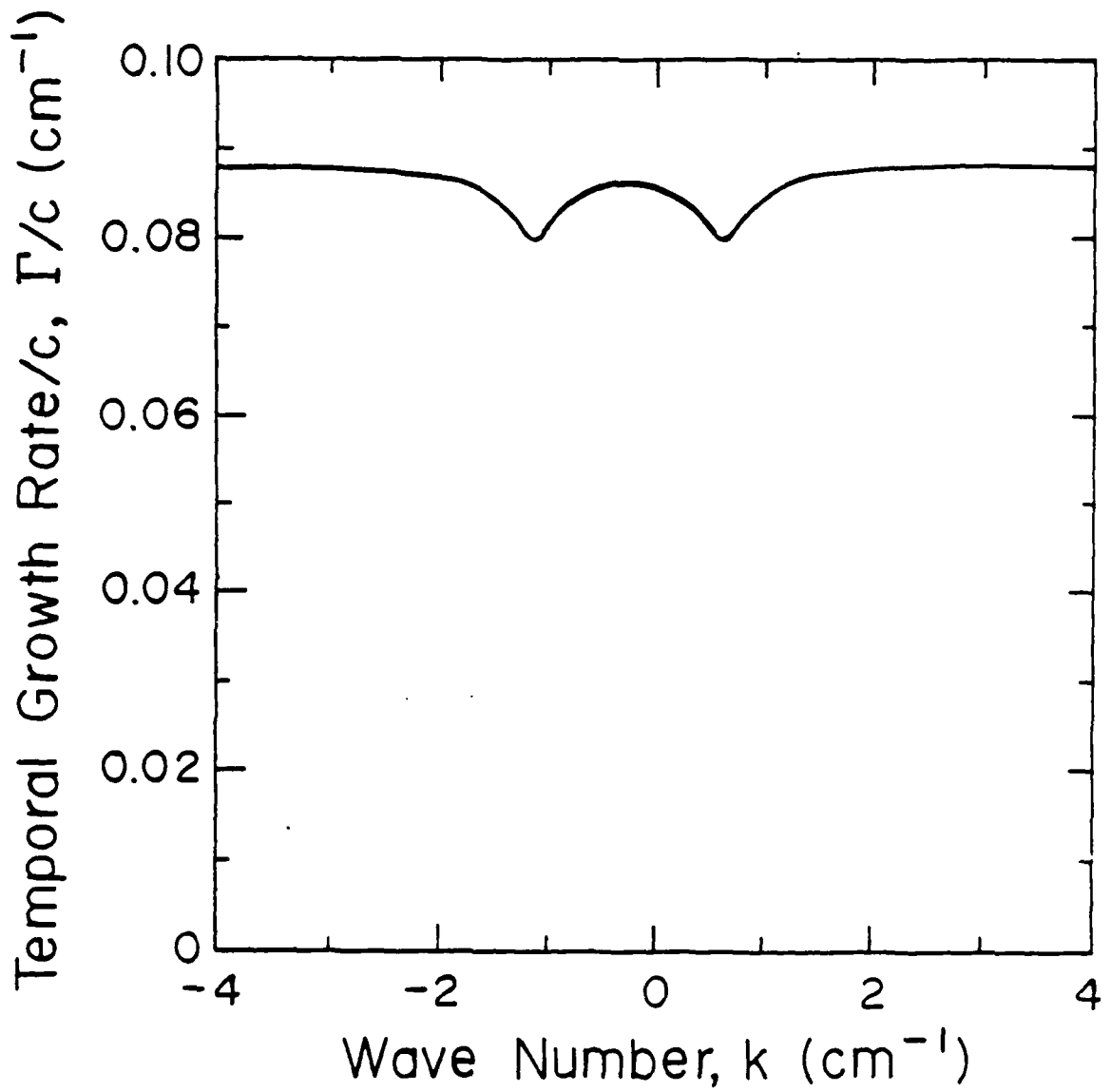


Fig. 7 A plot of the temporal growth rate versus  $k$  in the orbit unstable region, for current  $I_b = 1 \text{ kA}$  and  $B_o = 2.15 \text{ kG}$  ( $K_o = 0.26 \text{ cm}^{-1}$ ).

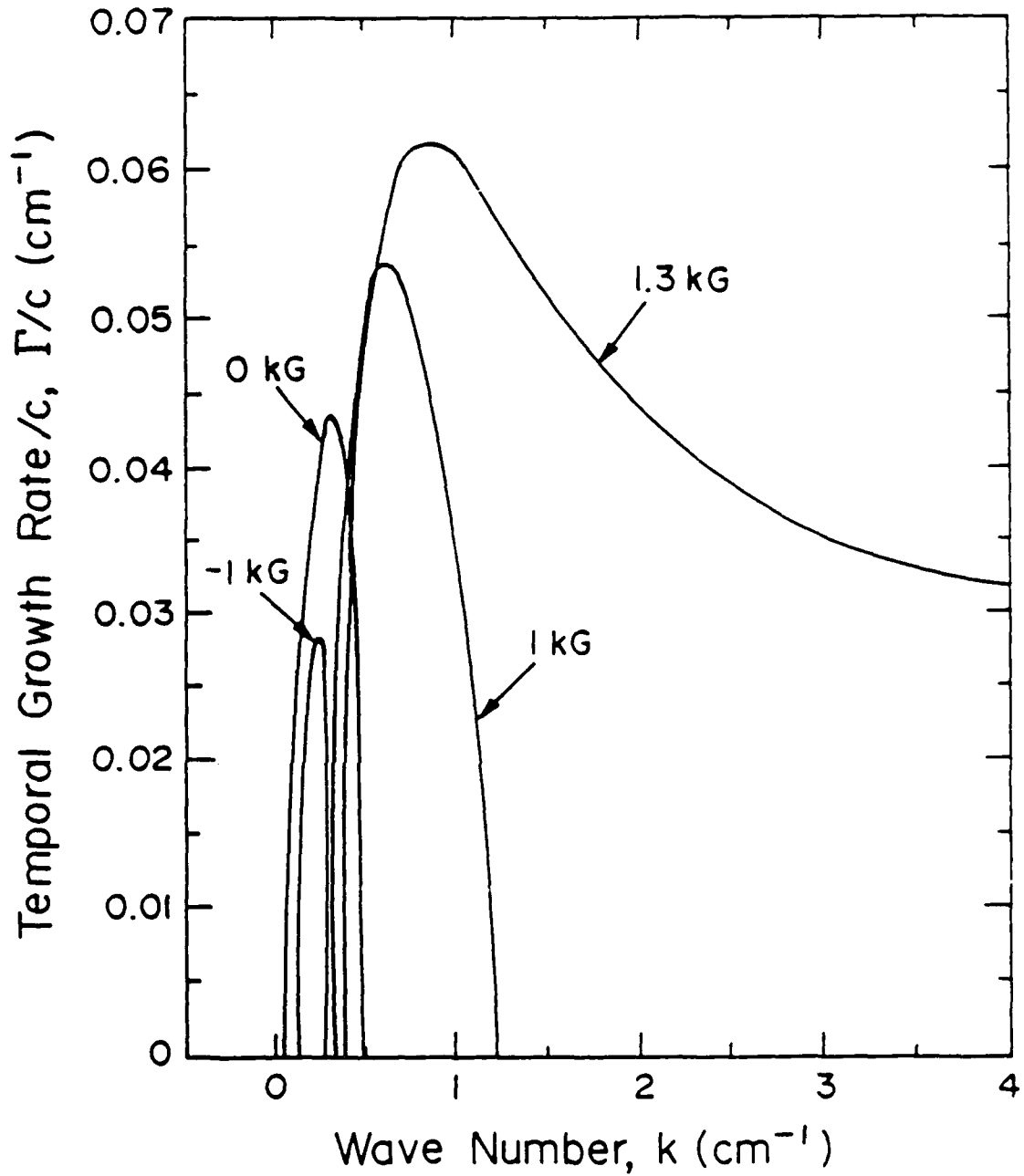


Fig. 8 Plots of the temporal growth rate versus  $k$  in three-wave unstable region I, for current

$I_b = 10 \text{ kA}$  and

- a)  $B_o = -1.0 \text{ kG}$  ( $K_o = -0.12 \text{ cm}^{-1}$ ),
- b)  $B_o = 0$ ,
- c)  $B_o = 1.0 \text{ kG}$  ( $K_o = 0.12 \text{ cm}^{-1}$ ) and
- d)  $B_o = 1.3 \text{ kG}$  ( $K_o = 0.156 \text{ cm}^{-1}$ ).

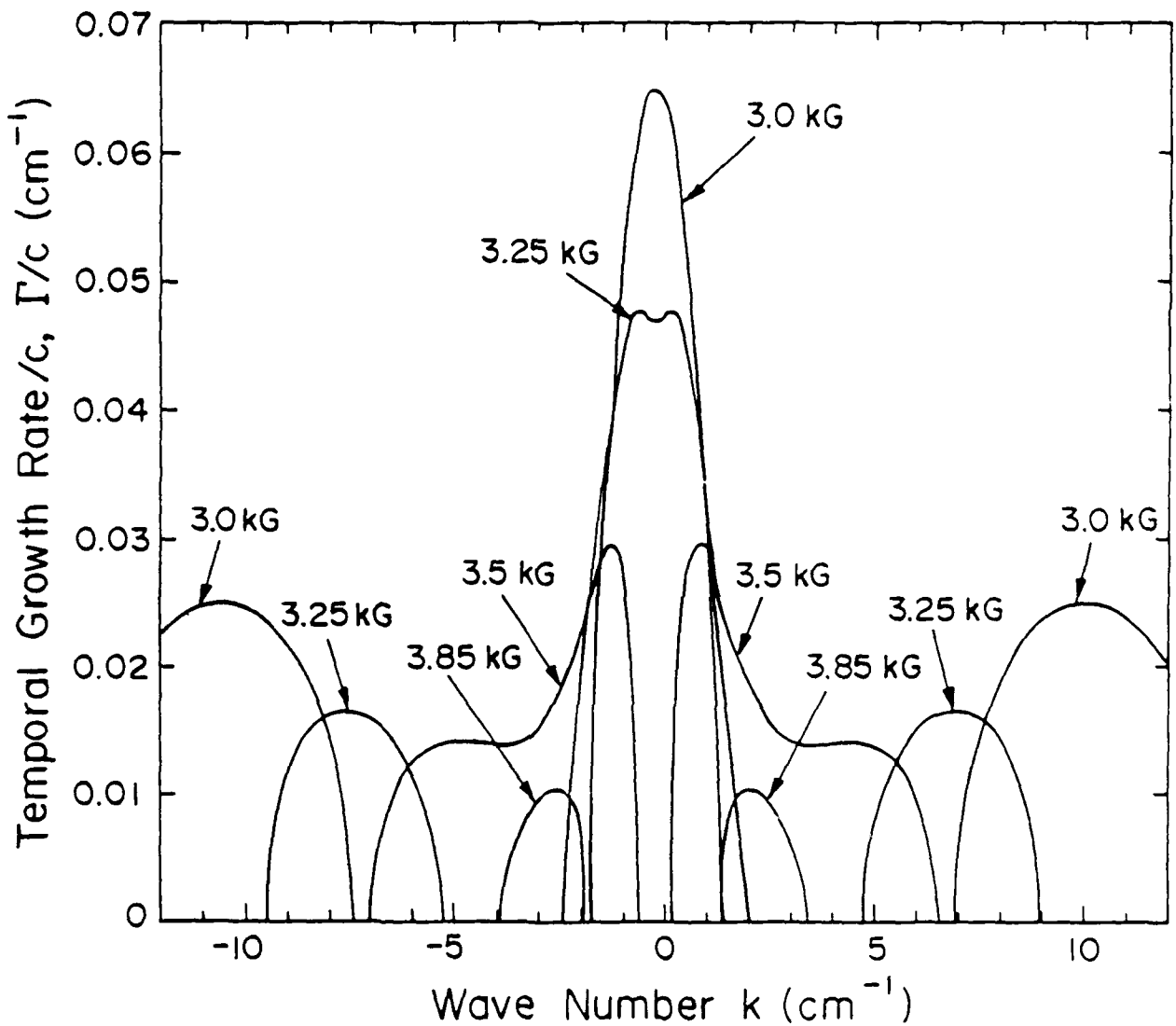


Fig. 9 Plots of the temporal growth rate versus  $k$  in the three-wave unstable region II, for current  $I_b = 10 \text{ kA}$  and

- a)  $B_o = 3.0 \text{ kG}$  ( $K_o = 0.36 \text{ cm}^{-1}$ ),
- b)  $B_o = 3.25 \text{ kG}$  ( $K_o = 0.39 \text{ cm}^{-1}$ ),
- c)  $B_o = 3.5 \text{ kG}$  ( $K_o = 0.41 \text{ cm}^{-1}$ ) and
- d)  $B_o = 3.85 \text{ kG}$  ( $K_o = 0.45 \text{ cm}^{-1}$ ).

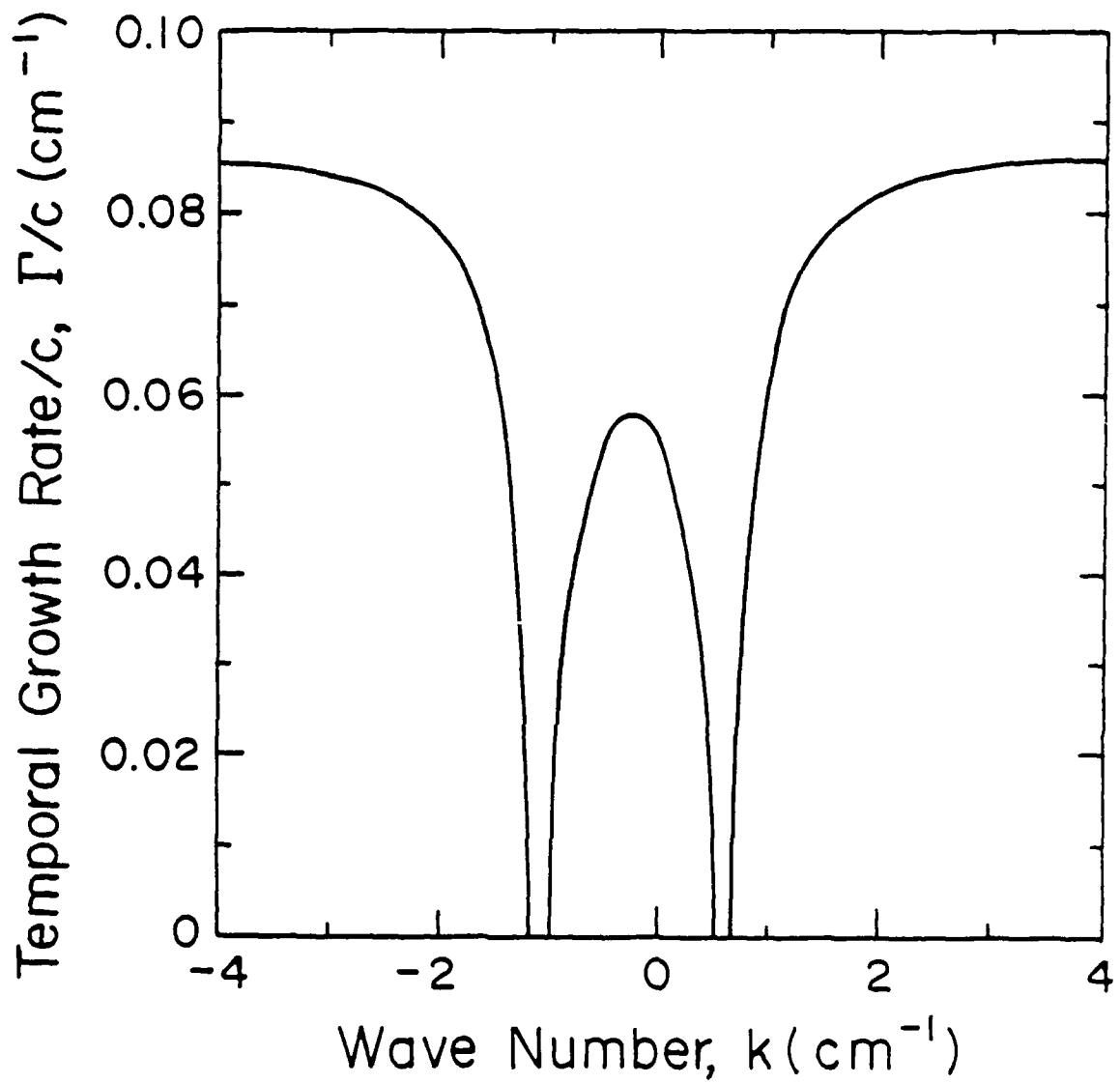


Fig. 10 A plot of the temporal growth rate versus  $k$  in the orbit unstable region, for current  $I_b = 10 \text{ kA}$  and  $B_o = 2.15 \text{ kG}$  ( $K_o = 0.26 \text{ cm}^{-1}$ ).



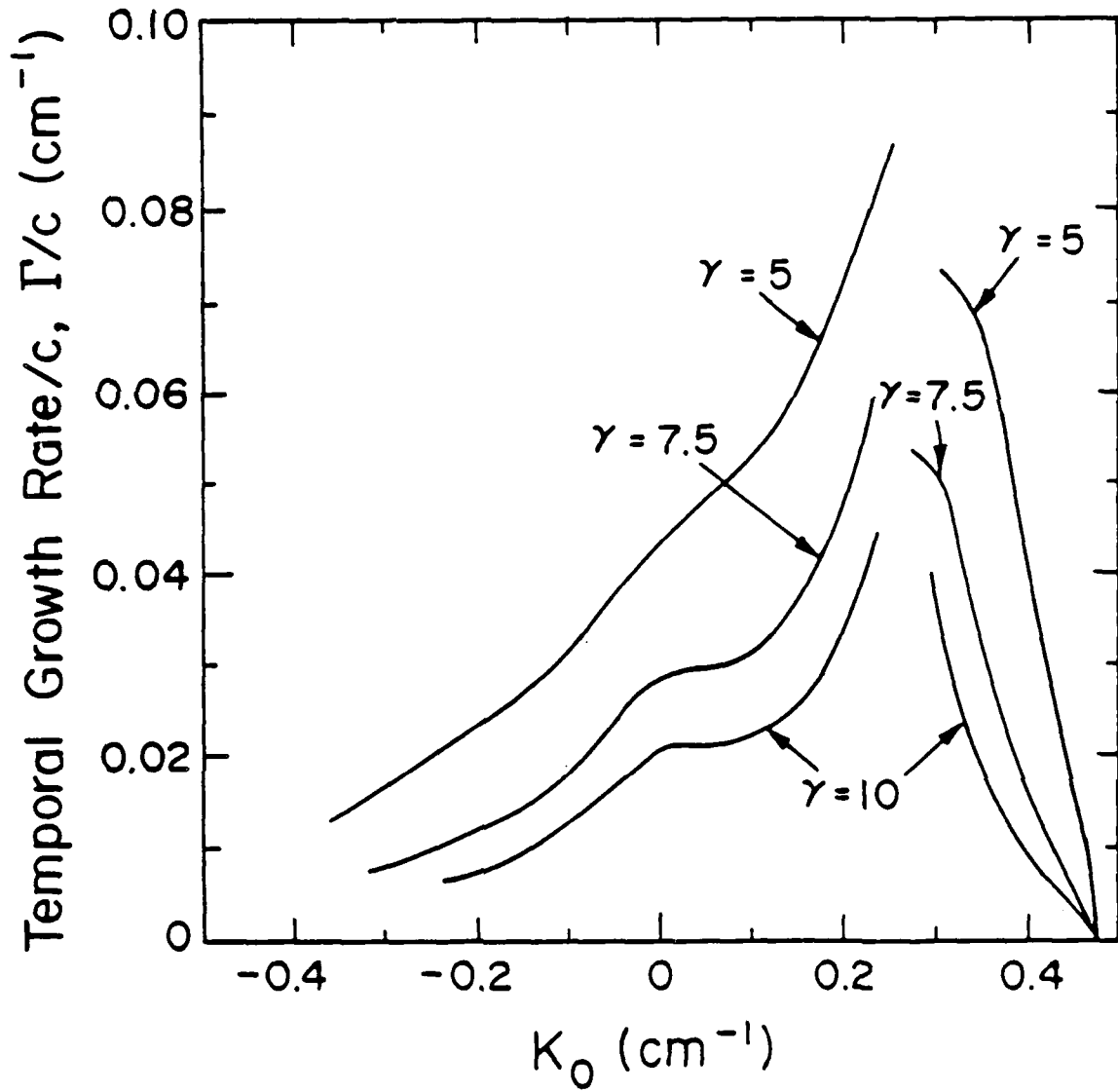


Fig. 11 Peak values of the temporal growth rate as a function of normalized guide field  $K_0$  for  $\gamma = 5, 7.5$  and  $10$  with current  $I_b = 10 \text{ kA}$ .

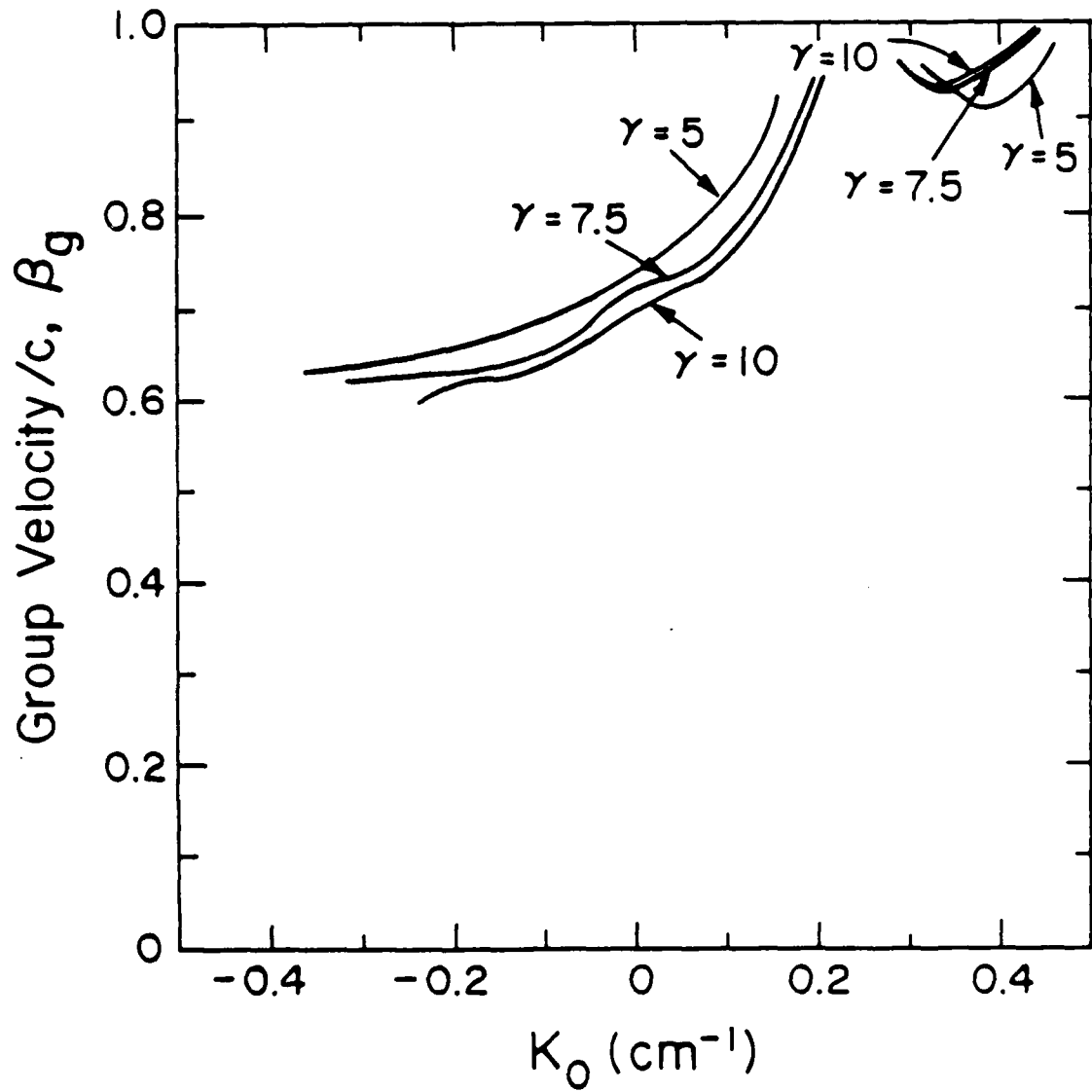


Fig. 12 Plots of group velocity as a function of  $K_0$  for  $\gamma = 5, 7.5$  and  $10$  with current  $I_b = 10 \text{ kA}$ .

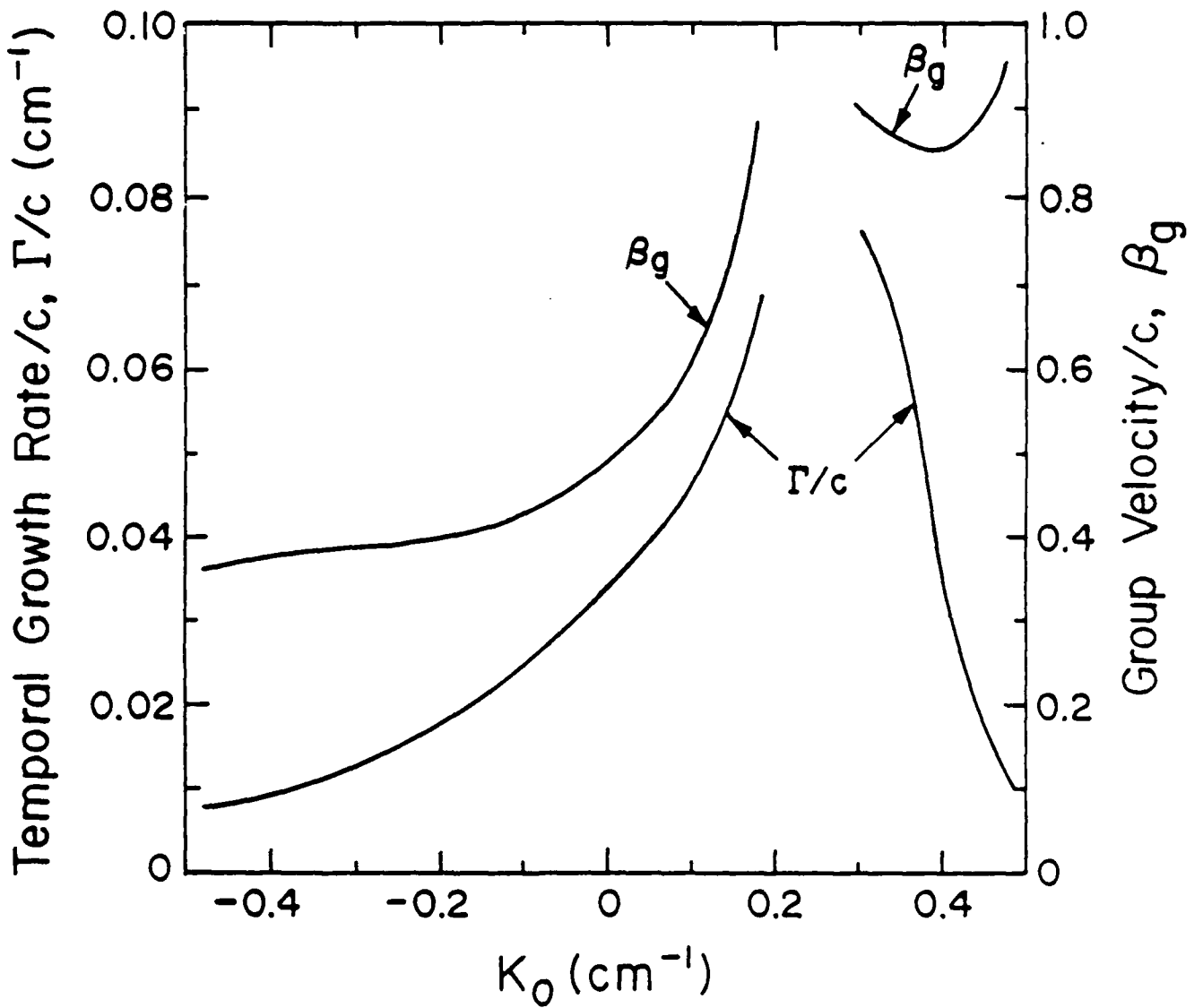


Fig. 13 Plots of the temporal growth rate and the group velocity as a function of  $K_0$ , for  $r_g = 5 \text{ cm}$  and current  $I_b = 10 \text{ kA}$ , while keeping. Other parameters are the same as in Table I.

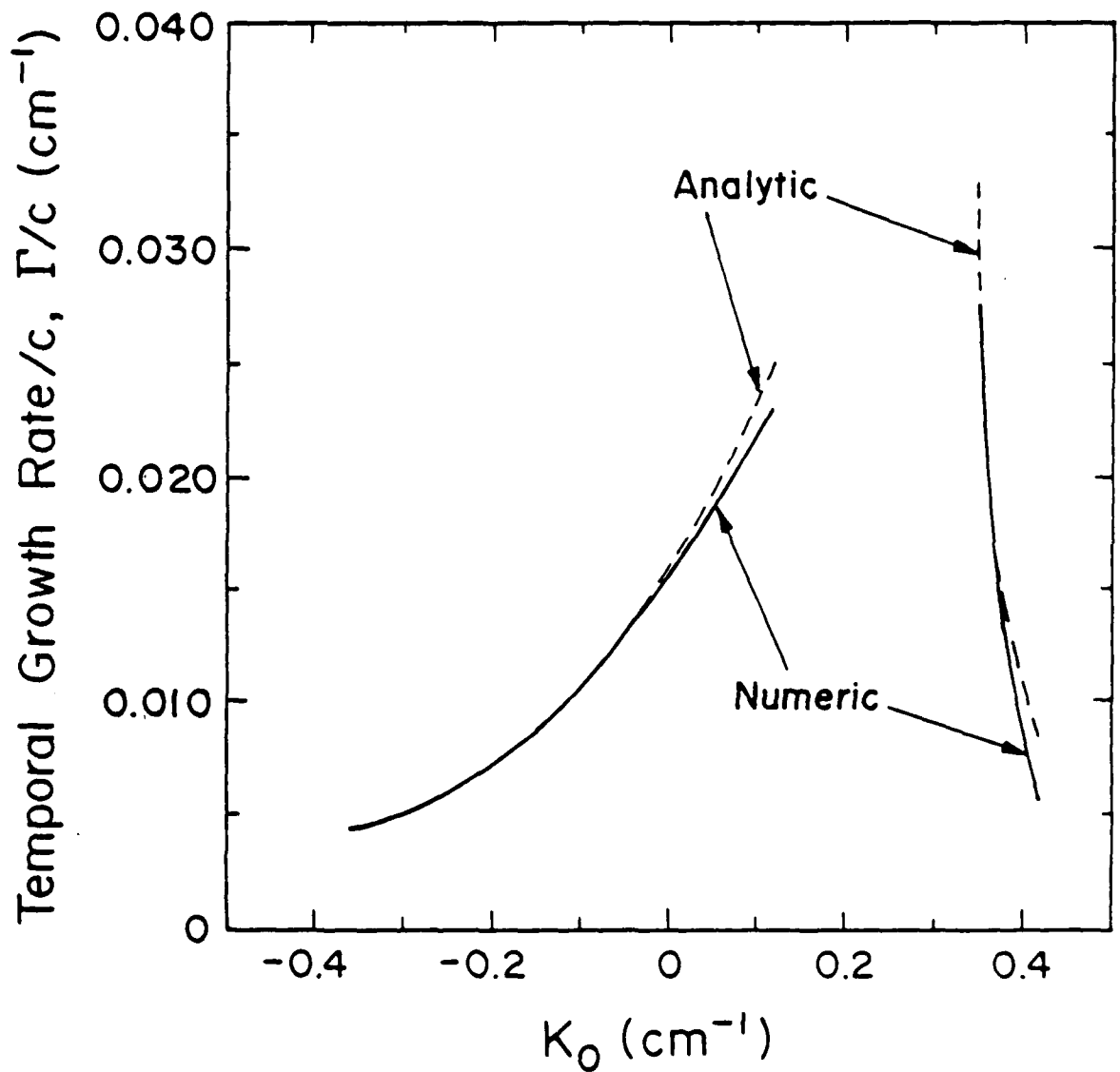


Fig. 14 Comparison of the maximum temporal growth rate as a function of  $K_0$  for the values obtained from the numerically solved full dispersion relation (solid curves) and from the analytical expressions (dashed curves) for the parameters in Table I with current  $I_b = 1 \text{ kA}$ .

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