

# Financial Disclosures

## Annual Report for Calendar 2016 (Amendment 1)

**Ms. Kamala D Harris (Harris, Kamala)**

Filed 07/13/2017 @ 9:30 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of California Sacramento, California	\$156,497.96
2	Spouse	Salary	Venable LLP Los Angeles, California	> \$1,000

### Part 3. Assets \* Amended

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1 <b>Wells Fargo</b> (Los Angeles, California) Type: Checking,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)

**eFD: Home**  
**URL:**  
 eFD: Home  
<https://efdsearch.senate.gov/search/home/>

hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	<b>Wells Fargo</b> (Los Angeles, California) Type: Savings,	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	None (or less than \$201)
3	<b>First Republic Bank</b> (San Francisco, California) Type: Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4	<b>Wells Fargo</b> (Los Angeles, California) Type: Money Market Account,	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
5	<b>State of California Savings Plus 457(b) Plan</b>	Retirement Plans Deferred Compensation	Self			
5.1	<b>Target Date Fund 2025</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6	<b>City &amp; County of San Francisco 457(b) Deferred Compensation Plan</b>	Retirement Plans Deferred Compensation	Self			
6.1	<b>SFDCP Target Date 2025</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
6.2	<b>SFDCP Large Cap Growth Eq</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6.3	<b>SFDCP Lg Cap Eq - S&amp;P 500</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
6.4	<b>SFDCP Mid Cap Core</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
6.5	<b>SFDCP International Equity</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
6.6	<b>SFDCP Real Estate</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7	<b>Venable LLP Capital Account</b> <i>Description: Partner Capital Account (Los Angeles, California)</i>	Other Securities	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8	<b>Venable LLP Retirement Plan</b>	Retirement Plans Defined Contribution Pension Plan	Spouse			
8.1	<b><u>DODGX</u> - Dodge &amp; Cox Stock (NASDAQ)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.2	<b><u>TPLGX</u> - T. Rowe Price Instl Large Cap Core Gr (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.3	<b><u>VINIX</u> - Vanguard Institutional Index I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
hide me						

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
8.4	<b><u>CRISX</u> - CRM Small Cap Value Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.5	<b><u>VEXAX</u> - Vanguard Extended Market Idx Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.6	<b><u>VMGRX</u> - Vanguard Mid Cap Growth Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.7	<b><u>WEMIX</u> - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.8	<b>SSgA Target Retirement 2025 Fund NL</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
8.9	<b>SSgA Target Retirement 2030 Fund NL</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
8.10	<b>SSgA Target Retirement 2035 Fund NL</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
8.11	<b>SSgA Target Retirement 2040 Fund NL</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)

hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
8.12	<b><u>NRFYX</u> - Natixis AEW Real Estate Y</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
8.13	<b><u>VBMFX</u> - Vanguard Total Bond Market Index Inv</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9	<b>Merrill Lynch - Emhoff Family Trust</b>	Brokerage/Managed Account	Spouse			
9.1	<b><u>ASVIX</u> - American Century Small Cap Value Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.2	<b><u>ANOIX</u> - American Century Small Cap Growth Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
9.3	<b><u>AEPFX</u>-American Funds Europacific Growth F2 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
9.4	<b><u>DUSIX</u> - Delaware Tax-Free USA Intermediate Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
9.5	<b><u>DGAGX</u> - Dreyfus Appreciation Investor (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
9.6	<b><u>EILVX</u> - Eaton Vance Large-Cap Value I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
hide me						

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9.7	<b><u>HHDTX</u> - Hancock Horizon Diversified Intl Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.8	<b><u>HGOIX</u> - Hartford Growth Opportunities I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
9.9	<b><u>IWD</u> - iShares Russell 1000 Value (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
9.10	<b><u>UBVSX</u> - Undiscovered Mgrs Behavioral Value Sel (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.11	<b><u>MTLIX</u> - MFS Municipal Limited Maturity I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
9.12	<b><u>MEIIX</u> - MFS Value I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
9.13	<b>ML Bank Deposit Program</b> (Century City, California) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
9.14	<b><u>TWWIX</u> - Thomas White International I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
9.15	<b><u>WEMIX</u> - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
hide me						

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9.16	<b><u>IWE</u> - iShares Russell 1000 Growth (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
10	<b>Merrill Lynch - IRRA</b>	Retirement Plans IRA	Spouse			
10.1	<b><u>AVEDX</u> - Ave Maria Rising Dividend (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.2	<b><u>CIEYX</u> - Calvert Equity Y (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.3	<b><u>CSDYX</u> - Calvert Short Duration Income Y (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.4	<b><u>DOMIX</u> - Domini International Social Equity Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.5	<b>Merrill Lynch-Bank of America N/A</b> (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10.6	<b><u>PARMX</u> - Parnassus Mid-Cap (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.7	<b><u>PARNX</u> - Parnassus (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
10.8	<b><u>PARWX</u> - Parnassus Endeavor Fund (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.9	<b><u>PRILX</u> - Parnassus Core Equity Institutional (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.10	<b><u>PXSIX</u> - Pax World Small Cap Institutional (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.11	<b><u>CBAYX</u> - Calvert Balanced Y</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
11	<b>Whitwell Jacoby Emhoff LLP SEP</b>	Retirement Plans Defined Contribution Pension Plan	Spouse			
11.1	<b><u>BIV</u> - Vanguard Intermediate-Term Bond ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.2	<b><u>HEDJ</u> - WisdomTree Europe Hedged Equity ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.3	<b><u>IBB</u> - iShares Nasdaq Biotechnology (NASDAQ)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.4	<b><u>IEI</u> - iShares 3-7 Year Treasury Bond (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.5	<b><u>IEMG</u> - iShares Core MSCI Emerging Markets (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.6	<b>Merrill Lynch-Bank of America N/A</b> (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
11.7	<b><u>LQD</u> - iShares iBoxx \$ Invst Grade Crp Bond (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.8	<b><u>MBB</u> - iShares MBS (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.9	<b><u>VAW</u> - Vanguard Materials ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.10	<b><u>VDC</u> - Vanguard Consumer Staples ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.11	<b><u>VGT</u> - Vanguard Information Technology ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.12	<b><u>VIS</u> - Vanguard Industrials ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.13	<b><u>XLF</u> - Financial Select Sector SPDR ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.14	<b><u>XLV</u> - Health Care Select Sector SPDR ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.15	<b><u>XLV</u> - Consumer Discret Sel Sect SPDR ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.16	<b><u>EWU</u> - iShares MSCI United Kingdom (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.17	<b><u>EWJ</u> - iShares, Inc. - iShares MSCI Japan ETF</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.18	<b><u>PCY</u> - PowerShares Exchange-Traded Fund Trust II - PowerS</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.19	<b><u>TIP</u> - iShares Trust - iShares TIPS Bond ETF</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
hide me						

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.20	<b><u>XLE</u> - The Select Sector SPDR Trust - The Energy Select S</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.21	<b><u>EPP</u> - iShares MSCI Pacific ex Japan</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.22	<b><u>XLRE</u> - Real Estate Select Sector SPDR</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12	<b>San Francisco Employees Retirement System (SFERS) Plan</b>	Retirement Plans Defined Benefit Pension Plan	Self	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

#### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

#### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

#### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

hide me

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2014	Spouse	Other (Unsecured loan)	-	3.5% (5 years)	\$100,001 - \$250,000	City National Bank Los Angeles, California	Loan has been paid off.
2	2014	Spouse	Line of Credit	-	3.5% (1 year)	\$100,001 - \$250,000	City National Bank Los Angeles, California	-
3	2013	Self	Mortgage	0	3.75% (30 years)	\$100,001 - \$250,000	Wells Fargo Los Angeles, California	-
4	2013	Self	Home Equity Line of Credit	-	4.75% (20 years)	\$15,001 - \$50,000	Wells Fargo Los Angeles, California	-
5	2016	Spouse	Mortgage	0	2.625% (30 years)	Over \$1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, California	-
6	2015	Spouse	Home Equity Line of Credit	-	4.50% (20 years)	\$100,001 - \$250,000	Wells Fargo Los Angeles, California	Paid off 09/12/2016
7	2016	Spouse	Home Equity Line of Credit	-	4.25% (30 years)	\$250,001 - \$500,000	Wells Fargo Los Angeles, California	-

**Part 8. Positions**

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
				hide me	

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2011 to Jan 2017	Other (Attorney General (California))	State of California Sacramento, California	Other (State government)	

### Part 9. Agreements \* Amended

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Oct 2008	Chronicle Books LLC San Francisco, California	Royalty Agreement	Royalty for book, "Smart on Crime: A Prosecutor's Solution for Making the Streets Safer" (no royalties received in 2016).
2	Dec 2011	San Francisco Employees' Retirement System San Francisco, California	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.
3	Dec 2011	City & County of San Francisco 457(b) Deferred Compensation Plan San Francisco, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
4	Jan 2017	State of California Savings Plus 457(b) Plan Sacramento, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.

### Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **Yes**

#	Source	Duties
1	State of California Sacramento, California	I served as the Attorney General of California.

### Attachments & Comments

No attachments added.

No comments added.

---

hide me

---

hide me