

United States Senate

Financial Disclosures

Annual Report for Calendar 2017

Ms. Kamala D Harris (Harris, Kamala)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
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- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Venable LLP Los Angeles, California	> \$1,000
2	Spouse	Salary	DLA Piper LLP Los Angeles, CA	> \$1,000
3	Self	Salary	State of California Sacramento, CA	\$14,511.89

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Wells Fargo (Los Angeles, California) Type: Checking,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
2	Wells Fargo (Los Angeles, California) Type: Savings,	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	\$201 - \$1,000
3	First Republic Bank (San Francisco, California) Type: Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4	Wells Fargo (Los Angeles, California) Type: Money Market Account,	Bank Deposit	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
5	State of California Savings Plus 457(b) Plan	Retirement Plans Deferred Compensation	Self			
5.1	Target Date Fund 2025	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6	City & County of San Francisco 457(b) Deferred Compensation Plan	Retirement Plans Deferred Compensation	Self			
6.1	SFDCP Target Date 2025	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6.2	SFDCP Large Cap Growth Eq	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
6.3	SFDCP Lg Cap Eq - S&P 500	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.4	SFDCP Mid Cap Core	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
6.5	SFDCP International Equity	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6.6	SFDCP Real Estate	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7	Venable LLP Capital Account <i>Description: Partner Capital Account (Los Angeles, California)</i>	Other Securities	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8	Merrill Lynch - Emhoff Family Trust	Brokerage/Managed Account	Spouse			
8.1	ANOIX-American Century Small Cap Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.2	AEPFX-American Funds Europacific Growth F2 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.3	HGOIX-Hartford Growth Opportunities I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
8.4	IWD-iShares Russell 1000 Value (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
8.5	UBVSX-Undiscovered Mgrs Behavioral Value Sel (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
8.6	MTLIX-MFS Municipal Limited Maturity I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
8.7	MEIIX-MFS Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000

8.8	ML Bank Deposit Program (Century City, California) <i>Type: Money Market Account,</i>	Bank Deposit Asset Type	Spouse	\$50,001 - \$100,000 Value	Income Interest, Income	\$201 - \$1,000
8.9	WEMIX-Wells Fargo Advantage Emerging Gr Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
8.10	IWF-iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
8.11	EFA - iShares MSCI EAFE ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
8.12	VUG - Vanguard Growth ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
8.13	ITM - VanEck Vectors AMT- Free Interm Muni ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
8.14	IEMG - iShares Core MSCI Emerging Markets ETF <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
9	Merrill Lynch - IRRA	Retirement Plans IRA	Spouse			
9.1	Merrill Lynch-Bank of America N/A (Century City, California) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
9.2	EWC - iShares MSCI Canada ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.3	EWL - iShares MSCI Switzerland Capped ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

		Asset Type	Owner	Value	Income Type	Income
9.4	<u>EWD</u> - iShares MSCI Sweden Capped ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.5	<u>EPP</u> - iShares MSCI Pacific ex Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.6	<u>LQD</u> - iShares iBoxx \$ Invmt Grade Corp Bd ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.7	<u>TIP</u> - iShares TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.8	<u>IEI</u> - iShares 3-7 Year Treasury Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.9	<u>MBB</u> - iShares MBS ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.10	<u>HYG</u> - iShares iBoxx \$ High Yield Corp Bd ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.11	<u>EZU</u> - iShares MSCI Eurozone ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.12	<u>EWJ</u> - iShares MSCI Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.13	<u>EWU</u> - iShares MSCI United Kingdom ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.14	<u>IEMG</u> - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.15	<u>PCY</u> - PowerShares Emerging Markets Sov Dbt ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	Asset Type	Owner	Value	Income Type	Income
9.16	RGX - PowerShares Preferred ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.17	EMLC - VanEck Vectors JP Morgan EM LC Bd ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.18	VBR - Vanguard Small-Cap Value ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.19	VBK - Vanguard Small-Cap Growth ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.20	VTV - Vanguard Value ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
9.21	VUG - Vanguard Growth ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
9.22	BIV - Vanguard Interm-Term Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.23	BSV - Vanguard Short-Term Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10	Whitwell Jacoby Emhoff LLP SEP	Retirement Plans Defined Contribution Pension Plan	Spouse		
10.1	BIV - Vanguard Intermediate-Term Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.2	IBB - iShares Nasdaq Biotechnology (NASDAQ)	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.3	IEI - iShares 3-7 Year Treasury Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

		Asset Type	Owner	Value	Income Type	Income
10.4	EMG - iShares Core MSCI Emerging Markets (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.5	Merrill Lynch-Bank of America N/A (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10.6	LQD - iShares iBoxx \$ Invst Grade Crp Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.7	MBB - iShares MBS (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.8	VAW - Vanguard Materials ETF <i>Filer comment: Below the reporting threshold - no transaction to report.</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
10.9	VDC - Vanguard Consumer Staples ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.10	VGT - Vanguard Information Technology ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.11	VIS - Vanguard Industrials ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.12	XLF - Financial Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.13	XLV - Health Care Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.14	XLY - Consumer Discret Sel Sect SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
10.15	EWU - iShares MSCI United Kingdom (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.16	EWJ - iShares, Inc. - iShares MSCI Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.17	PCY - PowerShares Exchange-Traded Fund Trust II - PowerS	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.18	TIP - iShares Trust - iShares TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.19	XLE - Energy Select Sector SPDR ETF <i>Filer comment: Full sale in CY 2017; two sale transactions less than \$1,000</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
10.20	EPP - iShares MSCI Pacific ex Japan ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.21	XLRE - Real Estate Select Sector SPDR	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.22	BSV - Vanguard Short-Term Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.23	EWC - iShares MSCI Canada ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.24	EWL - iShares MSCI Switzerland Capped ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.25	EZU - iShares MSCI Eurozone ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
10.26	FDN - First Trust Dow Jones Internet ETF		Mutual Funds Exchange Traded Fund/Note	Spouse		\$1,001 - \$15,000	Income Exempt Investment Fund, None (or less than \$201)
10.27	SKYY - First Trust Cloud Computing ETF		Mutual Funds Exchange Traded Fund/Note	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)
11	San Francisco Employees Retirement System (SFERS) Plan		Retirement Plans Defined Benefit Pension Plan	Self		\$250,001 - \$500,000	Exempted Investment Fund, None (or less than \$201)
12	DLA Piper LLP Retirement Plan		Retirement Plans Defined Contribution Pension Plan	Spouse			
12.1	VRIVX - Vanguard Instl Trgt Retire 2025 Instl		Mutual Funds Mutual Fund	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)
13	Charles Schwab IRA Rollover		Retirement Plans IRA	Spouse			
13.1	TPLGX - T. Rowe Price Instl Large Cap Core Gr		Mutual Funds Mutual Fund	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)
13.2	VIEIX-Vanguard Extended Market Index Instl		Mutual Funds Mutual Fund	Spouse		\$1,001 - \$15,000	Exempted Investment Fund, None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

1 #	Spouse Owner	<u>IEMG</u> Ticker	iShares Core MSCI Emerging Markets ETF Asset Name	Purchase Transaction Type	12/22/2017 Transaction Date	\$1,001 - \$15,000 Amount	-- Comment
2	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
3	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
4	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
5	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
6	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	12/22/2017	\$15,001 -\$50,000	--
7	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
8	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	12/22/2017	\$15,001 -\$50,000	--
9	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
10	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
11	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
12	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
13	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
14	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
15	Spouse	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
16	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
17	Spouse	<u>EWL</u>	iShares MSCI Switzerland Capped ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
18	Spouse	<u>EPP</u>	iShares MSCI Pacific ex Japan ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
19	Spouse	<u>EWC</u>	iShares MSCI Canada ETF	Purchase	12/22/2017	\$1,001 - \$15,000	--
20	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	12/13/2017	\$50,001 - \$100,000	--
21	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	12/13/2017	\$100,001 - \$250,000	--
22	Spouse	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
23	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	12/13/2017	\$50,001 - \$100,000	--
24	Spouse	<u>PGX</u>	PowerShares Preferred ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
25	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
26	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	12/13/2017	\$15,001 - \$50,000	--
27	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
28	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	12/13/2017	\$1,001 - \$15,000	--
29	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	12/13/2017	\$15,001 - \$50,000	--
30	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/13/2017	\$15,001 - \$50,000	--

31	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond	Purchase	12/13/2017	\$15,001	--
#	Owner	Ticker	ETF Asset Name	Transaction Type	Transaction Date	Amount	Comment
						\$50,000	
32	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
33	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
34	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
35	Spouse	<u>EWD</u>	iShares MSCI Sweden Capped ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
36	Spouse	<u>EWC</u>	iShares MSCI Canada ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
37	Spouse	<u>EPP</u>	iShares MSCI Pacific ex Japan ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
38	Spouse	<u>EWL</u>	iShares MSCI Switzerland Capped ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
39	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
40	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	12/13/2017	\$15,001	--
						-	
						\$50,000	
41	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	12/13/2017	\$1,001 -	--
						\$15,000	
42	Spouse	<u>CRISX</u>	CRM Small Cap Value Instl	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	
43	Spouse	<u>DODGX</u>	Dodge & Cox Stock	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	
44	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Inst	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	
45	Spouse	<u>VMGRX</u>	Vanguard Mid Cap Growth Inv	Sale (Full)	12/13/2017	\$1,001 -	Transfer from Venable LLP Retirement Plan and then sold
						\$15,000	

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
46	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Full)	12/05/2017	\$1,001 - \$15,000	--
47	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/05/2017	\$1,001 - \$15,000	--
48	Spouse	<u>VTV</u>	Vanguard Value ETF	Sale (Partial)	10/24/2017	\$1,001 - \$15,000	--
49	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Partial)	10/24/2017	\$1,001 - \$15,000	--
50	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	10/24/2017	\$1,001 - \$15,000	--
51	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Sale (Partial)	05/17/2017	\$1,001 - \$15,000	--
52	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Purchase	05/17/2017	\$1,001 - \$15,000	--
53	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Sale (Partial)	05/17/2017	\$1,001 - \$15,000	--
54	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
55	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
56	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
57	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
58	Spouse	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
59	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
60	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
61	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
62	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	02/28/2017	\$50,001 - \$100,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
63	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	02/28/2017	\$15,001 - \$50,000	--
64	Spouse	<u>EPP</u>	iShares MSCI Pacific ex Japan ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
65	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
66	Spouse	<u>EWC</u>	iShares MSCI Canada ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
67	Spouse	<u>EWL</u>	iShares MSCI Switzerland Capped ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
68	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
69	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
70	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
71	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	02/28/2017	\$15,001 - \$50,000	--
72	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
73	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
74	Spouse	<u>PGX</u>	PowerShares Preferred ETF	Purchase	02/28/2017	\$1,001 - \$15,000	--
75	Spouse	<u>DOMIX</u>	Domini Impact International Equity Inv	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
76	Spouse	<u>PXSIX</u>	Pax Small Cap Institutional	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
77	Spouse	<u>PRILX</u>	Parnassus Core Equity Institutional	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
78	Spouse	<u>PARWX</u>	Parnassus Endeavor Investor	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
79	Spouse	<u>PARMX</u>	Parnassus Mid-Cap	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
80	Spouse	<u>CSDYX</u>	Calvert Short Duration Income Y	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
81	Spouse	<u>CIEYX</u>	Calvert Equity Y	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
82	Spouse	<u>CBAYX</u>	Calvert Balanced Y	Sale (Full)	02/28/2017	\$50,001 - \$100,000	--
83	Spouse	<u>AVEDX</u>	Ave Maria Rising Dividend	Sale (Full)	02/28/2017	\$15,001 - \$50,000	--
84	Spouse	<u>PARNX</u>	Parnassus	Sale (Full)	02/28/2017	\$1,001 - \$15,000	--
85	Spouse	<u>PARWX</u>	Parnassus Endeavor Investor	Sale (Partial)	02/22/2017	\$1,001 - \$15,000	--
86	Spouse	<u>CSDYX</u>	Calvert Short Duration Income Y	Purchase	01/31/2017	\$1,001 - \$15,000	--
87	Spouse	<u>CIEYX</u>	Calvert Equity Y	Purchase	01/31/2017	\$1,001 - \$15,000	--
88	Spouse	<u>CBAYX</u>	Calvert Balanced Y	Purchase	01/31/2017	\$1,001 - \$15,000	--
89	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Full)	12/05/2017	\$1,001 - \$15,000	--
90	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/05/2017	\$1,001 - \$15,000	--
91	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF	Sale (Partial)	10/24/2017	\$1,001 - \$15,000	--
92	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	10/24/2017	\$1,001 - \$15,000	--
93	Spouse	<u>XLV</u>	Health Care Select Sector SPDR ETF	Sale (Partial)	09/25/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
94	Spouse	<u>XLY</u>	Consumer Discret Sel Sect SPDR ETF	Sale (Partial)	09/25/2017	\$1,001 - \$15,000	--
95	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	01/24/2017	\$1,001 - \$15,000	--
96	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	Purchase	01/23/2017	\$1,001 - \$15,000	--
97	Spouse	<u>VIS</u>	Vanguard Industrials ETF	Sale (Partial)	01/23/2017	\$1,001 - \$15,000	--
98	Spouse	<u>VGT</u>	Vanguard Information Technology ETF	Sale (Partial)	01/23/2017	\$1,001 - \$15,000	--
99	Spouse	<u>XLY</u>	Consumer Discret Sel Sect SPDR ETF	Sale (Partial)	01/23/2017	\$1,001 - \$15,000	--
100	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	01/23/2017	\$1,001 - \$15,000	--
101	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
102	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
103	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
104	Spouse	<u>EFA</u>	iShares MSCI EAFE ETF	Sale (Full)	04/28/2017	\$50,001 - \$100,000	--
105	Spouse	<u>SHM</u>	SPDR Nuveen Blmbg Barclays ST MunBd ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
106	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
107	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
108	Spouse	<u>MBB</u>	iShares MBS ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
109	Spouse	<u>HYD</u>	VanEck Vectors High-Yield Municipal ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--

110	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Sale (Full)	04/28/2017	\$15,001 - \$50,000	--
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
111	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
112	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
113	Spouse	<u>ITM</u>	VanEck Vectors AMT-Free Interm Muni ETF	Sale (Full)	04/28/2017	\$15,001 - \$50,000	--
114	Spouse	<u>PZA</u>	PowerShares National AMT-Free MuniBd ETF	Sale (Full)	04/28/2017	\$15,001 - \$50,000	--
115	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
116	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Sale (Full)	04/28/2017	\$1,001 - \$15,000	--
117	Spouse	<u>VUG</u>	Vanguard Growth ETF	Sale (Full)	04/28/2017	\$50,001 - \$100,000	--
118	Spouse	<u>VTV</u>	Vanguard Value ETF	Sale (Full)	04/28/2017	\$100,001 - \$250,000	--
119	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
120	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
121	Spouse	<u>TIP</u>	iShares TIPS Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
122	Spouse	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	03/03/2017	\$50,001 - \$100,000	--
123	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
124	Spouse	<u>SHM</u>	SPDR Nuveen Blmbg Barclays ST MunBd ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--

125	Spouse	<u>PZA</u>	PowerShares National AMT-Free MuniBd ETF	Purchase	03/03/2017	\$15,001 - \$50,000	--
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
126	Spouse	<u>HYD</u>	VanEck Vectors High-Yield Municipal ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
127	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
128	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
129	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	03/03/2017	\$100,001 - \$250,000	--
130	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	03/03/2017	\$50,001 - \$100,000	--
131	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
132	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
133	Spouse	<u>IWD</u>	iShares Russell 1000 Value ETF	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
134	Spouse	<u>ITM</u>	VanEck Vectors AMT-Free Interm Muni ETF	Purchase	03/03/2017	\$15,001 - \$50,000	--
135	Spouse	<u>IWF</u>	iShares Russell 1000 Growth ETF	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
136	Spouse	<u>IEI</u>	iShares 3-7 Year Treasury Bond ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--
137	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	03/03/2017	\$15,001 - \$50,000	--
138	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF	Purchase	03/03/2017	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
139	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Instl	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
140	Spouse	<u>TWWIX</u>	Thomas White International I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
141	Spouse	<u>HHDTX</u>	Hancock Horizon Diversified Intl Instl	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
142	Spouse	<u>DUSIX</u>	Delaware Tax-Free USA Intermediate Instl	Sale (Full)	03/03/2017	\$50,001 - \$100,000	--
143	Spouse	<u>AEPFX</u>	American Funds Europacific Growth F2	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
144	Spouse	<u>UBVSX</u>	Undiscovered Managers Behavioral Val I	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
145	Spouse	<u>ASVIX</u>	American Century Small Cap Value Inv	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
146	Spouse	<u>ANOIX</u>	American Century Small Cap Growth Inv	Sale (Full)	03/03/2017	\$1,001 - \$15,000	--
147	Spouse	<u>MTLIX</u>	MFS Municipal Limited Maturity I	Sale (Full)	03/03/2017	\$50,001 - \$100,000	--
148	Spouse	<u>MEIIX</u>	MFS Value I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
149	Spouse	<u>EILVX</u>	Eaton Vance Large-Cap Value I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
150	Spouse	<u>HGOIX</u>	Hartford Growth Opportunities I	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--
151	Spouse	<u>DGAGX</u>	Dreyfus Appreciation Investor	Sale (Full)	03/03/2017	\$15,001 - \$50,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
152	Spouse	<u>HGOIX</u>	Hartford Growth Opportunities I	Purchase	01/31/2017	\$1,001 - \$15,000	--
153	Spouse	<u>DGAGX</u>	Dreyfus Appreciation Investor	Purchase	01/31/2017	\$1,001 - \$15,000	--
154	Spouse	<u>DUSIX</u>	Delaware Tax-Free USA Intermediate Instl	Purchase	01/31/2017	\$1,001 - \$15,000	--
155	Spouse	<u>MTLIX</u>	MFS Municipal Limited Maturity I	Purchase	01/31/2017	\$1,001 - \$15,000	--
156	Spouse	<u>VRIVX</u>	Vanguard Instl Trgt Retire 2025 Instl	Purchase	11/01/2017	\$1,001 - \$15,000	--
157	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Inst	Purchase	06/28/2017	\$1,001 - \$15,000	--
158	Spouse	<u>VMGRX</u>	Vanguard Mid Cap Growth Inv	Purchase	06/28/2017	\$1,001 - \$15,000	--
159	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Purchase	06/28/2017	\$1,001 - \$15,000	--
160	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Purchase	06/28/2017	\$1,001 - \$15,000	--
161	Spouse	<u>TPLGX</u>	T. Rowe Price Instl Large Cap Core Gr	Purchase	06/28/2017	\$1,001 - \$15,000	--
162	Spouse	<u>DODGX</u>	Dodge & Cox Stock	Purchase	06/28/2017	\$1,001 - \$15,000	--
163	Spouse	<u>CRISX</u>	CRM Small Cap Value Instl	Purchase	06/28/2017	\$1,001 - \$15,000	--
164	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Sale (Full)	08/02/2017	\$1,001 - \$15,000	--
165	Spouse	<u>VIEIX</u>	Vanguard Extended Market Index Instl	Purchase	08/02/2017	\$1,001 - \$15,000	--
166	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Sale (Full)	11/16/2017	\$15,001 - \$50,000	--
167	Spouse	<u>VBMFX</u>	Vanguard Total Bond Market Index Inv	Sale (Full)	11/16/2017	\$50,001 - \$100,000	--

168	Spouse	<u>NRFYX</u>	Natixis AEW Real Estate Y	Sale (Full)	11/16/2017	\$50,001	--
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount \$100,000	Comment
169	Spouse	--	SSgA Target Retirement 2025 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
170	Spouse	--	SSgA Target Retirement 2030 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
171	Spouse	--	SSgA Target Retirement 2035 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
172	Spouse	--	SSgA Target Retirement 2040 Fund NL	Sale (Full)	11/16/2017	\$100,001 - \$250,000	--
173	Spouse	<u>WEMIX</u>	Wells Fargo Emerging Growth Inst	Purchase	06/28/2017	\$1,001 - \$15,000	--
174	Spouse	<u>VMGRX</u>	Vanguard Mid Cap Growth Inv	Purchase	06/28/2017	\$1,001 - \$15,000	--
175	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Purchase	06/28/2017	\$1,001 - \$15,000	--
176	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Purchase	06/28/2017	\$1,001 - \$15,000	--
177	Spouse	<u>TPLGX</u>	T. Rowe Price Instl Large Cap Core Gr	Purchase	06/28/2017	\$1,001 - \$15,000	--
178	Spouse	<u>DODGX</u>	Dodge & Cox Stock	Purchase	06/28/2017	\$1,001 - \$15,000	--
179	Spouse	<u>CRISX</u>	CRM Small Cap Value Instl	Purchase	06/28/2017	\$1,001 - \$15,000	--
180	Spouse	<u>VEXAX</u>	Vanguard Extended Market Index Admiral	Sale (Full)	08/02/2017	\$1,001 - \$15,000	--
181	Spouse	<u>VIEIX</u>	Vanguard Extended Market Index Instl	Purchase	08/02/2017	\$1,001 - \$15,000	--
182	Spouse	<u>VINIX</u>	Vanguard Institutional Index I	Sale (Full)	11/22/2017	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2014	Spouse	Line of Credit	-	3.5% (1 year)	\$100,001 - \$250,000	City National Bank Los Angeles, California	The line of credit has a \$0 balance as of 5/15/18.
2	2013	Self	Mortgage	0	3.75% (30 years)	\$100,001 - \$250,000	Wells Fargo Los Angeles, California	-
3	2013	Self	Home Equity Line of Credit	-	4.75% (20 years)	\$15,001 - \$50,000	Wells Fargo Los Angeles, California	-
4	2016	Spouse	Mortgage	0	2.625% (30 years)	Over \$1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, California	-

#	Year Incurred	Debtor	Equity Line of Credit Type	Points	Rate (Term) (years)	Amount	Creditor	Comments
5	2016	Spouse	Home Equity Line of Credit	-	4.25% (30 years)	\$250,001 - \$500,000	Wells Fargo Los Angeles, California	The HELOC has a \$0 balance as of 5/15/18.
6	2017	Spouse	Mortgage	0	3.50% (30 years)	Over \$1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, CA	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Oct 2008	Chronicle Books LLC San Francisco, California	Royalty Agreement	Royalty for book, "Smart on Crime: A Prosecutor's Solution for Making the Streets Safer" (no royalties received in 2017).
2	Dec 2011	San Francisco Employees' Retirement System San Francisco, California	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.
3	Dec 2011	City & County of San Francisco 457(b) Deferred Compensation Plan San Francisco, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
4	Jan 2017	State of California Savings Plus 457(b) Plan Sacramento, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
5	Apr 2018	Penguin Press New York, NY	Royalty Agreement	Agreement to receive book advance and royalty payments based on usual and customary terms for publication of a non-fiction book.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.