

United States Senate

## Financial Disclosures

### Annual Report for Calendar 2017 (Amendment 2)

Ms. Kamala D Harris (Harris, Kamala)

| Filed 07/08/2019 @ 4:40 PM

The following statements were checked before filing:

I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief. I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

#### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

#### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

# Who Was Paid Type Who Paid Amount Paid

1 Spouse Salary Venable LLP

Los Angeles, California

2 Spouse Salary DLA Piper LLP Los Angeles, CA

3 Self Salary State of California Sacramento, CA

#### Part 3. Assets ⓘ Amended

> \$1,000 > \$1,000 \$14,511.89

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

Asset	Asset Type	Owner	Value	Type	Income
1 Wells Fargo				Type: Money Market Account,	Interest, \$201 - \$1,000
(Los Angeles, California)				Bank Deposit Self \$1,001 - \$15,000	
Type: Checking,					
2 Wells Fargo				Bank Deposit Self \$250,001 - \$500,000	Interest, None (or less than \$201)
(Los Angeles, California)					
Type: Savings,				Bank Deposit Spouse \$1,001 - \$15,000	
3 First Republic Bank (San Francisco, California)				Bank Deposit Spouse \$50,001 - \$100,000	Interest, None (or less than \$201)
Type: Checking,				Interest, None (or less than \$201)	
4 Wells Fargo					
(Los Angeles, California)					
5 State of California Plan				Compensation	
Savings Plus 457(b)	Retirement Plans			Self	
	Deferred				
				Mutual Funds Mutual Fund	None (or less than \$201)
				Self \$50,001 - \$100,000	
5.1 Target Date Fund 2025				Excepted Investment Fund,	
	San Francisco			Deferred	
	457(b) Deferred			Compensation	
6 City & County of				Compensation Plan	
	Retirement Plans			Self	
				Growth Eq Mutual Funds	
				Mutual Fund	Excepted Investment Fund,
				Self \$100,001 - \$250,000	None (or less than \$201) None
6.1 SFDCP Target Date 2025					
Mutual Funds Mutual Fund				6.3 SFDCP Lg Cap Eq - S&P	
				Self \$15,001 - \$50,000	
				500 Mutual Funds Mutual Fund	Excepted Investment Fund,
				Self \$50,001 - \$100,000	
6.2 SFDCP Large Cap				Excepted Investment Fund,	

(or less than \$201) None (or less than \$201)

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**Income**

Mutual Funds	Type	Owner	Value	Income
<b>6.4 SFDCP Mid Cap Core</b>	Mutual Fund	Self \$100,001 - Asset	Asset	Excepted Investment Fund, (or less than \$201)
			\$250,000	Excepted Investment Fund,
<b>6.5 SFDCP International Equity</b>	Mutual Fund	Self \$50,001 - \$100,000		None (or less than \$201) None
		Self \$15,001 - \$50,000		
<b>6.6 SFDCP Real Estate</b>	Investment Fund,			
<b>7 Venable LLP Capital Account</b>	Account (Los Angeles, California)	Partner Capital	\$1,000,000 Interest, \$15,001 - \$50,000	
	(NASDAQ)	(NASDAQ)	Other Securities Spouse \$500,001 -	
		Brokerage/Managed Account	Mutual Fund	than \$1,001)
<b>8 Merrill Lynch - Emhoff Family Trust</b>	8.4 IWD-iShares Russell 1000 Value (NYSEArca)	Mutual Funds	Mutual Fund	Spouse None (or less than \$1,001)
		Mutual Fund		
<b>8.1 ANOIX-American Century Small Cap Growth Inv (NASDAQ)</b>	8.5 UBVSX-Undiscovered Mgrs Behavioral Value Sel (NASDAQ)	Mutual Funds	Mutual Fund	Spouse None (or less than \$1,001)
		Mutual Fund		
<b>8.2 AEPFX-American Funds Europacific Growth F2 (NASDAQ)</b>	8.6 MTLIX-MFS Municipal Limited Maturity I (NASDAQ)	Mutual Funds	Mutual Fund	Spouse None (or less than \$1,001)
		Mutual Fund		
<b>8.3 HGOIX-Hartford Growth Opportunities I</b>	8.7 MEIIX-MFS Value I	Mutual Funds	Exchange Traded Fund/Note	Spouse None (or less than \$1,001) Excepted Investment Fund,
		Mutual Funds		Spouse None (or less than \$1,001) Excepted Investment

Fund,	Fund,	\$5,000	\$5,001 - \$15,000	- \$2,500	\$201 - \$1,000
Excepted Investment Fund,	Excepted Investment Fund,	\$2,501 - \$5,000	\$2,501 -	\$5,001 - \$15,000	\$1,001 \$5,001 - \$15,000
Excepted Investment Fund,	Excepted Investment Fund,				
Excepted Investment					

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Income

8.8 **ML Bank Deposit Program** Type Income

Bank Deposit Spouse \$50,001 - Interest, \$201 - \$1,000  
 Asset Asset Type Owner Value

(Century City, California) Type: Money Market Account,	8.13 <b>ITM - VanEck Vectors AMT Free Interm Muni ETF</b> <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	\$1,001) Spouse None (or less than \$1,001)	Fund, Excepted Investment Fund,
8.9 <b>WEMIX-Wells Fargo Advantage Emerging Gr Instl (NASDAQ)</b>	8.14 <b>IEMG - iShares Core MSCI Emerging Markets ETF</b> <i>Filer comment: 2017 purchase and sale</i>	Mutual Funds Exchange Traded Fund/Note	Spouse None (or less than \$1,001)	\$201 - \$1,000 \$15,001 -
8.10 <b>IWF-iShares Russell 1000 Growth (NYSEArca)</b>		Mutual Funds Exchange Traded Fund/Note <u>\$100,000</u>	Spouse None (or less than \$1,001) Excepted Investment Fund,	\$50,000 \$2,501 - \$5,000
8.11 <b>EFA - iShares MSCI EAFE ETF</b> <i>Filer comment: 2017 purchase and sale</i>		Mutual Funds Mutual Fund	Spouse None (or less than \$1,001)	\$1,001 - \$2,500 \$201 -
8.12 <b>VUG - Vanguard Growth ETF</b> <i>Filer comment: 2017 purchase and sale</i>		Mutual Funds Exchange Traded Fund/Note	Spouse None (or less than \$1,001)	Excepted Investment Fund, \$1,000
		Mutual Funds Exchange Traded Fund/Note	Spouse None (or less than	Excepted Investment Fund, \$1,001 - \$2,500
	Plans IRA Spouse			

9 **Merrill Lynch - IRRA** Retirement

9.1 **Merrill Lynch-Bank of**

**America N/A**  
 (Century City, California)  
 Type: Money Market Account,  
 Fund/Note

Bank Deposit Spouse \$15,001 - \$50,000  
 None, None (or less than \$201)

None (or less than \$201)

9.2 **EWC - iShares**  
**MSCI Canada ETF**

9.3 **EWL - iShares**  
**MSCI Switzerland**  
**Capped ETF**

Mutual Funds  
 Exchange Traded

Mutual Funds  
 Exchange Traded  
 Fund/Note

Spouse \$15,001 - \$50,000  
 Excepted Investment  
 Fund,  
 Spouse \$15,001 - \$50,000  
 Excepted Investment  
 Fund,

None (or less than \$201)

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**Income**

9.4 **EWD - iShares MSCI Sweden** Type Income

Mutual Funds  
 Spouse \$1,001 -

Excepted  
 None (or less than

\$201)

**Capped ETF**

Asset Asset Type Owner Value  
**Corp Bd ETF**  
~~Exchange Traded~~  
 Fund/Note

Fund/Note  
\$15,000

Fund,  
 Excepted Investment  
 Fund,

None (or less than \$201)

9.5 **EPP - iShares**  
**MSCI Pacific ex Japan**  
**ETF**

Mutual Funds  
 Exchange Traded  
 Fund/Note

Spouse \$15,001 - \$50,000

Spouse \$15,001 - \$50,000  
 None (or less than \$201)

9.6 **LQD - iShares**  
**iBoxx \$ Invmt Grade**

Mutual Funds  
 Exchange Traded

Investment Fund,  
 Excepted Investment

9.7 **TIP - iShares TIPS Bond**  
**Year Treasury Bond**  
**ETF**

Mutual Funds  
 Exchange Traded  
 Fund/Note

Exchange Fund/Note  
 Spouse \$15,001 - \$50,000

Excepted Investment Fund,  
 None (or less than \$201)

Exchange Traded  
 Excepted Investment  
 Fund,  
 Fund/Note

9.8 **IEI - iShares 3-7**

Mutual Funds  
 Exchange Traded  
 Fund/Note

Spouse \$15,001 - \$50,000  
 None (or less than \$201)

Mutual Funds Exchange  
 Traded  
 Spouse \$50,001 - \$100,000  
 Excepted Investment Fund,  
 None (or less than \$201)

9.9 **MBB - iShares MBS ETF**

**MSCI Japan ETF**

9.10 **HYG - iShares**  
**iBoxx \$ High Yield**  
**Corp Bd ETF**

9.11 **EZU - iShares**  
**MSCI Eurozone ETF**

9.12 **EWJ - iShares**

9.13 **EWU - iShares**  
**MSCI United Kingdom**  
**ETF**

9.14 **IEMG - iShares**  
**Core MSCI Emerging**  
**Markets ETF**

9.15 **PCY -**  
**PowerShares**  
**Emerging Markets**  
**Sov Dbt ETF**

Mutual Funds Exchange Traded Fund/Note	Mutual Funds Exchange Traded Fund/Note	Spouse \$15,001 - \$50,000	Fund, Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Mutual Funds Exchange Traded Fund/Note	Spouse \$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Spouse \$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Spouse \$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Spouse \$15,001 - \$50,000	Excepted Investment	None (or less than \$201)	

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**Income**

9.16 <b>PGX - PowerShares Preferred</b>		Type	Income		
<u>Asset</u>	Asset Type	Owner	Value		
<b>ETF</b>	<b>ETF</b>	Mutual Funds	Exchange Traded	Fund,	None (or less than \$201)
	<del>Exchange Traded</del>		Fund/Note	Excepted Investment	
9.17 <b>EMLC - VanEck Vectors JP Morgan EM LC Bd ETF</b>	Fund/Note	Spouse \$1,001 - \$15,000	<u>\$15,000</u>	Fund,	None (or less than \$201)
	Mutual Funds			Excepted Investment	
	Exchange Traded	Spouse \$1,001 - \$15,000		Fund,	
	Fund/Note				
9.18 <b>VBR - Vanguard Small-Cap Value ETF</b>	Mutual Funds	Spouse \$15,001 - \$50,000			
	Exchange Traded			None (or less than \$201)	
	Fund/Note				
9.19 <b>VBK - Vanguard Small-Cap Growth</b>	Mutual Funds	Spouse \$15,001 - \$50,000	<u>Investment</u>	Fund,	
			Excepted Investment		
9.20 <b>VTV - Vanguard Value ETF</b>	Mutual Funds	Spouse \$250,001 - \$500,000	Exchange Traded	Excepted Investment Fund,	(or less than \$201)
Mutual Funds	Exchange Traded		Fund/Note	Excepted Investment Fund,	
Traded				None (or less than \$201)	None
Fund/Note					
9.21 <b>VUG - Vanguard</b>		Spouse \$100,001 - \$250,000			

9.22 <b>BIV - Vanguard Interm-Term Bond ETF</b>	(NYSEArca) Mutual Funds Exchange Traded Fund/Note	\$100,000	Fund, Excepted Investment Fund,	
9.23 <b>BSV - Vanguard Short-Term Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse		None (or less than \$201)
10 <b>Whitwell Jacoby Emhoff LLP SEP</b>	Retirement Plans Defined Contribution Pension Plan		Excepted Investment Fund,	None (or less than \$201)
10.1 <b>BIV - Vanguard Intermediate-Term Bond ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Fund/Note		Excepted Investment Fund,	None (or less than \$201)
10.2 <b>IBB - iShares Nasdaq Biotechnology (NASDAQ)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	None (or less than \$201)	
10.3 <b>IEI - iShares 3-7 Year Treasury Bond</b>	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	None (or less than \$201)	
	Spouse \$50,001 -	Spouse \$1,001 - \$15,000	Excepted Investment	

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10.4 <b>IEMG - iShares Core MSCI</b>	Type Income	Mutual Funds	Excepted	\$201)
		Spouse \$1,001 -	None (or less than	
<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	
<b>Emerging Markets (NYSEArca)</b>	Exchange Traded Fund/Note		\$15,000 Investment Fund,	
10.5 <b>Merrill Lynch-Bank of America N/A</b>		(Century City, California)	Bank Deposit	Spouse \$1,001 - \$15,000
		Type: Money Market Account,	None, None	(or less than \$201)
		<b>Materials ETF</b>	<b>Consumer Staples</b>	<b>(NYSEArca)</b>
10.6 <b>LQD - iShares iBoxx \$ Invst Grade Crp Bond (NYSEArca)</b>	10.7 <b>MBB - iShares MBS (NYSEArca)</b>	10.8 <b>VAW - Vanguard</b>	10.9 <b>VDC - Vanguard</b>	10.10 <b>VGT - Vanguard Information Technology ETF</b>
				10.11 <b>VIS - Vanguard Industrials ETF (NYSEArca)</b>

10.12 <b>XLF - Financial Select Sector SPDR ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.13 <b>XLV - Health Care Select Sector SPDR ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
10.14 <b>XLY - Consumer Discret Sel Sect SPDR ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
Mutual Funds Exchange Traded Fund/Note	Mutual Funds Exchange Traded Fund/Note	Spouse \$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

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10.15 <b>EWU - iShares MSCI United Kingdom (NYSEArca)</b>	Type Income	Mutual Funds Spouse \$1,001 -	Excepted None (or less than	\$201)
10.16 <b>EWJ - iShares, Inc. - iShares MSCI Japan ETF</b>	Exchange-Traded Fund Trust II - Power	10.18 <b>TIP - iShares Trust - iShares TIPS Bond ETF</b>	10.21 <b>XLRE - Real Estate Select Sector SPDR</b>	10.23 <b>EWC - iShares MSCI Canada ETF</b>
10.17 <b>PCY - PowerShares</b>	10.19 <b>XLE - Energy</b>	10.20 <b>EPP - iShares</b>	10.22 <b>BSV - Vanguard</b>	10.24 <b>EWL - iShares MSCI Switzerland Capped</b>

*Filer comment: Full sale in CY 2017; two sale transactions less than \$1,000*





Mutual Funds Exchange Traded Fund/Note	Spouse	Excepted Investment Fund,	None (or less than \$201)
Retirement Plans Defined Benefit Pension Plan		Excepted Investment Fund,	
Retirement Plans Defined Contribution Pension Plan			None (or less than \$201)
Mutual Funds Mutual Fund <u>\$15,000</u>		Excepted Investment Fund,	
Spouse \$1,001 - \$15,000			None (or less than \$201)
Self \$250,001 - \$500,000	Spouse \$1,001 - \$15,000 <u>Investment</u>	Fund, Retirement Plans IRA Spouse	
<b>13 Charles Schwab IRA Rollover</b>			
13.1 <b>TPLGX - T. Rowe Price Instl Large Cap Core Gr</b>	13.2 <b>VIEIX-Vanguard Extended Market Index Instl</b>	Mutual Funds Mutual Fund Spouse \$1,001 - \$15,000	Spouse \$1,001 - \$15,000 Excepted Investment Fund, None (or less than \$201)
	Mutual Funds Mutual Fund		Excepted Investment Fund,
14 <b>Legislators' Retirement</b>	<b>System Plan</b> Retirement Plans Defined	Benefit Pension Plan Self \$50,001 - \$100,000	None, None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

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# Owner Ticker Asset Name

# Owner Ticker Asset Name n Date Amount Comment on Type

#	Owner	Ticker	Asset Name	n	Date	Amount	Comment	on	Type
1	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	--		\$15,000			
2	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF	--		\$15,000			
3	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF	Purchase	12/22/2017	\$1,001	-		
4	Spouse	<u>EZU</u>	iShares MSCI Eurozone ETF	Purchase	12/22/2017	\$1,001	-		
5	Spouse	<u>MBB</u>	iShares MBS ETF	Purchase	12/22/2017	\$1,001	-		
6	Spouse	<u>VUG</u>	Vanguard Growth ETF	Purchase	12/22/2017	\$15,001			
7	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF	Purchase	12/22/2017	\$1,001	-		
8	Spouse	<u>VTV</u>	Vanguard Value ETF	Purchase	12/22/2017	\$15,001			
9	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF	Purchase	12/22/2017	\$1,001	-		
10	Spouse	<u>BSV</u>	Vanguard Short-Term Bond ETF	Purchase	12/22/2017	\$1,001	-		
11	Spouse	<u>PCY</u>	PowerShares Emerging Markets Sov Dbt ETF	Purchase	12/22/2017	\$1,001	-		

12 Spouse TIP iShares TIPS Bond ETF Purchase 12/22/2017 \$1,001 -

\$15,000

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13 Spouse BIV Vanguard Interm-Term Bond ETF Purchase 12/22/2017 \$1,001 -

14 Spouse IEI iShares 3-7 Year Treasury Bond ETF \$15,000

15 Spouse HYG iShares iBoxx \$ High Yield Corp Bd ETF Purchase 12/22/2017 \$1,001 -

Purchase 12/22/2017 \$1,001 - \$15,000

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\$15,000

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Purchase 12/22/2017 \$1,001 - --

16 Spouse LQD iShares iBoxx \$ Invmt Grade

Corp Bd ETF Transactio  
Transacti \$15,000

# Owner Ticker Asset Name on Type n Date Amount Comment

17 Spouse EWL iShares MSCI Switzerland Capped \$15,000  
ETF Purchase 12/22/2017 \$1,001 -

18 Spouse EPP iShares MSCI Pacific ex Japan ETF --  
Purchase 12/22/2017 \$1,001 - \$15,000

19 Spouse EWC iShares MSCI Canada ETF Purchase 12/22/2017 \$1,001 -

\$15,000

--

20 Spouse VUG Vanguard Growth ETF Purchase 12/13/2017 \$50,001

-  
\$100,000

--

21 Spouse VTV Vanguard Value ETF Purchase 12/13/2017 \$100,001

-  
\$250,000

--

22 Spouse HYG iShares iBoxx \$ High Yield Corp Bd --  
ETF \$15,000

23 Spouse IEMG iShares Core MSCI Emerging Purchase 12/13/2017 \$50,001

Markets ETF --  
Purchase 12/13/2017 \$1,001 - \$100,000

24 Spouse <u>PGX</u> PowerShares Preferred ETF Purchase 12/13/2017 \$1,001 -		--
	\$15,000	
25 Spouse <u>EMLC</u> VanEck Vectors JP Morgan EM LC Purchase 12/13/2017 \$15,001		
Bd ETF	--	
26 Spouse <u>EWU</u> iShares MSCI United Kingdom ETF	\$50,000	
	Purchase 12/13/2017 \$1,001 -	
27 Spouse <u>IEI</u> iShares 3-7 Year Treasury Bond ETF	--	
	\$15,000	
28 Spouse <u>PCY</u> PowerShares Emerging Markets Sov	Purchase 12/13/2017 \$1,001 -	
Dbt ETF	--	
Purchase 12/13/2017 \$1,001 -	\$15,000	
--		
\$15,000		
29 Spouse <u>MBB</u> iShares MBS ETF Purchase 12/13/2017 \$15,001		--
	-	
	\$50,000	
30 Spouse <u>EZU</u> iShares MSCI Eurozone ETF Purchase 12/13/2017 \$15,001		--
	-	
	\$50,000	

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	Purchase 12/13/2017 \$15,001 --	
31 Spouse <u>BIV</u> Vanguard Interm-Term Bond		
ETF	Transacti	Transactio
# Owner Ticker Asset Name	on Type	n Date Amount Comment
		\$50,000
32 Spouse <u>BSV</u> Vanguard Short-Term Bond ETF Purchase 12/13/2017 \$15,001		--
	-	
	\$50,000	
33 Spouse <u>LQD</u> iShares iBoxx \$ Invmt Grade Corp	--	
Bd ETF	-	
Purchase 12/13/2017 \$15,001	\$50,000	
34 Spouse <u>EWJ</u> iShares MSCI Japan ETF Purchase 12/13/2017 \$15,001		--
	-	
	\$50,000	
35 Spouse <u>EWD</u> iShares MSCI Sweden Capped ETF	Purchase 12/13/2017 \$1,001 -	

--  
\$15,000  
36 Spouse EWC iShares MSCI Canada ETF Purchase 12/13/2017 \$1,001 - --  
\$15,000  
37 Spouse EPP iShares MSCI Pacific ex Japan ETF \$50,000  
Purchase 12/13/2017 \$1,001 - --  
38 Spouse EWL iShares MSCI Switzerland Capped ETF \$15,000  
Purchase 12/13/2017 \$1,001 - --  
39 Spouse VBK Vanguard Small-Cap Growth ETF --  
Purchase 12/13/2017 \$15,001 \$15,000  
--  
-  
40 Spouse VBR Vanguard Small-Cap Value ETF Purchase 12/13/2017 \$15,000  
\$15,001 - --  
\$50,000  
41 Spouse TIP iShares TIPS Bond ETF Purchase 12/13/2017 \$1,001 - --  
\$15,000  
42 Spouse CRISX CRM Small Cap Value Instl Sale (Full) 12/13/2017 \$1,001 - \$15,000 Transfer from Venable LLP Retirement Plan and then sold  
Transfer from Venable LLP Retirement Plan and then sold  
43 Spouse DODGX Dodge & Cox Stock Sale (Full) 12/13/2017 \$1,001 -  
Sale (Full) 12/13/2017 \$1,001 - \$15,000 then sold  
44 Spouse WEMIX Wells Fargo Emerging Growth Inst Transfer from Venable LLP Retirement Plan and  
Transfer from Venable LLP Retirement Plan and then sold  
45 Spouse VMGRX Vanguard Mid Cap Growth Inv Sale (Full) 12/13/2017 \$1,001 - \$15,000

<https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbddda/> 12/24

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46 Spouse HEDJ WisdomTree Europe Hedged Equity ETF Transaction Date Amount Comment  
Sale (Full) 12/05/2017 \$1,001 - --  
\$15,000  
# Owner Ticker Asset Name on Type n Date Amount Comment  
47 Spouse EZU iShares MSCI Eurozone ETF Purchase 12/05/2017 \$1,001 - --  
\$15,000  
48 Spouse VTV Vanguard Value ETF Sale (Partial) --  
10/24/2017 \$1,001 - \$15,000  
WisdomTree Europe (Partial) \$15,000  
49 Spouse HEDJ Hedged Equity ETF Sale 10/24/2017 \$1,001 - --

50 Spouse	<u>EZU</u> iShares MSCI Eurozone ETF	Purchase 10/24/2017 \$1,001 -			--
				\$15,000	
51 Spouse	<u>IEMG</u> iShares Markets ETF	(Partial)	\$15,000		
Core MSCI Emerging	Sale	05/17/2017 \$1,001 -	--		
		Purchase 05/17/2017 \$1,001 -			
52 Spouse	<u>HEDJ</u> WisdomTree Europe Hedged				--
Equity ETF			\$15,000		
53 Spouse	<u>VBK</u> Vanguard Small-Cap Growth ETF	(Partial)	\$15,000		
	Sale	05/17/2017 \$1,001 -	--		
54 Spouse	<u>TIP</u> iShares TIPS Bond ETF	Purchase 02/28/2017 \$1,001 -			--
				\$15,000	
55 Spouse	<u>BIV</u> Vanguard Interm-Term Bond ETF	Purchase 02/28/2017 \$1,001 -			--
56 Spouse	<u>LQD</u> iShares iBoxx \$ Invmt Grade Corp				--
Bd ETF			\$15,000		
		Purchase 02/28/2017 \$1,001 -			
57 Spouse	<u>IEI</u> iShares 3-7 Year Treasury Bond ETF				--
			\$15,000		
58 Spouse	<u>HYG</u> iShares iBoxx \$ High Yield Corp Bd				--
ETF			\$15,000		
		Purchase 02/28/2017 \$1,001 -			
59 Spouse	<u>PCY</u> PowerShares Emerging Markets Sov				--
Dbt ETF			\$15,000		
	Purchase 02/28/2017 \$1,001 -	Purchase 02/28/2017 \$1,001 -			
	--	--			
	\$15,000	\$15,000			
60 Spouse	<u>BSV</u> Vanguard Short-Term Bond ETF	Purchase 02/28/2017 \$1,001 -			--
				\$15,000	
61 Spouse	<u>MBB</u> iShares MBS ETF	Purchase 02/28/2017 \$1,001 -			--
				\$15,000	
62 Spouse	<u>VTV</u> Vanguard Value ETF	Purchase 02/28/2017 \$50,001			--
				-	
				\$100,000	

63 Spouse VUG Vanguard Growth ETF Purchase 02/28/2017 \$15,001 --

#	Owner	Ticker	Asset Name	on Type	Transaction	Date	Amount	Comment	\$50,000
64	Spouse	<u>EPP</u>	iShares MSCI Pacific ex Japan ETF		Purchase	02/28/2017	\$15,001	-	
65	Spouse	<u>EWU</u>	iShares MSCI United Kingdom ETF		Purchase	02/28/2017	\$15,001	-	
66	Spouse	<u>EWC</u>	iShares MSCI Canada ETF		Purchase	02/28/2017	\$15,001	-	
67	Spouse	<u>EWL</u>	iShares MSCI Switzerland Capped ETF		Purchase	02/28/2017	\$15,001	-	
68	Spouse	<u>EWJ</u>	iShares MSCI Japan ETF		Purchase	02/28/2017	\$15,001	-	
69	Spouse	<u>EMLC</u>	VanEck Vectors JP Morgan EM LC Bd ETF		Purchase	02/28/2017	\$15,001	-	
70	Spouse	<u>HEDJ</u>	WisdomTree Europe Hedged Equity ETF		Purchase	02/28/2017	\$15,001	-	
71	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF				\$50,000		
72	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF		Purchase	02/28/2017	\$15,001	-	
73	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF		Purchase	02/28/2017	\$15,001	-	
74	Spouse	<u>PGX</u>	PowerShares Preferred ETF		Purchase	02/28/2017	\$15,001	-	
75	Spouse	<u>DOMIX</u>	Domini Impact International Equity Inv		Sale (Full)	02/28/2017	\$15,001	-	
76	Spouse	<u>PXSIX</u>	Pax Small Cap Institutional		Sale (Full)	02/28/2017	\$15,001	-	



77 Spouse <u>PRILX</u> Parnassus Core Equity Institutional	\$15,000	--
Sale (Full) 02/28/2017 \$1,001 -		
78 Spouse <u>PARWX</u> Parnassus Endeavor Investor Sale (Full) 02/28/2017 \$1,001 -	\$15,000	--

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79 Spouse <u>PARMX</u> Parnassus Mid-Cap Sale (Full) 02/28/2017 \$1,001 - --		
	Transacti	
	Transactio	\$15,000
<u># Owner Ticker Asset Name</u>	<u>on Type</u>	<u>n Date Amount Comment</u>
80 Spouse <del><u>CSDYX</u></del> Calvert Short Duration Income Y Sale (Full) 02/28/2017 \$15,001		--
	-	
	\$50,000	
81 Spouse <u>CIEYX</u> Calvert Equity Y Sale (Full) 02/28/2017 \$15,001		--
	-	
	\$50,000	
82 Spouse <u>CBAYX</u> Calvert Balanced Y Sale (Full) 02/28/2017 \$50,001		--
	-	
	\$100,000	
83 Spouse <u>AVEDX</u> Ave Maria Rising Dividend Sale (Full) 02/28/2017 \$15,001		--
	-	
	\$50,000	
84 Spouse <u>PARNX</u> Parnassus Sale (Full) 02/28/2017 \$1,001 -		--
	\$15,000	
85 Spouse <u>PARWX</u> Parnassus Endeavor Investor Sale (Partial) --		
02/22/2017 \$1,001 - \$15,000		
86 Spouse <u>CSDYX</u> Calvert Short Duration Income Y Purchase 01/31/2017 \$1,001 -		--
	\$15,000	
87 Spouse <u>CIEYX</u> Calvert Equity Y Purchase 01/31/2017 \$1,001 -		

				\$15,000	--
88 Spouse	<u>CBAYX</u> Calvert Balanced Y	Purchase	01/31/2017 \$1,001 -		
				\$15,000	--
89 Spouse	<u>HEDJ</u> WisdomTree Europe Hedged Equity			\$15,000	--
	ETF				
		Sale (Full)	12/05/2017 \$1,001 -		
90 Spouse	<u>EZU</u> iShares MSCI Eurozone ETF	Purchase	12/05/2017 \$1,001 -		
				\$15,000	--
91 Spouse	<u>HEDJ</u> Hedged Equity ETF	(Partial)		\$15,000	
	WisdomTree Europe	Sale	10/24/2017 \$1,001 -	--	
92 Spouse	<u>EZU</u> iShares MSCI Eurozone ETF	Purchase	10/24/2017 \$1,001 -		
				\$15,000	--
93 Spouse	<u>XLV</u> Health	ETF	(Partial)	\$15,000	
	Care Select Sector SPDR	Sale	09/25/2017 \$1,001 -	--	

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	ETF	Sale	Transacti	
94 Spouse	<u>XLV</u> Consumer	# Owner Ticker Asset	Transacti (Partial)	\$15,000
	Discret Sel Sect SPDR	<u>Name</u>	09/25/2017 \$1,001 -	--
			<u>on Type</u>	<u>n Date Amount Comment</u>
95 Spouse	<u>EMG</u> iShares Core MSCI Emerging		\$15,000	
	Markets ETF	Purchase	01/23/2017 \$1,001 -	
96 Spouse	<u>EWU</u> iShares MSCI United Kingdom ETF		--	
	Purchase	01/24/2017 \$1,001 -	\$15,000	
97 Spouse	<u>VIS</u> Vanguard	Industrials ETF Sale (Partial)	--	
		01/23/2017 \$1,001 - \$15,000		
98 Spouse	<u>VGT</u> Vanguard	Discret Sel Sect SPDR	(Partial)	\$15,000
	Information Technology	ETF	01/23/2017 \$1,001 -	-- --
	ETF	Sale	\$15,000	
		(Partial)		
99 Spouse	<u>XLV</u> Consumer	Sale	01/23/2017 \$1,001 -	

100 Spouse <u>BSV</u> Vanguard Short-Term Bond ETF Purchase 01/23/2017 \$1,001 -			--
		\$15,000	
101 Spouse <u>LQD</u> iShares iBoxx \$ Invmt Grade Corp Bd ETF	--	\$15,000	
Sale (Full) 04/28/2017 \$1,001 -			
102 Spouse <u>TIP</u> iShares TIPS Bond ETF Sale (Full) 04/28/2017 \$1,001 -			--
		\$15,000	
103 Spouse <u>VBR</u> Vanguard Small-Cap Value ETF Sale (Full) 04/28/2017 \$1,001 -			--
		\$15,000	
104 Spouse <u>EFA</u> iShares MSCI EAFE ETF Sale (Full) 04/28/2017 \$50,001			--
		-	
		\$100,000	
105 Spouse <u>SHM</u> SPDR Nuveen Blmbg Barclays ST MunBd ETF	Sale (Full) 04/28/2017 \$1,001 -	--	
		\$15,000	
106 Spouse <u>IEI</u> iShares 3-7 Year Treasury Bond ETF	Sale (Full) 04/28/2017 \$1,001 -		
107 Spouse <u>BIV</u> Vanguard Interm-Term Bond ETF	--		
Sale (Full) 04/28/2017 \$1,001 -		\$15,000	
--			
\$15,000			
108 Spouse <u>MBB</u> iShares MBS ETF Sale (Full) 04/28/2017 \$1,001 -			--
		\$15,000	
109 Spouse <u>HYD</u> VanEck Vectors High-Yield Municipal ETF	--	\$15,000	
Sale (Full) 04/28/2017 \$1,001 -			

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			Sale (Full) 04/28/2017 \$15,001 --
110 Spouse <u>IEMG</u> iShares Core MSCI Emerging Markets ETF	Transacti	Transactio	-
# Owner Ticker Asset Name	on Type	n Date	Amount Comment <u>\$50,000</u>
111 Spouse <u>EMLC</u> VanEck Vectors JP Morgan EM LC Bd ETF	--	Sale (Full) 04/28/2017 \$1,001 -	

\$15,000

112 Spouse BSV Vanguard Short-Term Bond ETF Sale (Full) 04/28/2017 \$1,001 -

\$15,000

--

113 Spouse ITM VanEck Vectors AMT-Free Interm Muni ETF  
Sale (Full) 04/28/2017 \$15,001

\$50,000

--

114 Spouse PZA PowerShares National AMT Free MuniBd ETF  
Sale (Full) 04/28/2017 \$1,001 -

\$50,000

--

115 Spouse PCY PowerShares Emerging Markets Sov Dbt ETF  
Sale (Full) 04/28/2017 \$1,001 -

\$15,000

--

116 Spouse VBK Vanguard Small-Cap Growth ETF Sale (Full) 04/28/2017 \$15,001

\$15,000

--

-

117 Spouse VUG Vanguard Growth ETF Sale (Full) 04/28/2017 \$50,001

-

\$100,000

--

118 Spouse VTI Vanguard Value ETF Sale (Full) 04/28/2017 \$100,001

-

\$250,000

--

119 Spouse BSV Vanguard Short-Term Bond ETF Purchase 03/03/2017 \$1,001 -

\$15,000

--

120 Spouse MBB iShares MBS ETF Purchase 03/03/2017 \$1,001 -

\$15,000

--

121 Spouse TIP iShares TIPS Bond ETF Purchase 03/03/2017 \$1,001 -

\$15,000

--

122 Spouse EFA iShares MSCI EAFE ETF Purchase 03/03/2017 \$50,001

-

\$100,000

--

123 Spouse PCY PowerShares Emerging Markets Sov Dbt ETF  
Purchase 03/03/2017 \$1,001 -

\$15,000

--

124 Spouse SHM SPDR Nuveen Blmbg Barclays ST MunBd ETF  
Purchase 03/03/2017 \$1,001 -

--

\$15,000

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#	Owner	Ticker	Asset Name	on Type	n Date	Amount	Comment	\$50,000
125	Spouse	<u>PZA</u>	PowerShares National AMT Free MuniBd ETF	Transacti Transactio	-	Purchase 03/03/2017 \$15,001	--	
126	Spouse	<u>HYD</u>	VanEck Vectors High-Yield Municipal ETF			\$15,000 Purchase 03/03/2017 \$1,001	-	
127	Spouse	<u>VBK</u>	Vanguard Small-Cap Growth ETF			-- Purchase 03/03/2017 \$1,001	-	
128	Spouse	<u>VBR</u>	Vanguard Small-Cap Value ETF			Purchase 03/03/2017 \$1,001	-	\$15,000
129	Spouse	<u>VTV</u>	Vanguard Value ETF			Purchase 03/03/2017 \$100,001	--	\$250,000
130	Spouse	<u>VUG</u>	Vanguard Growth ETF			Purchase 03/03/2017 \$50,001	--	\$100,000
131	Spouse	<u>LQD</u>	iShares iBoxx \$ Invmt Grade Corp Bd ETF			\$15,000 Purchase 03/03/2017 \$1,001	-	
132	Spouse	<u>BIV</u>	Vanguard Interm-Term Bond ETF			-- Purchase 03/03/2017 \$1,001	-	
133	Spouse	<u>IWD</u>	iShares Russell 1000 Value ETF			Sale (Full) 03/03/2017 \$15,001	--	\$50,000
134	Spouse	<u>ITM</u>	VanEck Vectors AMT-Free Interm Muni ETF			-- Purchase 03/03/2017 \$15,001	-	\$50,000
135	Spouse	<u>IWF</u>	iShares Russell 1000 Growth ETF			Sale (Full) 03/03/2017 \$15,001	--	\$50,000

136 Spouse <u>IEI</u> iShares 3-7 Year Treasury Bond ETF	\$15,000
137 Spouse <u>IEMG</u> iShares Core MSCI Emerging Markets ETF	Purchase 03/03/2017 \$15,001 -- -
	\$50,000
138 Spouse <u>EMLC</u> VanEck Vectors JP Morgan EM LC Bd ETF	Purchase 03/03/2017 \$1,001 - --
Purchase 03/03/2017 \$1,001 -	\$15,000
--	

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	Sale (Full) 03/03/2017 \$1,001 - --
139 Spouse <u>WEMIX</u> Wells Fargo Emerging Growth	
Inst	Transactio
Transacti	\$15,000
<u># Owner Ticker Asset Name</u>	<u>on Type</u>
<u>n Date Amount Comment</u>	
140 Spouse <u>TWWIX</u> Thomas White International I	Sale (Full) 03/03/2017 \$15,001
	--
	-
	\$50,000
141 Spouse <u>HHDTX</u> Hancock Horizon Diversified Intl	\$50,000
Instl	Sale (Full) 03/03/2017 \$50,001
	--
	-
142 Spouse <u>DUSIX</u> Delaware Tax-Free USA	\$100,000
Intermediate Instl	Sale (Full) 03/03/2017 \$15,001
	--
	-
143 Spouse <u>AEPFX</u> American Funds Europacific	\$50,000
Growth F2	Sale (Full) 03/03/2017 \$1,001 -
	--
	\$15,000
144 Spouse <u>UBVSX</u> Undiscovered Managers	\$15,000
Behavioral Val I	Sale (Full) 03/03/2017 \$1,001 -
	--
	\$15,000
145 Spouse <u>ASVIX</u> American Century Small Cap	\$15,000
Value Inv	Sale (Full) 03/03/2017 \$1,001 -
	--
	\$15,000
146 Spouse <u>ANOIX</u> American Century Small Cap	\$15,000
Growth Inv	Sale (Full) 03/03/2017 \$1,001 -
	--
	\$15,000
147 Spouse <u>MTLIX</u> MFS Municipal Limited Maturity I	\$15,000
Sale (Full) 03/03/2017 \$15,001	Sale (Full) 03/03/2017 \$50,001
--	--
-	

-	\$100,000	
148 Spouse <u>MEIIX</u> MFS Value I Sale (Full) 03/03/2017 \$15,001		--
	-	
	\$50,000	
149 Spouse <u>EILVX</u> Eaton Vance Large-Cap Value I Sale (Full) 03/03/2017 \$15,001		--
	-	
	\$50,000	
150 Spouse <u>HGOIX</u> Hartford Growth Opportunities I Sale (Full) 03/03/2017 \$15,001		--
	-	
	\$50,000	
151 Spouse <u>DGAGX</u> Dreyfus Appreciation Investor Sale (Full) 03/03/2017 \$15,001		--
	-	
	\$50,000	

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152 Spouse <u>HGOIX</u> Hartford Growth Opportunities I Purchase 01/31/2017 \$1,001 - --		
	Transacti	
	Transactio	\$15,000
<u># Owner Ticker Asset Name</u>	<u>on Type</u>	<u>n Date Amount Comment</u>
<del>153 Spouse <u>DGAGX</u> Dreyfus Appreciation Investor Purchase 01/31/2017 \$1,001 -</del>		--
		\$15,000
154 Spouse <u>DUSIX</u> Delaware Tax-Free USA Intermediate Instl	Purchase 01/31/2017 \$1,001 -	--
		\$15,000
155 Spouse <u>MTLIX</u> MFS Municipal Limited Maturity I	Purchase 11/01/2017 \$1,001 -	--
156 Spouse <u>VRIVX</u> Vanguard Instl Trgt Retire 2025 Instl		--
		\$15,000
157 Spouse <u>WEMIX</u> Wells Fargo Emerging Growth Inst	Purchase 06/28/2017 \$1,001 -	--
Purchase 01/31/2017 \$1,001 -		\$15,000
--		
\$15,000		

158 Spouse <u>VMGRX</u> Vanguard Mid Cap Growth Inv Purchase 06/28/2017 \$1,001 -				--
			\$15,000	
159 Spouse <u>VINIX</u> Vanguard Institutional Index I Purchase 06/28/2017 \$1,001 -				--
			\$15,000	
160 Spouse <u>VEXAX</u> Vanguard Extended Market Index Admiral	--	\$15,000		
161 Spouse <u>TPLGX</u> T. Rowe Price Instl Large Cap Core Gr	Purchase 06/28/2017 \$1,001 -	--		
Purchase 06/28/2017 \$1,001 -		\$15,000		
162 Spouse <u>DODGX</u> Dodge & Cox Stock Purchase 06/28/2017 \$1,001 -				--
			\$15,000	
163 Spouse <u>CRISX</u> CRM Small Cap Value Instl Purchase 06/28/2017 \$1,001 -				--
			\$15,000	
164 Spouse <u>VEXAX</u> Vanguard Extended Market Index Admiral	--	\$15,000		
165 Spouse <u>VIEIX</u> Vanguard Extended Market Index Instl	Purchase 08/02/2017 \$1,001 -	--		
Sale (Full) 08/02/2017 \$1,001 -		\$15,000		
166 Spouse <u>VINIX</u> Vanguard Institutional Index I Sale (Full) 11/16/2017 \$15,001				--
			-	
			\$50,000	
167 Spouse <u>VBMFY</u> Vanguard Total Bond Market Index Inv	--	-		
Sale (Full) 11/16/2017 \$50,001		\$100,000		

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168 Spouse NRFYX Natixis AEW Real Estate Y Sale (Full) 11/16/2017 \$50,001 --

# Owner Ticker Asset Name	on Type	Transaction	Transaction	n Date	Amount	Comment
						\$100,000

169 Spouse -- SSgA Target Retirement 2025 Fund NL



170 Spouse -- SSgA Target Retirement 2030 Fund NL	--		
	-		
	\$250,000		
171 Spouse -- SSgA Target Retirement 2035 Fund NL	--		
	-		
	\$250,000		
172 Spouse -- SSgA Target Retirement 2040 Fund NL	--		
	-		
	\$250,000		
173 Spouse <u>WEMIX</u> Wells Fargo Emerging Growth Inst	--		
	-		
Sale (Full) 11/16/2017 \$100,001	\$250,000		
--	Purchase 06/28/2017 \$1,001 -		
-	--		
\$250,000	\$15,000		
Sale (Full) 11/16/2017 \$100,001			
174 Spouse <u>VMGRX</u> Vanguard Mid Cap Growth Inv	--		
Purchase 06/28/2017 \$1,001 -			
		\$15,000	--
175 Spouse <u>VINIX</u> Vanguard Institutional Index I	--		
Purchase 06/28/2017 \$1,001 -			
		\$15,000	--
176 Spouse <u>VEXAX</u> Vanguard Extended Market Index Admiral	--		
	\$15,000		
177 Spouse <u>TPLGX</u> T. Rowe Price Instl Large Cap Core Gr	--		
	-		
Purchase 06/28/2017 \$1,001 -	\$15,000		
178 Spouse <u>DODGX</u> Dodge & Cox Stock	--		
Purchase 06/28/2017 \$1,001 -			
		\$15,000	--
179 Spouse <u>CRISX</u> CRM Small Cap Value Instl	--		
Purchase 06/28/2017 \$1,001 -			
		\$15,000	--
180 Spouse <u>VEXAX</u> Vanguard Extended Market Index Admiral	--		
	\$15,000		
181 Spouse <u>VIEIX</u> Vanguard Extended Market Index Instl	--		
	-		
Sale (Full) 08/02/2017 \$1,001 -	\$15,000		
182 Spouse <u>VINIX</u> Vanguard Institutional Index I	--		
Sale (Full) 11/22/2017 \$1,001 -			
		\$15,000	--

## Part 5. Gifts

<https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbbdda/> 21/24

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Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

## Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time?

### Yes Rate

#	Incurred Debtor Type Points	year)	(Term) Amount	Creditor	Comments
1	2014 Spouse Line of Credit - 3.5% (1	\$100,001 - \$250,000 Bank	City National	Los Angeles, California	The line of credit has a \$0 balance as of 5/15/18.
		\$100,001 - \$250,000	Wells Fargo	Los Angeles, California	
		-			
2	2013 Self Mortgage 0 3.75% (30 years)				
3	2013 Self Home Equity Line of Credit - 4.75% (20	years) \$15,001 - \$50,000 Fargo	Wells Fargo	Los Angeles, California	
4	2016 Spouse Mortgage 0 2.625% (30 years)	Over \$1,000,000 (asset held independently by spouse or dependent	child) Wells Fargo	Los Angeles, California	

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- 4.25% Rate

\$250,001 - \$500,000 Wells

5/15/18.

5 2016 Spouse Home Equity Line

The HELOC has a \$0 balance as of

# Incurred Debtor Type Points (30

Fargo

(Term) Amount Creditor Comments

of Credit  
years)

Los

Angeles, California

6 2017 Spouse Mortgage 0

3.50% (30

years)

Over \$1,000,000 (asset held Angeles, CA  
independently by spouse or -  
dependent child)

Wells

Fargo

Los

### Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

Position

# Position Dates

Held Entity Entity Type Comments

1 Oct 2017 to present Trustee The KDH/DCE Family Trust

Los Angeles, CA

Did you have any reportable agreement or

arrangement with an outside entity? **Yes**

Trust None

### Part 9. Agreements ⓘ Amended

# Date Parties Involved Type Status and Terms

1 Oct 2008	Chronicle Books LLC	San Francisco, California	Royalty Agreement	Royalty for book, "Smart on Safer" (no royalties received in 2017).
2 Dec 2011		California	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.
3 Dec 2011	San Francisco Employees' Retirement System San Francisco,	City & County of San Francisco	457(b) Deferred Compensation Plan	Continuing participation in an employee benefit plan
		San Francisco, California		457(b) deferred comp plan that provides retirement benefits.

<https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbdda/23/24>

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# Date Parties Involved Type Status and Terms

4 Jan	State of California Savings Plus	457(b) Plan Sacramento, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
5 Apr 2018	Penguin Press New York, NY		Royalty Agreement	Agreement to receive book advance and royalty payments based on usual and customary terms for publication of a non-fiction book.
6 Jan 2017		Legislators' Retirement System Sacramento, CA	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.

**Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

**Attachments & Comments**

*No attachments added.*

*No comments added.*

<https://efdsearch.senate.gov/search/view/annual/f73d566b-120b-47ac-ae59-71ee4ccbdda/> 24/24