


United States Senate

Financial Disclosures

Candidate Report (Amendment 1)

Ms. Kamala D Harris

State of Candidacy: CA | Candidacy Commenced:

 Filed 07/08/2019 @ 4:40 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
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- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of California (amount reported is total amount paid in 2014 and 2015 to date) Sacramento, California	\$226,768.81
2	Spouse	Salary	Venable LLP Los Angeles, California	> \$1,000

Part 3. Assets * Amended

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	San Francisco Employees Retirement System (SFERS) Plan	Retirement Plans Deferred Compensation	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
2	Citizens Lanier Investors, LLC <i>Company:</i> Citizens Lanier Investors, LLC (Wilmington, DE) <i>Description:</i> Parking lot management <i>Filer comment:</i> Asset sold in 2015.	Business Entity Limited Liability Company (LLC)	Spouse	None (or less than \$1,001)	Other, (Distribution)	Other \$7,500.00
3	Wells Fargo (Los Angeles, California) <i>Type:</i> Checking,	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4	Wells Fargo (Los Angeles, California) <i>Type:</i> Savings,	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
5	First Republic Bank (San Francisco, California) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
6	Wells Fargo (Los Angeles, California) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7	City National Bank (Los Angeles, California) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
8	Savings Plus 457 Plan	Retirement Plans Deferred Compensation	Self			

8.1	Target Date Fund 2025 Asset	Mutual Funds Mutual Fund	Self Owner	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500 Income
9	City & County of San Francisco 457(b) Deferred Compensation Plan	Retirement Plans Deferred Compensation	Self			
9.1	SFDCP Target Date 2025	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
9.2	SFDCP Large Cap Growth Eq	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
9.3	SFDCP Lg Cap Eq - S&P 500	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
9.4	SFDCP Mid Cap Core	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
9.5	SFDCP Mid Cap Value	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
9.6	SFDCP International Equity	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
9.7	SFDCP Real Estate	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
10	Venable LLP Capital Account <i>Description: Partner Capital Account (Los Angeles, California)</i>	Other Securities	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
11	Venable LLP Retirement Plan	Retirement Plans Defined Contribution Pension Plan	Spouse			

11.1	DODGX - Dodge & Cox Stock (NASDAQ)	Mutual Funds Exchange Traded Fund/Note	Spouse Owner	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000 Income
11.2	TPLGX - T. Rowe Price Instl Large Cap Core Gr (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
11.3	VINIX - Vanguard Institutional Index I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
11.4	CRISX - CRM Small Cap Value Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
11.5	VEXAX - Vanguard Extended Market Idx Adm (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
11.6	VMGRX - Vanguard Mid Cap Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
11.7	WEMIX - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
11.8	VEMSX - Vanguard Extended Market Idx Signal	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
12	Merrill Lynch - Emhoff Family Trust	Brokerage/Managed Account	Spouse			
12.1	ASVIX - American Century Small Cap Value Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
12.2	ANOIX - American Century Small Cap Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.3	AEPFX-American Funds Europacific Growth F2 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

12.4	DUSIX - Delaware Tax-Free USA Intermediate Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse Owner	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201) Income
12.5	DGAGX - Dreyfus Appreciation Investor (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
12.6	EILVX - Eaton Vance Large-Cap Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
12.7	HHDTX - Hancock Horizon Diversified Intl Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12.8	HGOIX - Hartford Growth Opportunities I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
12.9	IWD - iShares Russell 1000 Value (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
12.10	UBVSX - Undiscovered Mgrs Behavioral Value Sel (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.11	MTLIX - MFS Municipal Limited Maturity I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
12.12	MEIIX - MFS Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
12.13	ML Bank Deposit Program (Century City, California) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
12.14	TWWIX - Thomas White International I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
12.15	TGVIX - Thornburg International Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500

12.16	WEMIX - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse Owner	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000 Income
12.17	IWF - iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
13	Merrill Lynch - IRRA	Retirement Plans IRA	Spouse			
13.1	ADP - Automatic Data Processing, Inc. (NASDAQ) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.2	AEAFX - American Funds Europacific Growth F2 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.3	ANOIX - American Century Small Cap Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.4	AVEDX - Ave Maria Rising Dividend (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
13.5	CAH - Cardinal Health, Inc. (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.6	CIEXX - Calvert Equity Y (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

13.7	CMCSA - Comcast Corporation (NASDAQ) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse Owner	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000 Income
13.8	CMIFX - Calvert Large Cap Core A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.9	COST - Costco Wholesale Corporation (NASDAQ) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.10	CSDYX - Calvert Short Duration Income Y (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
13.11	CSIFX - Calvert Balanced A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
13.12	CVS - CVS Health Corporation (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.13	DGAGX - Dreyfus Appreciation Investor (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500

13.14	DIS - The Walt Disney Company (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse Owner	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000 Income
13.15	DOMIX - Domini International Social Equity Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
13.16	DXJ - WisdomTree Japan Hedged Equity ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
13.17	EILVX - Eaton Vance Large-Cap Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
13.18	HD - The Home Depot, Inc. (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.19	HEDJ - WisdomTree Europe Hedged Equity ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.20	HGOIX - Hartford Growth Opportunities I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000

13.21	HON - Honeywell International Inc. (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse Owner	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000 Income
13.22	Merrill Lynch (Century City, California) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
13.23	IWF - iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
13.24	MEIIX - MFS Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
13.25	MRBIX - MFS Total Return Bond I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.26	MWTRX - Metropolitan West Total Return Bond M (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.27	ORCL - Oracle Corporation (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.28	PARMX - Parnassus Mid-Cap (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.29	PARNX - Parnassus (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

13.30	PARWX - Parnassus Endeavor Fund (NASDAQ)	Mutual Funds Mutual Fund	Spouse Owner	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201) Income
13.31	PRILX - Parnassus Core Equity Institutional (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.32	PTTRX - PIMCO Total Return Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.33	PXSIX - Pax World Small Cap Institutional (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
13.34	STJ - St. Jude Medical Inc. (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.35	TGVIX - Thornburg International Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.36	TMO - Thermo Fisher Scientific, Inc. (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.37	UBVSX - Undiscovered Mgrs Behavioral Value Sel (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000

13.38	UTX - United Technologies Corporation (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse Owner	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000 Income
13.39	V - Visa Inc. (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.40	VLO - Valero Energy Corporation (NYSE) <i>Filer comment: Asset sold. Prior to sale, asset held as spouse's separate property in spouse's IRA. Proceeds from sale were reinvested and remain in spouse's IRA.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.41	IWD - iShares Russell 1000 Value (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
14	Whitwell Jacoby Emhoff LLP SEP	Retirement Plans Defined Contribution Pension Plan	Spouse			
14.1	AEPFX - American Funds Europacific Growth F2 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
14.2	BIV - Vanguard Intermediate-Term Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

14.3	<u>DXJ</u> - WisdomTree Japan Hedged Equity ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse Owner	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201) Income
14.4	<u>DGAGX</u> - Dreyfus Appreciation Investor (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
14.5	<u>EILVX</u> - Eaton Vance Large-Cap Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
14.6	<u>HGOIX</u> - Hartford Growth Opportunities I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
14.7	<u>IWF</u> - iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
14.8	<u>IWD</u> - iShares Russell 1000 Value (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
14.9	<u>MEIIX</u> - MFS Value I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
14.10	<u>HEDJ</u> - WisdomTree Europe Hedged Equity ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.11	<u>FDN</u> - First Trust Dow Jones Internet ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.12	<u>IBB</u> - iShares Nasdaq Biotechnology (NASDAQ)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.13	<u>IEI</u> - iShares 3-7 Year Treasury Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.14	<u>IEMG</u> - iShares Core MSCI Emerging Markets (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

14.15	Merrill Lynch (Century City, California) Type: Money Market Account,	Bank Deposit Asset Type	Spouse Owner	\$1,001 - \$15,000	None Type	None (or less than \$201) Income
14.16	LQD - iShares iBoxx \$ Invst Grade Crp Bond (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.17	MBB - iShares MBS (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.18	VAW - Vanguard Materials ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.19	VDC - Vanguard Consumer Staples ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.20	VDE - Vanguard Energy ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.21	VGT - Vanguard Information Technology ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.22	VIS - Vanguard Industrials ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.23	XLF - Financial Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.24	XLV - Health Care Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.25	XLY - Consumer Discret Sel Sect SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.26	BSV - Vanguard Short-Term Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

14.27	EWU - iShares MSCI United Kingdom (NYSEArca)	Mutual Funds Asset Type Traded Fund/Note	Spouse Owner	\$1,001 - \$15,000	Exempt Investment Fund,	None (or less than \$201) Income
15	Legislators' Retirement System Plan	Retirement Plans Defined Benefit Pension Plan	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
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#	Year	Debtor	Other (Type)	Points	Rate (Term years)	Amount	Creditor	Comments
1	2014	Spouse	Other (Unsecured loan)	-	3.25% (5 years)	\$100,001 - \$250,000	City National Bank Los Angeles, California	-
2	2014	Spouse	Line of Credit	-	3.5% (1 year)	\$100,001 - \$250,000	City National Bank Los Angeles, California	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2011 to present	Other (Attorney General (California))	State of California Sacramento, California	Other (State government)	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Oct 2008	Chronicle Books LLC San Francisco, California	Royalty Agreement	Royalty for book, "Smart on Crime: A Prosecutor's Solution for Making the Streets Safer" (no royalties received in 2014 or 2015 to date).

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#

Source

Duties

1#	State of California Source Sacramento, California	Issue as the Attorney General of California. Dates
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Attachments & Comments

No attachments added.

No comments added.