7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

United States Senate

Financial Disclosures

**Annual Report for Calendar 2017 (Amendment 2)**

**Ms. Kamala D Harris (Harris, Kamala)**

 **Filed 07/08/2019 @ 4:40 PM**

The following statements were checked before filing:

I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief. I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

**Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria**

Did any individual or organization pay you or your spouse more than $200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

**Part 2. Earned and Non-Investment Income**

Did you or your spouse have reportable earned income or non-investment income? **Yes**

# Who Was Paid Type Who Paid Amount Paid

1 Spouse Salary Venable LLP

Los Angeles, California

2 Spouse Salary DLA Piper LLP Los Angeles, CA

3 Self Salary State of California Sacramento, CA

**Part 3. Assets**  Amended

> $1,000 > $1,000 $14,511.89

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 1/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

Did you, your spouse, or dependent child own any asset worth more than $1000, have a deposit account with a balance over $5,000, or receive income of more than $200 from an asset? **Yes**

Income

Asset Asset Type Owner Value

Type Income

1 **Wells Fargo**

(Los Angeles, California)

*Type:* Checking,

2 **Wells Fargo**

(Los Angeles, California)

*Type:* Savings,

3 **First Republic Bank** (San Francisco, California)

*Type:* Checking,

4 **Wells Fargo**

(Los Angeles, California)

*Type:* Money Market Account,

Bank Deposit Self $1,001 - $15,000

Bank Deposit Self $250,001 - $500,000

Bank Deposit Spouse $1,001 - $15,000

Bank Deposit Spouse $50,001 - $100,000

Interest, None (or less than $201) Interest, $201 - $1,000

Interest, None (or less than $201) Interest, None (or less than $201)

5 **State of California Savings Plus 457(b) Plan**

Retirement Plans Deferred

Compensation

Self

5.1 **Target Date Fund 2025** Mutual Funds Mutual Fund

Self $50,001 - $100,000

Excepted Investment Fund,

None (or less than $201)

6 **City & County of San Francisco 457(b) Deferred Compensation Plan**

Retirement Plans Deferred

Compensation

Self

6.1 **SFDCP Target Date 2025** Mutual Funds Mutual Fund

6.2 **SFDCP Large Cap Growth Eq** Mutual Funds Mutual Fund

6.3 **SFDCP Lg Cap Eq - S&P 500** Mutual Funds Mutual Fund

Self $50,001 - $100,000

Self $100,001 - $250,000

Self $15,001 - $50,000

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201) None (or less than $201)

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7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

~~Income~~

6.4 **SFDCP Mid Cap Core** Mutual Funds Type Income

Self $100,001 -

Asset Asset Type Owner Value

Excepted

None (or less than $201)

~~Mutual Fund~~

6.5 **SFDCP International Equity** Mutual Funds Mutual Fund

6.6 **SFDCP Real Estate** Mutual Funds Mutual Fund

$250,000

Self $50,001 - $100,000

Self $15,001 - $50,000

Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201)

7 **Venable LLP Capital Account** *Description*: Partner Capital

Account (Los Angeles,

California)

Other Securities Spouse $500,001 - $1,000,000

Interest, $15,001 - $50,000

8 **Merrill Lynch - Emhoff Family Trust**

8.1 **ANOIX-American Century Small Cap Growth Inv**

**(NASDAQ)**

8.2 **AEPFX-American Funds Europacific Growth F2**

**(NASDAQ)**

8.3 **HGOIX-Hartford Growth Opportunities I (NASDAQ)**

8.4 **IWD-iShares Russell 1000 Value (NYSEArca)**

8.5 **UBVSX-Undiscovered Mgrs Behavioral Value Sel**

**(NASDAQ)**

8.6 **MTLIX-MFS Municipal Limited Maturity I (NASDAQ)**

8.7 **MEIIX-MFS Value I**

**(NASDAQ)**

Brokerage/Managed Account

Mutual Funds

Mutual Fund

Mutual Funds

Mutual Fund

Mutual Funds

Mutual Fund

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Mutual Fund

Mutual Funds

Mutual Fund

Mutual Funds

Mutual Fund

Spouse

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

$2,501 - $5,000 $2,501 - $5,000 $5,001 - $15,000 $5,001 - $15,000 $1,001 - $2,500 $201 - $1,000 $5,001 - $15,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 3/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

~~Income~~

8.8 **ML Bank Deposit Program** Type Income

Bank Deposit Spouse $50,001 -

Asset Asset Type Owner Value

Interest, $201 - $1,000

~~(Century City, California)~~

*Type:* Money Market Account,

8.9 **WEMIX-Wells Fargo Advantage Emerging Gr Instl (NASDAQ)**

8.10 **IWF-iShares Russell 1000 Growth (NYSEArca)**

8.11 **EFA - iShares MSCI EAFE ETF** *Filer comment:* 2017 purchase and sale

8.12 **VUG - Vanguard Growth ETF** *Filer comment:* 2017 purchase and sale

8.13 **ITM - VanEck Vectors AMT Free Interm Muni ETF**

*Filer comment:* 2017 purchase and sale

8.14 **IEMG - iShares Core MSCI Emerging Markets ETF**

*Filer comment:* 2017 purchase and sale

Mutual Funds

Mutual Fund

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

$100,000

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Spouse None (or less than

$1,001)

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

$201 - $1,000 $15,001 - $50,000 $2,501 - $5,000 $1,001 - $2,500 $201 - $1,000

$1,001 - $2,500

9 **Merrill Lynch - IRRA** Retirement Plans IRA

Spouse

9.1 **Merrill Lynch-Bank of America N/A**

(Century City, California)

*Type:* Money Market Account,

Bank Deposit Spouse $15,001 - $50,000

None, None (or less than $201)

9.2 **EWC - iShares MSCI Canada ETF**

9.3 **EWL - iShares MSCI Switzerland Capped ETF**

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Spouse $15,001 - $50,000

Spouse $15,001 - $50,000

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201)

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 4/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

~~Income~~

9.4 **EWD - iShares MSCI Sweden** Type Income

Mutual Funds

Spouse $1,001 -

Excepted

None (or less than $201)

Asset Asset Type Owner Value

**~~Capped ETF~~**

9.5 **EPP - iShares MSCI Pacific ex Japan ETF**

9.6 **LQD - iShares iBoxx $ Invmt Grade Corp Bd ETF**

~~Exchange Traded~~ Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

$15,000

Spouse $15,001 - $50,000

Spouse $15,001 - $50,000

Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201)

9.7 **TIP - iShares TIPS Bond ETF** Mutual Funds Exchange Traded

Fund/Note

Spouse $15,001 - $50,000

Excepted Investment Fund,

None (or less than $201)

9.8 **IEI - iShares 3-7 Year Treasury Bond ETF**

Mutual Funds

Exchange Traded Fund/Note

Spouse $15,001 - $50,000

Excepted Investment Fund,

None (or less than $201)

9.9 **MBB - iShares MBS ETF** Mutual Funds Exchange Traded

Fund/Note

Spouse $50,001 - $100,000

Excepted Investment Fund,

None (or less than $201)

9.10 **HYG - iShares iBoxx $ High Yield Corp Bd ETF**

9.11 **EZU - iShares MSCI Eurozone ETF**

9.12 **EWJ - iShares MSCI Japan ETF**

9.13 **EWU - iShares MSCI United Kingdom ETF**

9.14 **IEMG - iShares Core MSCI Emerging Markets ETF**

9.15 **PCY - PowerShares Emerging Markets Sov Dbt ETF**

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Spouse $1,001 - $15,000

Spouse $50,001 - $100,000

Spouse $15,001 - $50,000

Spouse $15,001 - $50,000

Spouse $50,001 - $100,000

Spouse $15,001 - $50,000

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201)

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 5/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

~~Income~~

9.16 **PGX - PowerShares Preferred** Type Income

Mutual Funds

Spouse $1,001 -

Excepted

None (or less than $201)

Asset Asset Type Owner Value

**~~ETF~~**

9.17 **EMLC - VanEck Vectors JP Morgan EM LC Bd ETF**

9.18 **VBR - Vanguard Small-Cap Value ETF**

9.19 **VBK - Vanguard Small-Cap Growth ETF**

~~Exchange Traded~~ Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

$15,000

Spouse $1,001 - $15,000

Spouse $15,001 - $50,000

Spouse $15,001 - $50,000

Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201) None (or less than $201)

9.20 **VTV - Vanguard Value ETF** Mutual Funds Exchange Traded

Fund/Note

9.21 **VUG - Vanguard Growth ETF** Mutual Funds Exchange Traded

Fund/Note

Spouse $250,001 - $500,000

Spouse $100,001 - $250,000

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201)

9.22 **BIV - Vanguard Interm-Term Bond ETF**

9.23 **BSV - Vanguard Short-Term Bond ETF**

10 **Whitwell Jacoby Emhoff LLP SEP**

10.1 **BIV - Vanguard**

**Intermediate-Term Bond ETF (NYSEArca)**

10.2 **IBB - iShares Nasdaq Biotechnology (NASDAQ)**

10.3 **IEI - iShares 3-7 Year Treasury Bond (NYSEArca)**

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Retirement Plans Defined

Contribution

Pension Plan

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Spouse $50,001 - $100,000

Spouse $15,001 - $50,000

Spouse

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201)

None (or less than $201) None (or less than $201) None (or less than $201)

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 6/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

~~Income~~

10.4 **IEMG - iShares Core MSCI** Type Income

Mutual Funds

Spouse $1,001 -

Excepted

None (or less than $201)

Asset Asset Type Owner Value

**~~Emerging Markets~~ (NYSEArca)**

~~Exchange Traded~~ Fund/Note

$15,000

Investment Fund,

10.5 **Merrill Lynch-Bank of America N/A**

(Century City, California)

*Type:* Money Market Account,

Bank Deposit Spouse $1,001 - $15,000

None, None (or less than $201)

10.6 **LQD - iShares iBoxx $ Invst Grade Crp Bond (NYSEArca)**

10.7 **MBB - iShares MBS**

**(NYSEArca)**

10.8 **VAW - Vanguard Materials ETF**

*Filer comment:* Below the

reporting threshold - no

transaction to report.

10.9 **VDC - Vanguard Consumer Staples ETF (NYSEArca)**

10.10 **VGT - Vanguard Information Technology ETF (NYSEArca)**

10.11 **VIS - Vanguard Industrials ETF (NYSEArca)**

10.12 **XLF - Financial Select Sector SPDR ETF (NYSEArca)**

10.13 **XLV - Health Care Select Sector SPDR ETF (NYSEArca)**

10.14 **XLY - Consumer Discret Sel Sect SPDR ETF (NYSEArca)**

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse None (or less than

$1,001)

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201) None (or less than $201)

None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201)

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7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

~~Income~~

10.15 **EWU - iShares MSCI United** Type Income

Mutual Funds

Spouse $1,001 -

Excepted

None (or less than $201)

Asset Asset Type Owner Value

**~~Kingdom (NYSEArca)~~**

10.16 **EWJ - iShares, Inc. - iShares MSCI Japan ETF**

10.17 **PCY - PowerShares**

**Exchange-Traded Fund Trust II - PowerS**

10.18 **TIP - iShares Trust - iShares TIPS Bond ETF**

10.19 **XLE - Energy Select Sector SPDR ETF**

*Filer comment:* Full sale in CY

2017; two sale transactions less than $1,000

10.20 **EPP - iShares MSCI Pacific ex Japan ETF**

10.21 **XLRE - Real Estate Select Sector SPDR**

10.22 **BSV - Vanguard Short-Term Bond ETF**

10.23 **EWC - iShares MSCI Canada ETF**

10.24 **EWL - iShares MSCI**

**Switzerland Capped ETF**

10.25 **EZU - iShares MSCI Eurozone ETF**

~~Exchange Traded~~ Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Mutual Funds

Exchange Traded Fund/Note

$15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse None (or less than

$1,001)

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Investment Fund,

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Excepted Investment Fund,

None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201)

None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201) None (or less than $201)

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7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

~~Income~~

10.26 **FDN - First Trust Dow Jones** Type Income

Mutual Funds

Spouse $1,001 -

Excepted

None (or less than $201)

Asset Asset Type Owner Value

**~~Internet ETF~~**

10.27 **SKYY - First Trust Cloud Computing ETF**

11 **San Francisco Employees Retirement System (SFERS) Plan**

12 **DLA Piper LLP Retirement Plan**

12.1 **VRIVX - Vanguard Instl Trgt Retire 2025 Instl**

~~Exchange Traded~~ Fund/Note

Mutual Funds

Exchange Traded Fund/Note

Retirement Plans Defined Benefit Pension Plan

Retirement Plans Defined

Contribution

Pension Plan

Mutual Funds

Mutual Fund

$15,000

Spouse $1,001 - $15,000

Self $250,001 - $500,000

Spouse

Spouse $1,001 - $15,000

Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201)

None (or less than $201)

13 **Charles Schwab IRA Rollover** Retirement Plans IRA

Spouse

13.1 **TPLGX - T. Rowe Price Instl Large Cap Core Gr**

13.2 **VIEIX-Vanguard Extended Market Index Instl**

Mutual Funds Mutual Fund

Mutual Funds Mutual Fund

Spouse $1,001 - $15,000

Spouse $1,001 - $15,000

Excepted Investment Fund,

Excepted Investment Fund,

None (or less than $201) None (or less than $201)

14 **Legislators' Retirement System Plan**

Retirement Plans Defined Benefit Pension Plan

Self $50,001 - $100,000

None, None (or less than $201)

**Part 4a. Periodic Transaction Report Summary**

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

**Part 4b. Transactions**

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded $1,000? **Yes**

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 9/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

# Owner Ticker Asset Name

Transacti Transacti

Transactio Transactio

# Owner Ticker Asset Name n Date Amount Comment on Type

on Type

n Date Amount Comment

1 Spouse IEMG iShares Core MSCI Emerging Markets ETF

2 Spouse EWU iShares MSCI United Kingdom ETF

Purchase 12/22/2017 $1,001 -

--

$15,000

Purchase 12/22/2017 $1,001 -

--

$15,000

3 Spouse EWJ iShares MSCI Japan ETF Purchase 12/22/2017 $1,001 -

--

$15,000

4 Spouse EZU iShares MSCI Eurozone ETF Purchase 12/22/2017 $1,001 -

--

$15,000

5 Spouse MBB iShares MBS ETF Purchase 12/22/2017 $1,001 -

--

$15,000

6 Spouse VUG Vanguard Growth ETF Purchase 12/22/2017 $15,001

--

-

$50,000

7 Spouse VBK Vanguard Small-Cap Growth ETF

Purchase 12/22/2017 $1,001 -

--

$15,000

8 Spouse VTV Vanguard Value ETF Purchase 12/22/2017 $15,001

--

-

$50,000

9 Spouse VBR Vanguard Small-Cap Value ETF Purchase 12/22/2017 $1,001 -

--

$15,000

10 Spouse BSV Vanguard Short-Term Bond ETF Purchase 12/22/2017 $1,001 -

--

$15,000

11 Spouse PCY PowerShares Emerging Markets Sov Dbt ETF

Purchase 12/22/2017 $1,001 -

--

$15,000

12 Spouse TIP iShares TIPS Bond ETF Purchase 12/22/2017 $1,001 -

--

$15,000

13 Spouse BIV Vanguard Interm-Term Bond ETF

14 Spouse IEI iShares 3-7 Year Treasury Bond ETF

15 Spouse HYG iShares iBoxx $ High Yield Corp Bd ETF

Purchase 12/22/2017 $1,001 -

--

$15,000

Purchase 12/22/2017 $1,001 -

--

$15,000

Purchase 12/22/2017 $1,001 -

--

$15,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 10/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

16 Spouse LQD iShares iBoxx $ Invmt Grade

Purchase 12/22/2017 $1,001 - --

Corp Bd ETF

Transacti

Transactio

$15,000

# Owner Ticker Asset Name

on Type

n Date Amount Comment

~~17 Spouse EWL iShares MSCI Switzerland~~ Capped ETF

18 Spouse EPP iShares MSCI Pacific ex Japan ETF

~~Purchase 12/22/2017 $1,001 -~~

~~--~~

$15,000

Purchase 12/22/2017 $1,001 -

--

$15,000

19 Spouse EWC iShares MSCI Canada ETF Purchase 12/22/2017 $1,001 -

--

$15,000

20 Spouse VUG Vanguard Growth ETF Purchase 12/13/2017 $50,001

--

-

$100,000

21 Spouse VTV Vanguard Value ETF Purchase 12/13/2017 $100,001

--

-

$250,000

22 Spouse HYG iShares iBoxx $ High Yield Corp Bd ETF

23 Spouse IEMG iShares Core MSCI Emerging Markets ETF

Purchase 12/13/2017 $1,001 -

--

$15,000

Purchase 12/13/2017 $50,001

--

-

$100,000

24 Spouse PGX PowerShares Preferred ETF Purchase 12/13/2017 $1,001 -

--

$15,000

25 Spouse EMLC VanEck Vectors JP Morgan EM LC Bd ETF

26 Spouse EWU iShares MSCI United Kingdom ETF

27 Spouse IEI iShares 3-7 Year Treasury Bond ETF

28 Spouse PCY PowerShares Emerging Markets Sov Dbt ETF

Purchase 12/13/2017 $1,001 -

--

$15,000

Purchase 12/13/2017 $15,001

--

-

$50,000

Purchase 12/13/2017 $1,001 -

--

$15,000

Purchase 12/13/2017 $1,001 -

--

$15,000

29 Spouse MBB iShares MBS ETF Purchase 12/13/2017 $15,001

--

-

$50,000

30 Spouse EZU iShares MSCI Eurozone ETF Purchase 12/13/2017 $15,001

--

-

$50,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 11/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

31 Spouse BIV Vanguard Interm-Term Bond

Purchase 12/13/2017 $15,001 --

ETF

Transacti

Transactio

-

# Owner Ticker Asset Name

on Type

n Date Amount Comment $50,000

32 Spouse BSV Vanguard Short-Term Bond ETF Purchase 12/13/2017 $15,001

--

-

$50,000

33 Spouse LQD iShares iBoxx $ Invmt Grade Corp Bd ETF

Purchase 12/13/2017 $15,001

--

-

$50,000

34 Spouse EWJ iShares MSCI Japan ETF Purchase 12/13/2017 $15,001

--

-

$50,000

35 Spouse EWD iShares MSCI Sweden Capped ETF

Purchase 12/13/2017 $1,001 -

--

$15,000

36 Spouse EWC iShares MSCI Canada ETF Purchase 12/13/2017 $1,001 -

--

$15,000

37 Spouse EPP iShares MSCI Pacific ex Japan ETF

38 Spouse EWL iShares MSCI Switzerland Capped ETF

39 Spouse VBK Vanguard Small-Cap Growth ETF

Purchase 12/13/2017 $15,001

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-

$50,000

Purchase 12/13/2017 $1,001 -

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$15,000

Purchase 12/13/2017 $1,001 -

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$15,000

40 Spouse VBR Vanguard Small-Cap Value ETF Purchase 12/13/2017 $15,001 -

$50,000

41 Spouse TIP iShares TIPS Bond ETF Purchase 12/13/2017 $1,001 - $15,000

42 Spouse CRISX CRM Small Cap Value Instl Sale (Full) 12/13/2017 $1,001 - $15,000

43 Spouse DODGX Dodge & Cox Stock Sale (Full) 12/13/2017 $1,001 - $15,000

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Transfer from Venable LLP Retirement Plan and then sold

Transfer from Venable LLP Retirement Plan and then sold

44 Spouse WEMIX Wells Fargo Emerging Growth Inst

Sale (Full) 12/13/2017 $1,001 - $15,000

Transfer from Venable LLP Retirement Plan and then sold

45 Spouse VMGRX Vanguard Mid Cap Growth Inv Sale (Full) 12/13/2017 $1,001 - $15,000

Transfer from Venable LLP Retirement Plan and then sold

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 12/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

46 Spouse HEDJ WisdomTree Europe Hedged

Sale (Full) 12/05/2017 $1,001 - --

Equity ETF

Transacti

Transactio

$15,000

# Owner Ticker Asset Name

on Type

n Date Amount Comment

~~47 Spouse EZU iShares MSCI Eurozone ETF Purchase 12/05/2017 $1,001 -~~

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$15,000

48 Spouse VTV Vanguard Value ETF Sale (Partial)

10/24/2017 $1,001 - $15,000

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49 Spouse HEDJ WisdomTree Europe Hedged Equity ETF

Sale

(Partial)

10/24/2017 $1,001 - $15,000

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50 Spouse EZU iShares MSCI Eurozone ETF Purchase 10/24/2017 $1,001 -

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$15,000

51 Spouse IEMG iShares Core MSCI Emerging Markets ETF

Sale

(Partial)

05/17/2017 $1,001 - $15,000

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52 Spouse HEDJ WisdomTree Europe Hedged Equity ETF

Purchase 05/17/2017 $1,001 -

--

$15,000

53 Spouse VBK Vanguard Small-Cap Growth ETF

Sale

(Partial)

05/17/2017 $1,001 - $15,000

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54 Spouse TIP iShares TIPS Bond ETF Purchase 02/28/2017 $1,001 -

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$15,000

55 Spouse BIV Vanguard Interm-Term Bond ETF

56 Spouse LQD iShares iBoxx $ Invmt Grade Corp Bd ETF

57 Spouse IEI iShares 3-7 Year Treasury Bond ETF

58 Spouse HYG iShares iBoxx $ High Yield Corp Bd ETF

59 Spouse PCY PowerShares Emerging Markets Sov Dbt ETF

Purchase 02/28/2017 $1,001 -

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$15,000

Purchase 02/28/2017 $1,001 -

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$15,000

Purchase 02/28/2017 $1,001 -

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$15,000

Purchase 02/28/2017 $1,001 -

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$15,000

Purchase 02/28/2017 $1,001 -

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$15,000

60 Spouse BSV Vanguard Short-Term Bond ETF Purchase 02/28/2017 $1,001 -

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$15,000

61 Spouse MBB iShares MBS ETF Purchase 02/28/2017 $1,001 -

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$15,000

62 Spouse VTV Vanguard Value ETF Purchase 02/28/2017 $50,001

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$100,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 13/24

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63 Spouse VUG Vanguard Growth ETF Purchase 02/28/2017 $15,001 --

Transacti

Transactio

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# Owner Ticker Asset Name

on Type

n Date Amount Comment $50,000

64 Spouse EPP iShares MSCI Pacific ex Japan ETF

65 Spouse EWU iShares MSCI United Kingdom ETF

Purchase 02/28/2017 $1,001 -

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$15,000

Purchase 02/28/2017 $1,001 -

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$15,000

66 Spouse EWC iShares MSCI Canada ETF Purchase 02/28/2017 $1,001 -

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$15,000

67 Spouse EWL iShares MSCI Switzerland Capped ETF

Purchase 02/28/2017 $1,001 -

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$15,000

68 Spouse EWJ iShares MSCI Japan ETF Purchase 02/28/2017 $1,001 -

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$15,000

69 Spouse EMLC VanEck Vectors JP Morgan EM LC Bd ETF

70 Spouse HEDJ WisdomTree Europe Hedged Equity ETF

71 Spouse IEMG iShares Core MSCI Emerging Markets ETF

72 Spouse VBK Vanguard Small-Cap Growth ETF

Purchase 02/28/2017 $1,001 -

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$15,000

Purchase 02/28/2017 $1,001 -

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$15,000

Purchase 02/28/2017 $15,001

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-

$50,000

Purchase 02/28/2017 $1,001 -

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$15,000

73 Spouse VBR Vanguard Small-Cap Value ETF Purchase 02/28/2017 $1,001 -

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$15,000

74 Spouse PGX PowerShares Preferred ETF Purchase 02/28/2017 $1,001 -

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$15,000

75 Spouse DOMIX Domini Impact International Equity Inv

Sale (Full) 02/28/2017 $15,001

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$50,000

76 Spouse PXSIX Pax Small Cap Institutional Sale (Full) 02/28/2017 $1,001 -

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$15,000

77 Spouse PRILX Parnassus Core Equity Institutional

Sale (Full) 02/28/2017 $1,001 -

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$15,000

78 Spouse PARWX Parnassus Endeavor Investor Sale (Full) 02/28/2017 $1,001 -

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$15,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 14/24

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79 Spouse PARMX Parnassus Mid-Cap Sale (Full) 02/28/2017 $1,001 - --

Transacti

Transactio

$15,000

# Owner Ticker Asset Name

on Type

n Date Amount Comment

~~80 Spouse CSDYX Calvert Short Duration Income Y Sale (Full) 02/28/2017 $15,001~~

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$50,000

81 Spouse CIEYX Calvert Equity Y Sale (Full) 02/28/2017 $15,001

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-

$50,000

82 Spouse CBAYX Calvert Balanced Y Sale (Full) 02/28/2017 $50,001

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-

$100,000

83 Spouse AVEDX Ave Maria Rising Dividend Sale (Full) 02/28/2017 $15,001

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-

$50,000

84 Spouse PARNX Parnassus Sale (Full) 02/28/2017 $1,001 -

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$15,000

85 Spouse PARWX Parnassus Endeavor Investor Sale (Partial)

02/22/2017 $1,001 - $15,000

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86 Spouse CSDYX Calvert Short Duration Income Y Purchase 01/31/2017 $1,001 -

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$15,000

87 Spouse CIEYX Calvert Equity Y Purchase 01/31/2017 $1,001 -

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$15,000

88 Spouse CBAYX Calvert Balanced Y Purchase 01/31/2017 $1,001 -

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$15,000

89 Spouse HEDJ WisdomTree Europe Hedged Equity ETF

Sale (Full) 12/05/2017 $1,001 -

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$15,000

90 Spouse EZU iShares MSCI Eurozone ETF Purchase 12/05/2017 $1,001 -

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$15,000

91 Spouse HEDJ WisdomTree Europe Hedged Equity ETF

Sale

(Partial)

10/24/2017 $1,001 - $15,000

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92 Spouse EZU iShares MSCI Eurozone ETF Purchase 10/24/2017 $1,001 -

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$15,000

93 Spouse XLV Health Care Select Sector SPDR ETF

Sale

(Partial)

09/25/2017 $1,001 - $15,000

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https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 15/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

94 Spouse XLY Consumer Discret Sel Sect SPDR ETF

# Owner Ticker Asset Name

Sale

Transacti (Partial)

09/25/2017 $1,001 -

Transactio

$15,000

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on Type

n Date Amount Comment

~~95 Spouse IEMG iShares Core MSCI Emerging~~ Markets ETF

96 Spouse EWU iShares MSCI United Kingdom ETF

~~Purchase 01/24/2017 $1,001 -~~

~~--~~

$15,000

Purchase 01/23/2017 $1,001 -

--

$15,000

97 Spouse VIS Vanguard Industrials ETF Sale (Partial)

01/23/2017 $1,001 - $15,000

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98 Spouse VGT Vanguard Information Technology ETF

99 Spouse XLY Consumer Discret Sel Sect SPDR ETF

Sale

(Partial)

Sale

(Partial)

01/23/2017 $1,001 - $15,000

01/23/2017 $1,001 - $15,000

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100 Spouse BSV Vanguard Short-Term Bond ETF Purchase 01/23/2017 $1,001 -

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$15,000

101 Spouse LQD iShares iBoxx $ Invmt Grade Corp Bd ETF

Sale (Full) 04/28/2017 $1,001 -

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$15,000

102 Spouse TIP iShares TIPS Bond ETF Sale (Full) 04/28/2017 $1,001 -

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$15,000

103 Spouse VBR Vanguard Small-Cap Value ETF Sale (Full) 04/28/2017 $1,001 -

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$15,000

104 Spouse EFA iShares MSCI EAFE ETF Sale (Full) 04/28/2017 $50,001

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$100,000

105 Spouse SHM SPDR Nuveen Blmbg Barclays ST MunBd ETF

106 Spouse IEI iShares 3-7 Year Treasury Bond ETF

107 Spouse BIV Vanguard Interm-Term Bond ETF

Sale (Full) 04/28/2017 $1,001 -

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$15,000

Sale (Full) 04/28/2017 $1,001 -

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$15,000

Sale (Full) 04/28/2017 $1,001 -

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$15,000

108 Spouse MBB iShares MBS ETF Sale (Full) 04/28/2017 $1,001 -

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$15,000

109 Spouse HYD VanEck Vectors High-Yield Municipal ETF

Sale (Full) 04/28/2017 $1,001 -

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$15,000

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7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

110 Spouse IEMG iShares Core MSCI Emerging

Sale (Full) 04/28/2017 $15,001 --

Markets ETF

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Transactio

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# Owner Ticker Asset Name

on Type

n Date Amount Comment $50,000

111 Spouse EMLC VanEck Vectors JP Morgan EM LC Bd ETF

Sale (Full) 04/28/2017 $1,001 -

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$15,000

112 Spouse BSV Vanguard Short-Term Bond ETF Sale (Full) 04/28/2017 $1,001 -

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$15,000

113 Spouse ITM VanEck Vectors AMT-Free Interm Muni ETF

114 Spouse PZA PowerShares National AMT Free MuniBd ETF

115 Spouse PCY PowerShares Emerging Markets Sov Dbt ETF

116 Spouse VBK Vanguard Small-Cap Growth ETF

Sale (Full) 04/28/2017 $15,001

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-

$50,000

Sale (Full) 04/28/2017 $15,001

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-

$50,000

Sale (Full) 04/28/2017 $1,001 -

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$15,000

Sale (Full) 04/28/2017 $1,001 -

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$15,000

117 Spouse VUG Vanguard Growth ETF Sale (Full) 04/28/2017 $50,001

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$100,000

118 Spouse VTV Vanguard Value ETF Sale (Full) 04/28/2017 $100,001

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-

$250,000

119 Spouse BSV Vanguard Short-Term Bond ETF Purchase 03/03/2017 $1,001 -

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$15,000

120 Spouse MBB iShares MBS ETF Purchase 03/03/2017 $1,001 -

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$15,000

121 Spouse TIP iShares TIPS Bond ETF Purchase 03/03/2017 $1,001 -

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$15,000

122 Spouse EFA iShares MSCI EAFE ETF Purchase 03/03/2017 $50,001

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-

$100,000

123 Spouse PCY PowerShares Emerging Markets Sov Dbt ETF

124 Spouse SHM SPDR Nuveen Blmbg Barclays ST MunBd ETF

Purchase 03/03/2017 $1,001 -

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$15,000

Purchase 03/03/2017 $1,001 -

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$15,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 17/24

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125 Spouse PZA PowerShares National AMT

Purchase 03/03/2017 $15,001 --

Free MuniBd ETF

Transacti

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# Owner Ticker Asset Name

on Type

n Date Amount Comment $50,000

126 Spouse HYD VanEck Vectors High-Yield Municipal ETF

127 Spouse VBK Vanguard Small-Cap Growth ETF

Purchase 03/03/2017 $1,001 -

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$15,000

Purchase 03/03/2017 $1,001 -

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$15,000

128 Spouse VBR Vanguard Small-Cap Value ETF Purchase 03/03/2017 $1,001 -

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$15,000

129 Spouse VTV Vanguard Value ETF Purchase 03/03/2017 $100,001

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-

$250,000

130 Spouse VUG Vanguard Growth ETF Purchase 03/03/2017 $50,001

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-

$100,000

131 Spouse LQD iShares iBoxx $ Invmt Grade Corp Bd ETF

132 Spouse BIV Vanguard Interm-Term Bond ETF

Purchase 03/03/2017 $1,001 -

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$15,000

Purchase 03/03/2017 $1,001 -

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$15,000

133 Spouse IWD iShares Russell 1000 Value ETF Sale (Full) 03/03/2017 $15,001

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-

$50,000

134 Spouse ITM VanEck Vectors AMT-Free Interm Muni ETF

Purchase 03/03/2017 $15,001

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-

$50,000

135 Spouse IWF iShares Russell 1000 Growth ETF Sale (Full) 03/03/2017 $15,001

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-

$50,000

136 Spouse IEI iShares 3-7 Year Treasury Bond ETF

137 Spouse IEMG iShares Core MSCI Emerging Markets ETF

138 Spouse EMLC VanEck Vectors JP Morgan EM LC Bd ETF

Purchase 03/03/2017 $1,001 -

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$15,000

Purchase 03/03/2017 $15,001

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-

$50,000

Purchase 03/03/2017 $1,001 -

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$15,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 18/24

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139 Spouse WEMIX Wells Fargo Emerging Growth

Sale (Full) 03/03/2017 $1,001 - --

Inst

Transacti

Transactio

$15,000

# Owner Ticker Asset Name

on Type

n Date Amount Comment

~~140 Spouse TWWIX Thomas White International I Sale (Full) 03/03/2017 $15,001~~

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$50,000

141 Spouse HHDTX Hancock Horizon Diversified Intl Instl

142 Spouse DUSIX Delaware Tax-Free USA Intermediate Instl

143 Spouse AEPFX American Funds Europacific Growth F2

144 Spouse UBVSX Undiscovered Managers Behavioral Val I

145 Spouse ASVIX American Century Small Cap Value Inv

146 Spouse ANOIX American Century Small Cap Growth Inv

147 Spouse MTLIX MFS Municipal Limited Maturity I

Sale (Full) 03/03/2017 $15,001

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-

$50,000

Sale (Full) 03/03/2017 $50,001

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-

$100,000

Sale (Full) 03/03/2017 $15,001

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-

$50,000

Sale (Full) 03/03/2017 $1,001 -

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$15,000

Sale (Full) 03/03/2017 $1,001 -

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$15,000

Sale (Full) 03/03/2017 $1,001 -

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$15,000

Sale (Full) 03/03/2017 $50,001

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-

$100,000

148 Spouse MEIIX MFS Value I Sale (Full) 03/03/2017 $15,001

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-

$50,000

149 Spouse EILVX Eaton Vance Large-Cap Value I Sale (Full) 03/03/2017 $15,001

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-

$50,000

150 Spouse HGOIX Hartford Growth Opportunities I Sale (Full) 03/03/2017 $15,001

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-

$50,000

151 Spouse DGAGX Dreyfus Appreciation Investor Sale (Full) 03/03/2017 $15,001

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-

$50,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 19/24

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152 Spouse HGOIX Hartford Growth Opportunities I Purchase 01/31/2017 $1,001 - --

Transacti

Transactio

$15,000

# Owner Ticker Asset Name

on Type

n Date Amount Comment

~~153 Spouse DGAGX Dreyfus Appreciation Investor Purchase 01/31/2017 $1,001 -~~

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$15,000

154 Spouse DUSIX Delaware Tax-Free USA Intermediate Instl

155 Spouse MTLIX MFS Municipal Limited Maturity I

156 Spouse VRIVX Vanguard Instl Trgt Retire 2025 Instl

157 Spouse WEMIX Wells Fargo Emerging Growth Inst

Purchase 01/31/2017 $1,001 -

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$15,000

Purchase 01/31/2017 $1,001 -

--

$15,000

Purchase 11/01/2017 $1,001 -

--

$15,000

Purchase 06/28/2017 $1,001 -

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$15,000

158 Spouse VMGRX Vanguard Mid Cap Growth Inv Purchase 06/28/2017 $1,001 -

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$15,000

159 Spouse VINIX Vanguard Institutional Index I Purchase 06/28/2017 $1,001 -

--

$15,000

160 Spouse VEXAX Vanguard Extended Market Index Admiral

161 Spouse TPLGX T. Rowe Price Instl Large Cap Core Gr

Purchase 06/28/2017 $1,001 -

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$15,000

Purchase 06/28/2017 $1,001 -

--

$15,000

162 Spouse DODGX Dodge & Cox Stock Purchase 06/28/2017 $1,001 -

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$15,000

163 Spouse CRISX CRM Small Cap Value Instl Purchase 06/28/2017 $1,001 -

--

$15,000

164 Spouse VEXAX Vanguard Extended Market Index Admiral

165 Spouse VIEIX Vanguard Extended Market Index Instl

Sale (Full) 08/02/2017 $1,001 -

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$15,000

Purchase 08/02/2017 $1,001 -

--

$15,000

166 Spouse VINIX Vanguard Institutional Index I Sale (Full) 11/16/2017 $15,001

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-

$50,000

167 Spouse VBMFX Vanguard Total Bond Market Index Inv

Sale (Full) 11/16/2017 $50,001

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-

$100,000

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 20/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

168 Spouse NRFYX Natixis AEW Real Estate Y Sale (Full) 11/16/2017 $50,001 --

Transacti

Transactio

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# Owner Ticker Asset Name

on Type

n Date Amount Comment $100,000

169 Spouse -- SSgA Target Retirement 2025 Fund NL

170 Spouse -- SSgA Target Retirement 2030 Fund NL

171 Spouse -- SSgA Target Retirement 2035 Fund NL

172 Spouse -- SSgA Target Retirement 2040 Fund NL

173 Spouse WEMIX Wells Fargo Emerging Growth Inst

Sale (Full) 11/16/2017 $100,001

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-

$250,000

Sale (Full) 11/16/2017 $100,001

--

-

$250,000

Sale (Full) 11/16/2017 $100,001

--

-

$250,000

Sale (Full) 11/16/2017 $100,001

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-

$250,000

Purchase 06/28/2017 $1,001 -

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$15,000

174 Spouse VMGRX Vanguard Mid Cap Growth Inv Purchase 06/28/2017 $1,001 -

--

$15,000

175 Spouse VINIX Vanguard Institutional Index I Purchase 06/28/2017 $1,001 -

--

$15,000

176 Spouse VEXAX Vanguard Extended Market Index Admiral

177 Spouse TPLGX T. Rowe Price Instl Large Cap Core Gr

Purchase 06/28/2017 $1,001 -

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$15,000

Purchase 06/28/2017 $1,001 -

--

$15,000

178 Spouse DODGX Dodge & Cox Stock Purchase 06/28/2017 $1,001 -

--

$15,000

179 Spouse CRISX CRM Small Cap Value Instl Purchase 06/28/2017 $1,001 -

--

$15,000

180 Spouse VEXAX Vanguard Extended Market Index Admiral

181 Spouse VIEIX Vanguard Extended Market Index Instl

Sale (Full) 08/02/2017 $1,001 -

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$15,000

Purchase 08/02/2017 $1,001 -

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$15,000

182 Spouse VINIX Vanguard Institutional Index I Sale (Full) 11/22/2017 $1,001 -

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$15,000

**Part 5. Gifts**

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 21/24

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Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

**Part 6. Travel**

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

**Part 7. Liabilities**

Did you, your spouse, or dependent child have a liability worth more than $10,000 at any time? **Yes** Rate

# Incurred Debtor Type Points

(Term) Amount Creditor Comments

1 2014 Spouse Line of Credit

- 3.5% (1

year)

$100,001 - $250,000 City National

Bank

Los

Angeles,

California

The line of credit has a $0 balance as of 5/15/18.

2 2013 Self Mortgage 0 3.75% (30

years)

$100,001 - $250,000 Wells

-

Fargo

Los

Angeles,

California

3 2013 Self Home Equity Line

of Credit

- 4.75% (20

years)

$15,001 - $50,000 Wells

-

Fargo

Los

Angeles,

California

4 2016 Spouse Mortgage 0 2.625% (30

years)

Over $1,000,000 (asset held independently by spouse or dependent child)

Wells

Fargo

Los

Angeles, California

-

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 22/24

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5 2016 Spouse Home Equity Line

- 4.25% Rate

$250,001 - $500,000 Wells

The HELOC has a $0 balance as of 5/15/18.

# Incurred Debtor Type Points

(30

Fargo

(Term) Amount Creditor Comments

of Credit

years)

Los

Angeles, California

6 2017 Spouse Mortgage 0 3.50% (30

years)

**Part 8. Positions**

Over $1,000,000 (asset held independently by spouse or dependent child)

Wells

Fargo

Los

Angeles, CA

-

Did you hold any outside positions during the reporting period? **Yes** Position

# Position Dates

Held Entity Entity Type Comments

1 Oct 2017 to present Trustee The KDH/DCE Family Trust Los Angeles, CA

**Part 9. Agreements**  Amended

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

Trust None

# Date Parties Involved Type Status and Terms

1 Oct 2008

Chronicle Books LLC

San Francisco, California

Royalty Agreement Royalty for book, "Smart on Crime: A Prosecutor's Solution for Making the Streets

Safer" (no royalties received in 2017).

2 Dec 2011

3 Dec 2011

San Francisco Employees' Retirement System San Francisco, California

City & County of San Francisco 457(b) Deferred Compensation Plan

San Francisco, California

Continuing participation in an employee benefit plan

Continuing participation in an employee benefit plan

Defined benefit plan that provides service retirement benefits.

457(b) deferred comp plan that provides retirement benefits.

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 23/24

7/30/2019 eFD: Annual Report for 2017 - Harris, Kamala D

# Date Parties Involved Type Status and Terms 4 Jan

~~2017~~

State of California Savings Plus 457(b) Plan ~~Sacramento, California~~

Continuing participation in an ~~employee benefit plan~~

457(b) deferred comp plan that provides ~~retirement benefits.~~

5 Apr 2018

Penguin Press New York, NY

Royalty Agreement Agreement to receive book advance and royalty payments based on usual and customary terms

for publication of a non-fiction book.

6 Jan 2017

Legislators' Retirement System Sacramento, CA

Continuing participation in an employee benefit plan

Defined benefit plan that provides service retirement benefits.

**Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than $5,000 from a single source in the **two** prior years? **This is not my first report.**

**Attachments & Comments**

*No attachments added.*

*No comments added.*

https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/ 24/24