United States Senate

Financial Disclosures

Annual Report for Calendar 2018 (Amendment 2)

Ms. Kamala D Harris (Harris, Kamala)

Filed 07/08/2019 @ 4:40 PM

The following statements were checked before filing:

- [x] I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- [x] I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

- [ ] I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than $200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Partnership Distributions	Venable LLP Los Angeles, California	> $1,000
2	Spouse	Salary	DLA Piper LLP Los Angeles, CA	> $1,000
3	Self	Other (Advance)	Penguin Random House LLC New York, NY	$320,125.00

Part 3. Assets ✱ Amended

Did you, your spouse, or dependent child own any asset worth more than $1000, have a deposit account with a balance over $5,000, or receive income of more than $200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	**Wells Fargo** (Los Angeles, California) *Type:* Checking,	Bank Deposit	Self	$1,001 - $15,000	None,	None (or less than $201)
2	**Wells Fargo** (Los Angeles, California) *Type:* Savings,	Bank Deposit	Self	$250,001 - $500,000	Interest,	$201 - $1,000
3	**First Republic Bank** (San Francisco, California) *Type:* Checking,	Bank Deposit	Spouse	$1,001 - $15,000	None,	None (or less than $201)
4	**Wells Fargo** (Los Angeles, California) *Type:* Money Market Account,	Bank Deposit	Spouse	$15,001 - $50,000	None,	None (or less than $201)
5	**State of California Savings Plus 457(b) Plan**	Retirement Plans Deferred Compensation	Self			
5.1	**Target Date Fund 2025**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
6	**City & County of San Francisco 457(b) Deferred Compensation Plan**	Retirement Plans Deferred Compensation	Self			
6.1	**SFDCP Target Date 2025**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
6.2	**SFDCP Large Cap Growth Eq**	Mutual Funds Mutual Fund	Self	$100,001 - $250,000	Excepted Investment Fund,	None (or less than $201)
6.3	**SFDCP Lg Cap Eq - S&P 500**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.4	**SFDCP Mid Cap Core**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
6.5	**SFDCP International Equity**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
6.6	**SFDCP Real Estate**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
7	**Venable LLP Capital Account** *Description*: Partner Capital Account (Los Angeles, California)	Other Securities	Spouse	$500,001 - $1,000,000	Interest,	$15,001 - $50,000
8	**Merrill Lynch - IRRA**	Retirement Plans IRA	Spouse			
8.1	**Merrill Lynch-Bank of America N/A** (Century City, California) *Type:* Money Market Account,	Bank Deposit	Spouse	$15,001 - $50,000	None,	None (or less than $201)
8.2	**LQD - iShares iBoxx $ Invmt Grade Corp Bd ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
8.3	**TIP - iShares TIPS Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.4	**IEI - iShares 3-7 Year Treasury Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
8.5	**MBB - iShares MBS ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.6	**HYG - iShares iBoxx $ High Yield Corp Bd ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.7	**IEMG - iShares Core MSCI Emerging Markets ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
8.8	**PCY - Invesco Emerging Markets Sovereign Debt ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.9	**PGX - PowerShares Preferred ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.10	**EMLC - VanEck Vectors JP Morgan EM LC Bd ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.11	**VBR - Vanguard Small-Cap Value ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
8.12	**VBK - Vanguard Small-Cap Growth ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
8.13	**VTV - Vanguard Value ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$250,001 - $500,000	Excepted Investment Fund,	None (or less than $201)
8.14	**VUG - Vanguard Growth ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$100,001 - $250,000	Excepted Investment Fund,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
8.15	**BIV - Vanguard Interm-Term Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
8.16	**BSV - Vanguard Short-Term Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
8.17	**IEFA - iShares Core MSCI EAFE ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$100,001 - $250,000	Excepted Investment Fund,	None (or less than $201)
9	**Whitwell Jacoby Emhoff LLP SEP**	Retirement Plans Defined Contribution Pension Plan	Spouse			
9.1	**BIV - Vanguard Intermediate-Term Bond ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.2	**IBB - iShares Nasdaq Biotechnology (NASDAQ)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.3	**IEI - iShares 3-7 Year Treasury Bond (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.4	**IEMG - iShares Core MSCI Emerging Markets (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.5	**Merrill Lynch-Bank of America N/A** (Century City, California) *Type:* Money Market Account,	Bank Deposit	Spouse	$1,001 - $15,000	None,	None (or less than $201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.6	**LQD - iShares iBoxx $ Invst Grade Crp Bond (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.7	**MBB - iShares MBS (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.8	**VAW - Vanguard Materials ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.9	**VDC - Vanguard Consumer Staples ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.10	**VGT - Vanguard Information Technology ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.11	**VIS - Vanguard Industrials ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.12	**XLF - Financial Select Sector SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.13	**XLV - Health Care Select Sector SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.14	**XLY - Consumer Discret Sel Sect SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9.15	**PCY - PowerShares Exchange-Traded Fund Trust II - PowerS**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.16	**TIP - iShares Trust - iShares TIPS Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.17	**XLRE - Real Estate Select Sector SPDR**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.18	**BSV - Vanguard Short-Term Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.19	**FDN - First Trust Dow Jones Internet ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.20	**IEFA - iShares Core MSCI EAFE ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.21	**XLE - Energy Select Sector SPDR Fund** *Filer comment:* Initial purchase greater than $1,000; current value below the reporting threshold	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than $1,001)	Excepted Investment Fund,	None (or less than $201)
10	**San Francisco Employees Retirement System (SFERS) Plan**	Retirement Plans Defined Benefit Pension Plan	Self	$250,001 - $500,000	Excepted Investment Fund,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
11	**DLA Piper LLP - Profit Sharing & 401(k) Plan**	Retirement Plans Defined Contribution Pension Plan	Spouse			
11.1	**Vanguard Target Retirement 2030 Trust II**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
12	**DLA Piper LLP** *Description*: DLA Piper LLP (Los Angeles, CA)	Other Securities	Spouse	$500,001 - $1,000,000	None,	None (or less than $201)
13	**Legislators' Retirement System Plan**	Retirement Plans Defined Benefit Pension Plan	Self	$50,001 - $100,000	None,	None (or less than $201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded $1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	LQD	iShares iBoxx $ Investment Grade Corporate Bond ET	Purchase	06/21/2018	$1,001 - $15,000	--
2	Spouse	IEMG	iShares Core MSCI Emerging Markets ETF	Purchase	06/21/2018	$1,001 - $15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
3	Spouse	VBR	Vanguard Small-Cap Value Index Fund ETF Shares	Sale (Partial)	06/21/2018	$1,001 - $15,000	--
4	Spouse	VBK	Vanguard Small-Cap Growth Index Fund ETF Shares	Purchase	06/21/2018	$1,001 - $15,000	--
5	Spouse	VTV	Vanguard Value Index Fund ETF Shares	Sale (Partial)	06/21/2018	$1,001 - $15,000	--
6	Spouse	VUG	Vanguard Growth Index Fund ETF Shares	Sale (Partial)	06/21/2018	$1,001 - $15,000	--
7	Spouse	BIV	Vanguard Intermediate-Term Bond Index Fund ETF Sha	Sale (Partial)	06/21/2018	$1,001 - $15,000	--
8	Spouse	BSV	Vanguard Short-Term Bond Index Fund ETF Shares	Purchase	06/21/2018	$1,001 - $15,000	--
9	Spouse	IEFA	iShares Core MSCI EAFE ETF	Purchase	03/06/2018	$100,001 - $250,000	--
10	Spouse	PCY	Invesco Emerging Markets Sovereign Debt ETF	Sale (Partial)	06/20/2018	$1,001 - $15,000	--
11	Spouse	IEFA	iShares Core MSCI EAFE ETF	Sale (Partial)	06/20/2018	$1,001 - $15,000	--
12	Spouse	EMLC	VanEck Vectors J.P. Morgan EM Local Currency Bond	Sale (Partial)	06/20/2018	$1,001 - $15,000	--
13	Spouse	VTV	Vanguard Value Index Fund ETF Shares	Purchase	04/24/2018	$1,001 - $15,000	--
14	Spouse	IEMG	iShares Core MSCI Emerging Markets ETF	Purchase	04/24/2018	$1,001 - $15,000	--
15	Spouse	BSV	Vanguard Short-Term Bond Index Fund ETF Shares	Purchase	04/24/2018	$1,001 - $15,000	--
16	Spouse	VUG	Vanguard Growth Index Fund ETF Shares	Purchase	04/24/2018	$1,001 - $15,000	--
17	Spouse	MBB	iShares MBS ETF	Purchase	04/24/2018	$1,001 - $15,000	--
18	Spouse	BIV	Vanguard Intermediate-Term Bond Index Fund ETF Sha	Purchase	04/24/2018	$1,001 - $15,000	--
19	Spouse	MBB	iShares MBS ETF	Sale (Partial)	03/28/2018	$1,001 - $15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
20	Spouse	BIV	Vanguard Intermediate-Term Bond Index Fund ETF Sha	Sale (Partial)	03/28/2018	$1,001 - $15,000	--
21	Spouse	VUG	Vanguard Growth Index Fund ETF Shares	Purchase	03/28/2018	$15,001 - $50,000	--
22	Spouse	VBR	Vanguard Small-Cap Value Index Fund ETF Shares	Sale (Partial)	03/28/2018	$1,001 - $15,000	--
23	Spouse	VTV	Vanguard Value Index Fund ETF Shares	Sale (Partial)	03/28/2018	$1,001 - $15,000	--
24	Spouse	IEFA	iShares Core MSCI EAFE ETF	Sale (Partial)	03/28/2018	$1,001 - $15,000	--
25	Spouse	MBB	iShares MBS ETF	Sale (Partial)	03/06/2018	$1,001 - $15,000	--
26	Spouse	BIV	Vanguard Intermediate-Term Bond Index Fund ETF Sha	Sale (Partial)	03/06/2018	$1,001 - $15,000	--
27	Spouse	VUG	Vanguard Growth Index Fund ETF Shares	Sale (Partial)	03/06/2018	$1,001 - $15,000	--
28	Spouse	VTV	Vanguard Value Index Fund ETF Shares	Purchase	03/06/2018	$1,001 - $15,000	--
29	Spouse	IEMG	iShares Core MSCI Emerging Markets ETF	Purchase	03/06/2018	$1,001 - $15,000	--
30	Spouse	EPP	iShares MSCI Pacific ex Japan ETF	Sale (Full)	03/06/2018	$15,001 - $50,000	--
31	Spouse	EWC	iShares MSCI Canada ETF	Sale (Full)	03/06/2018	$15,001 - $50,000	--
32	Spouse	EWJ	iShares MSCI Japan ETF	Sale (Full)	03/06/2018	$15,001 - $50,000	--
33	Spouse	EWD	iShares MSCI Sweden ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
34	Spouse	EZU	iShares MSCI Eurozone ETF	Sale (Full)	03/06/2018	$50,001 - $100,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
35	Spouse	EWU	iShares MSCI United Kingdom ETF	Sale (Full)	03/06/2018	$15,001 - $50,000	--
36	Spouse	EWL	iShares MSCI Switzerland ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
37	Spouse	EWU	iShares MSCI United Kingdom ETF	Sale (Partial)	01/31/2018	$1,001 - $15,000	--
38	Spouse	VBK	Vanguard Small-Cap Growth Index Fund ETF Shares	Purchase	01/31/2018	$1,001 - $15,000	--
39	Spouse	VTV	Vanguard Value Index Fund ETF Shares	Purchase	01/31/2018	$1,001 - $15,000	--
40	Spouse	VUG	Vanguard Growth Index Fund ETF Shares	Sale (Partial)	01/31/2018	$1,001 - $15,000	--
41	Spouse	EZU	iShares MSCI Eurozone ETF	Sale (Partial)	01/31/2018	$1,001 - $15,000	--
42	Spouse	VBR	Vanguard Small-Cap Value Index Fund ETF Shares	Purchase	01/31/2018	$1,001 - $15,000	--
43	Spouse	IEMG	iShares Core MSCI Emerging Markets ETF	Sale (Partial)	01/30/2018	$1,001 - $15,000	--
44	Spouse	VGT	Vanguard Information Technology Index Fund ETF Sha	Sale (Partial)	08/29/2018	$1,001 - $15,000	--
45	Spouse	IEFA	iShares Core MSCI EAFE ETF	Sale (Partial)	06/20/2018	$1,001 - $15,000	--
46	Spouse	XLF	Financial Select Sector SPDR Fund	Purchase	03/28/2018	$1,001 - $15,000	--
47	Spouse	VAW	Vanguard Materials Index Fund ETF Shares	Purchase	03/06/2018	$1,001 - $15,000	--
48	Spouse	VGT	Vanguard Information Technology Index Fund ETF Sha	Sale (Partial)	03/06/2018	$1,001 - $15,000	--
49	Spouse	SKYY	First Trust Cloud Computing ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
50	Spouse	XLF	Financial Select Sector SPDR Fund	Purchase	03/06/2018	$1,001 - $15,000	--
51	Spouse	XLE	Energy Select Sector SPDR Fund	Purchase	03/06/2018	$1,001 - $15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
52	Spouse	EWL	iShares MSCI Switzerland ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
53	Spouse	EWU	iShares MSCI United Kingdom ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
54	Spouse	EWC	iShares MSCI Canada ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
55	Spouse	EPP	iShares MSCI Pacific ex Japan ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
56	Spouse	EWJ	iShares MSCI Japan ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
57	Spouse	EZU	iShares MSCI Eurozone ETF	Sale (Full)	03/06/2018	$1,001 - $15,000	--
58	Spouse	IEFA	iShares Core MSCI EAFE ETF	Purchase	03/06/2018	$15,001 - $50,000	--
59	Spouse	TPLGX	T. Rowe Price Institutional Large Cap Core Growth	Sale (Full)	04/16/2018	$1,001 - $15,000	--
60	Spouse	VIEIX	Vanguard Extended Market Index Fund Institutional	Sale (Full)	04/16/2018	$1,001 - $15,000	--
61	Spouse	VRIVX	Vanguard Institutional Target Retirement 2025 Fund	Purchase	01/31/2018	$1,001 - $15,000	--
62	Spouse	VRIVX	Vanguard Institutional Target Retirement 2025 Fund	Purchase	02/28/2018	$1,001 - $15,000	--
63	Spouse	VRIVX	Vanguard Institutional Target Retirement 2025 Fund	Purchase	03/30/2018	$1,001 - $15,000	--
64	Spouse	VRIVX	Vanguard Institutional Target Retirement 2025 Fund	Purchase	04/30/2018	$1,001 - $15,000	--
65	Spouse	VRIVX	Vanguard Institutional Target Retirement 2025 Fund	Purchase	05/31/2018	$1,001 - $15,000	--
66	Spouse	VRIVX	Vanguard Institutional Target Retirement 2025 Fund	Purchase	06/29/2018	$1,001 - $15,000	--
67	Spouse	VRIVX	Vanguard Institutional Target Retirement 2025 Fund	Sale (Full)	07/02/2018	$15,001 - $50,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
68	Spouse	--	Vanguard Target Retirement 2025 Trust II	Purchase	08/31/2018	$1,001 - $15,000	--
69	Spouse	--	Vanguard Target Retirement 2025 Trust II	Purchase	09/28/2018	$1,001 - $15,000	--
70	Spouse	--	Vanguard Target Retirement 2025 Trust II	Purchase	07/03/2018	$15,001 - $50,000	--
71	Spouse	--	Vanguard Target Retirement 2025 Trust II	Sale (Full)	10/31/2018	$15,001 - $50,000	--
72	Spouse	--	Vanguard Target Retirement 2030 Trust II	Purchase	11/01/2018	$15,001 - $50,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than $10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Self	Mortgage	0	3.75% (30 years)	$100,001 - $250,000	Wells Fargo Los Angeles, California	-

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
2	2013	Self	Home Equity Line of Credit	-	6.25% (30 years)	$50,001 - $100,000	Wells Fargo Los Angeles, California	Rate change from 4.75% to 6.25%. The HELOC has a $0 balance as of 5/19.
3	2016	Spouse	Mortgage	0	2.625% (30 years)	Over $1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, California	-
4	2016	Spouse	Home Equity Line of Credit	-	5.75% (30 years)	$100,001 - $250,000	Wells Fargo Los Angeles, California	The HELOC has a $0 balance as of 5/19. Rate change from 4.25% to 5.75%
5	2017	Spouse	Mortgage	0	3.50% (30 years)	Over $1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, CA	-
6	2018	Spouse	Other (Commercial Loan)	-	Libor+1.3% (8 years)	$500,001 - $1,000,000	Wells Fargo Los Angeles, CA	Partner Capital Loan - DLA Piper LLP

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Oct 2017 to present	Trustee	The KDH/DCE Family Trust Los Angeles, CA	Trust	None

Part 9. Agreements ✱ Amended

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Oct 2008	Chronicle Books LLC San Francisco, California	Royalty Agreement	Royalty for book, "Smart on Crime: A Prosecutor's Solution for Making the Streets Safer" (no royalties received in 2018).
2	Dec 2011	San Francisco Employees' Retirement System San Francisco, California	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.
3	Dec 2011	City & County of San Francisco 457(b) Deferred Compensation Plan San Francisco, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
4	Jan 2017	State of California Savings Plus 457(b) Plan Sacramento, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
5	Apr 2018	Penguin Press (an imprint of Penguin Publishing Group, a division of Penguin Random House LLC) New York, NY	Royalty Agreement	Agreement to receive book advance and royalty payments based on usual and customary terms for publication of the book titled, "The Truths We Hold."
6	Feb 2019	The Bodley Head (Division of The Random House Group Ltd.) London, United Kingdom	Royalty Agreement	Agreement to receive book advance and royalty payments based on usual and customary terms for publication in the United Kingdom of the book titled, "The Truths We Hold".
7	Nov 2018	Philomel Books (An Imprint of Penguin Young Readers Group, a division of Penguin Random House LLC) New York, NY	Royalty Agreement	Agreement to receive book advance and royalty payments based on usual and customary terms for publication of a picture book titled, "Superheroes Are Everywhere".
8	Jan 2019	Philomel Books (An Imprint of Penguin Young Readers Group, a division of Penguin Random House LLC) New York, NY	Royalty Agreement	Agreement to receive book advance and royalty payments based on usual and customary terms for publication of an abridged young reader's edition of the book titled, "The Truths We Hold".
9	Jan 2017	Legislators' Retirement System Sacramento, CA	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than $5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.
No comments added.